# UNIVERSITY OF KENTUCKY SPECIAL CONDITIONS OF THE CONTRACT FOR CONSTRUCTION BY A CONSTRUCTION MANAGER AT RISK

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#### ARTICLE 01 GENERAL INFORMATION

- 1.1 These Special Conditions are intended to modify, supplement, or delete from, applicable Articles of the General Conditions.
- 1.2 Where any Article of the General Conditions is supplemented by these Special Conditions, the Article shall remain in effect and the supplement shall be added thereto.
- 1.3 Where Special Conditions conflict with General Conditions, provisions of the Special Conditions take precedence.

### **ARTICLE 02 PERMITS AND FEES**

- 2.1 The Lexington Fayette Urban County Government (LFUCG) Sewer Tap Fee shall be secured and paid for by The Plumbing (Core and Shell) Subcontractor. The sewer tap fee is for all projects, regardless of type, is presently calculated by the LFUCG and is based on \$\_0.778\_per square foot.
- 2.2 The Subcontractor is responsible for obtaining their own permits, as applicable for their scope of work. Subcontractor is responsible for the cost of these permits. Subcontractor will also be responsible for managing calling for inspections and close out of permits in coordination with the Construction Manager.

# ARTICLE 03 (NOT USED)

#### **ARTICLE 04 CONSULTANT**

4.1 Wherever in these Contract Documents reference is made to the Consultant, it shall be understood to mean <u>Champlin Architecture</u> or their duly authorized representatives. (See Article 2 of the General Conditions.)

#### ARTICLE 05 GEOTECHNICAL REPORT

5.1 The Sub-Surface Geotechnical Report was prepared by Solid Ground Consulting Engineers The report is included with the bid documents. Subcontractors shall make themselves familiar with the Geotechnical Report in preparing their bid.

### ARTICLE 06 TIME FOR COMPLETION

6.1 The time for Substantial Completion as further defined in Article 1 of the General Conditions shall be <u>365</u> consecutive calendar days from the date of commencement as specified in the Work Order letter, or as shown on the Construction Manager's Project Schedule, and Final Completion shall be 30 days thereafter.

# ARTICLE 07 LIQUIDATED DAMAGES

- 7.1 Should the Subcontractor fail to achieve Substantial Completion of the Work under this Contract on or before the date stipulated for Substantial Completion (or such later date as may result from extensions in the Contract Time granted by the Owner), he agrees that the Construction Manager is entitled to, and shall pay the Construction Manager as liquidated damages the sum of \$2,850.00 (Two Thousand Eight Hundred and Fifty) Dollars for each consecutive calendar day that Substantial Completion has not been met.
- 7.2 Should the Subcontractor fail to achieve Final Completion of the Work under this Contract on or before the date stipulated for Final Completion (or such later date as may result from extensions in the Contract Time granted by the Owner), he agrees that the Construction Manager is entitled to, and shall pay the Construction Manager as liquidated damages the sum of \$1,650.00 (One Thousand Six Hundred and Fifty Dollars for each consecutive calendar day until Final Completion is reached. See Article 3 of the Agreement.

#### ARTICLE 08 SUBMITTALS AND SHOP DRAWINGS

### 8.1 SUBMITTALS - GENERAL

- 8.1.1 The Subcontractor shall submit each set of Shop Drawings, product data, samples, and test and/or certification reports and samples as a separate item to the Construction Manager. Construction Manager will utilize <a href="UK E-Communication">UK E-Communication</a>. <a href="Projects not utilizing UK E-Communication">Projects not utilizing UK E-Communication</a> <a href="mailto:must submit all items electronically to the Consultant and the UK Project Manager and Administrative Coordinator.">Administrative Coordinator.</a>
- 8.1.2 All sample selections for color shall be submitted for approval at the same time. Color selections shall not be submitted individually.
- 8.1.3 Any deviation from the Contract Documents shall be noted on the transmittal form comment section.
- 8.1.4 All submittals are to be reviewed by the Subcontractor for compliance with the Contract Documents before submission for approval. All submittals are to be initiated by the Subcontractor. Submittals made directly to the Construction Manager or Consultant by sub-subcontractors, manufacturers or suppliers will not be accepted or reviewed.
- 8.1.5 Re-submittals shall conspicuously note all changes from earlier submissions. Special notation by the Subcontractor shall be made to any changes other than those made in response to the Consultant's review.
- 8.1.6 Manufacturers shall, when requested by the Consultant, submit test reports prepared by reputable firms or laboratories certifying as to performance, operation, construction, wearability, etc., to support claims made by the manufacturer of the

equipment or materials proposed for inclusion in the Work. Subcontractorshall also submit a list of three (3) installations where said equipment or materials have been in service for a minimum of five (5) years.

### 8.2 SUBMISSIONS - REVIEW

- 8.2.1 Review of submittals is only for compliance with the design concept and the contract documents. THE CONSULTANT SHALL NOT BE RESPONSIBLE FOR CHECKING DEVIATIONS FROM CONTRACT DOCUMENT REQUIREMENTS OR CHANGES FROM EARLIER SUBMISSIONS NOT SPECIFICALLY NOTED.
- 8.2.2 The following shall be verified prior to making submittals:

Field Measurements, Field Construction Criteria, Catalog numbers and similar data, Quantities and Capacities, and Compliance with requirements, including verification of all dimensions,

- 8.2.3 Review Stamp designations shall be as follows:
- 8.2.3.1 "NET = No Exceptions Taken": Proceed with the Work, no corrections needed.

#### 8.2.3.2

"FC= Furnish as Corrected": Proceed with the Work, noting the corrections/conditions of the approval.

- 8.2.3.3 "RR = Revise and Resubmit": Do not proceed with the Work, as the submittal does not comply with the Contract Documents. Revisions to the submittal are required for approval. On projects utilizing UK E-Communication, "Send Back a Step" is used in lieu of "Revise and Resubmit"
- 8.2.3.4 "R = rejected": Do not proceed with the Work, the submittal is rejected.

### 8.3 SUBMISSIONS - SPECIAL PROVISIONS

- 8.3.1 In making a submittal, the Subcontractorshall be deemed to be making the following representations:
- 8.3.1.1 The Subcontractorunderstands and agrees that he shall bear full responsibility for the products furnished. The Subcontractorexpressly warrants that products described in the attached submittal will be usable and that they conform to the Contract requirements unless specifically noted otherwise.
- 8.3.1.2 The Subcontractorunderstands and agrees that, without assuming design responsibility, he expressly warrants that products described in the attached submittal are capable of being used in accordance with the intent of the design documents and that they conform to the Contract requirements unless specifically noted otherwise.

8.3.1.3 The Subcontractoracknowledges that the Construction Manager and Owner will rely on the skill, judgment, and integrity of the Subcontractoras to conformance requirements and subsequent usability.

#### 8.4 SHOP DRAWING AND PROCUREMENT SUBMITTAL LOG

- 8.4.1 The Subcontractor, within ten (10) days after the Pre-Construction meeting, shall begin uploading submittals using UK E-Communication®, to generate a log fixing the dates for submission of Shop Drawings, special order material items, certifications, guarantees, and any other items required to be submitted to the Consultant for review, approval or acceptance. Projects not utilizing UK E-Communication® will submit a Shop Drawing Log provided by the Owner during the Pre-Construction Meeting.
- 8.4.2 The log shall track all submittals to date. The updated log shall then be reviewed and discussed at each progress meeting to determine items that may impact the construction schedule.

# 8.5 Shop Drawings

- 8.5.1 The Subcontractor shall review, approve, and submit Shop Drawings to the Construction Manager. Construction Manager, after their own review, will submit to the Consultant in accordance with the Consultant's Shop Drawing & Procurement Submittal Log or UK E-Communication®, as herein detailed. By approving and submitting Shop Drawings, the Subcontractorrepresents that he has determined and verified all materials, field measurements, and field construction criteria related thereto, or will do so, and that he has checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.
- 8.5.2 The Subcontractor shall submit Shop Drawings required for the Work and the Construction Manager and Consultant will review and take appropriate action. The review and approval shall be only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents. The approval of a separate item will not indicate approval of the assembly in which the item functions.
- 8.5.3 The Subcontractor shall make any corrections required by the Construction Manager or Consultant for compliance to the Contract and shall return the required number of corrected copies of Shop Drawings and resubmit new samples until approved. The Subcontractor shall direct specific attention, in writing, or on resubmitted Shop Drawings, to revisions other than the corrections called for by the Consultant on previous submissions. The Subcontractor's stamp of approval on any shop drawing or sample shall constitute a representation to Owner, Construction Manager, and Design Consultant that the Subcontractor has either determined and verified all quantities, dimensions, field construction criteria, materials, catalog numbers, and similar date, or he assumes full responsibility for doing so, and that he has reviewed or coordinated each shop drawing or sample with the requirements of the Work and the Contract Documents.

- 8.5.4 Where a shop drawing or sample submission is required by the specifications, no related Work shall be commenced until the submission has been approved by the Design Consultant. A copy of each approved shop drawing and each approved sample shall be kept in good order by the Subcontractor at the site and shall be available to the Construction Manager or Consultant.
- 8.5.5 The Consultant's approval of Shop Drawings or samples shall not relieve the Subcontractor from his responsibility for any deviations from the requirements of the Contract Documents unless the Subcontractor has in writing called the Consultant's attention to such deviation at the time of submission and the Consultant has given written approval to the specific deviation. Any approval by the Construction Manager or Consultant shall not relieve the Subcontractor from responsibility for errors or omissions in the Shop Drawings.
- 8.5.6 All submittals are to be submitted electronically by the contractor. Shop Drawings submitted through UK E-Communication® shall be scanned and submitted in color. Mark-ups must be made using visible color when printed. Workflow in UK E-Communication® will be established during the workflow meeting. Each individual Shop Drawing shall have its respective specification number and description highlighted.
- 8.5.7 Where Shop Drawings include fire alarm, communication systems schematics, sprinkler systems, etc., a sepia of each drawing shall be submitted to the Consultant as part of the "Record" set of drawings.

### 8.6 SUBMISSIONS - SAMPLES

- 8.6.1 Office samples shall be of sufficient size and quantity to clearly illustrate functional characteristics of the product with integrally related parts and attachment devices, and full range of color, texture, and pattern.
- 8.6.2 Products shall not be used until the sample has been submitted to and approved by the Consultant.
- 8.6.3 A minimum of three (3) samples are required to be submitted to the Construction Manager for review and approval and will be distributed as follows:
  - a) One to be retained by the University;
  - b) One to be returned to the Design Consultant;
  - c) One to be returned to the Design Consultant;

Construction Manager retains the right to require additional samples, at the Construction Manager's option, for distribution to a third party.

8.6.4 Field samples (block, brick, etc.) of materials to be constructed at the site shall be submitted for review as required by the individual section of the Contract Documents.

### 8.7 SUBMISSIONS - OPERATION AND MAINTENANCE MANUALS

- 8.7.1 The University requires a minimum of one (1) digital copy of the final installation, training, operation, maintenance, and repair manuals to be turned over to the Construction Manager and approved for content by the Construction Manager and Consultant by or before the time construction is 75% complete. Projects utilizing e-Communication will create digital copy from the Document Library (Closeouts) in e-Communication. Operation and maintenance manuals and materials, where specified, for mechanical and electrical equipment and for operating items other than mechanical and electrical equipment must be submitted in PDF format with a separate PDF file for each item. In the event the Subcontractor fails to provide these required electronic submittals prior to reaching seventy-five (75%) completion, it is agreed that the Owner at its sole discretion may deduct from the current and subsequent Applications for Payment an amount deemed by the Owner to be sufficient to encourage prompt compliance with this contractual requirement, until such time as acceptable O&M manuals are received.
- 8.7.2 Manuals provided must be of sufficient detail to enable the Owner or others to install, calibrate, train, operate, maintain, service and repair every system, subsystem, and/or piece of equipment installed on or as part of this Contract. Manuals submitted through UK E-Communication® shall be scanned and submitted in color. Mark-ups must be made using visible color when printed. Each manual must contain:
- 8.7.2.1 Project Title, Project number, Location, dates of submittals, names, addresses and phone number for the Consultant, Construction Manager, Subcontractor, and Subcontractor's relevant Sub-contractors;
- 8.7.2.2 An Equipment Index that includes vendors' names, addresses, and telephone numbers for all equipment purchased on the Project;
- 8.7.2.3 Emergency instructions with phone numbers and names of contact persons on warranty items shall be uploaded to UK E-Communication®;
- 8.7.2.4 Copies of each system's air balancing record and each system's hydronic balancing record (1) physical copy and (1) digital copy in eCommunication;
- 8.7.2.5 Copy of valve tag list;
- 8.7.2.6 Copy of As-Built temperature control system drawings and components and sequence of operation;
- 8.7.2.7 Original copies of the following provided by the manufacturer:

Installation manuals

Training manuals

Service Manual

Parts list

Instruction Manuals

Calibration manuals

Operation manuals

Repair manuals

Reviewed Shop Wire list

Drawings Keying Bit List

- 8.7.2.8 Any Computer, Micro controller, and/or Microprocessor equipped equipment installed shall be provided with source code copies of all software and firmware (prom, eprom, rom, other) supplied on this Contract; and
- 8.7.2.9 Copies of all inspection and guarantee certificates, manufacturers' warranties with the University of Kentucky listed as the Owner for all equipment provided and/or installed.

### 8.7.2.10

Refer to the Official Design Standards **017800S01 – Closeout Submittals** for full details <a href="https://www.uky.edu/cpmd/download/file/fid/78986">https://www.uky.edu/cpmd/download/file/fid/78986</a>

- 8.7.2.11 If the O&M manuals from any one vendor covers several different model numbers, the model used on the Project must be highlighted.
- 8.7.2.12 Included in the front of the "Operation and Maintenance Manual" shall be a copy of the Interior and Exterior Finish plan and Schedule listing all finish materials, the manufacturer, the finish color, and the manufacturer's paint number.
- 8.7.2.13 Photograph album containing photos and negatives or digital images (.pdf format) showing buried utilities and concealed items shall be included.

### 8.8 SUBMISSIONS – AS - BUILT SET OF DRAWINGS

- 8.8.1 The Subcontractor shall submit one (1) electronic copy of As Built set of drawings in PDF format indicating all deviations of construction as originally specified in the Contract Documents. These As-Built Drawings will compile information from the Subcontractor as well as all Sub-contractors. The Subcontractor shall provide a qualified representative to update the As Built set of drawings as construction progresses. As-Builts submitted through UK E-Communication® shall be scanned and submitted in color. Mark-ups must be made using visible color when printed.
- 8.8.2 The Subcontractor shall provide and utilize a camera to photograph the installation of buried utilities and concealed items. The Subcontractor shall provide standard 3 1/2" x 5" photographs with negatives, or digital images (.jpeg format), which shall be submitted as part of the Operation and Maintenance Manuals submission. These

photos should be mounted in a bound album with labeling as to subject of photo, date, and Project. Such album is to be kept at job site with the As - Built Set of Drawings until submittal of same.

8.8.3 Approval of the Final Payment request will be contingent upon compliance with these provisions. The Subcontractor's As – Built set of drawings shall be delivered to the Consultant at their completion so that the Consultant may make any changes on the original contract drawings.

# 8.9 SUBMISSIONS - SAP EQUIPMENT LIST

- 8.9.1 Complete equipment list for use with SAP software in electronic spreadsheet format. Data is to be provided in Uniformat format with the information being provided for individual locations as noted in Attachment A Uniformat Component List. Information is to be provided as follows (MCPPD or CPPD will provide blank Excel spreadsheets in electronic form for use in compiling the information, if desired)
- 8.9.2 All materials that require preventative maintenance (PM) are listed as in Attachment A. The equipment list is to be provided in Excel spreadsheet format and is to include the information listed in Attachment B
- 8.9.3 Required maintenance procedure listing each work task in Excel spreadsheet format as shown in Attachment C.
- 8.9.4 Required frequency of maintenance for the work tasks outlined in 8.9.3 above and included in the Attachment C spreadsheet
- 8.9.5 Listing of maintenance parts and items: i.e. filters, lubricants, etc. for each work task listed in 8.9.3 above.

### 8.10 SUBMISSIONS – MAINTENANCE MATERIALS

8.10.1 If specified, Maintenance/Replacement Materials, Spare Parts, and special maintenance tools for proper maintenance shall be provided by the CM At Risk.

ARTICLE 8.9 Attachment A – Uniformat Component List

SAP Object Type No.	Component Name			
D5030.0232	Access Control Panel			
D3050.0110	Air Conditioning Comp Rm Unit			
D3030.0610	Air Conditioning Compressor			
D3030.0620	Air Conditioning Condensing Unit			
D3050.0120	Air Conditioning Pkg Rooftop Unit			
D3050.0130	Air Conditioning Pkg Terminal Unt			
D3030.0630	Air Conditioning Split System			
D3050.0140	Air Conditioning Unit Package			
D3050.0150	Air Conditioning Unit Window			
D3050.0710	Air Curtain / Heater			
D2090.0120	Air Dryer			
D3010.0443	Air Eliminator			
D3040.0110	Air Handling Unit			
D5090.0220	Auto Transfer Switch - Electrical			
	Automatic Door Operator			
D2020.0330	Backflow Preventers			
D3020.0110	Boiler, Steam System			
D5030.0241	Camera			
D5030.0231	Card Access System			
D3030.0300	Chiller, Reciprocate			
E1090.0250	Chutes & Collectors			
D5010.0510	Circuit Breaker Panel			
F1020.0230	Clean Rooms			
F1020.0240	Cold Storage Rooms			
D2090.0110	Compressor, Air			
D3060.0250	Controls, Building System			
E1090.0317	Cooler, Commercial			
D3030.0510	Cooling Tower, Packaged			
D2010.1300	Copper Silver Ion Equipment			
D4090.0510	Dampers Fire			
D4090.0500	Dampers Fire/Smoke			
D4090.0520	Dampers Smoke			
D3050.0400	Dehumidifiers			
D2090.0200	Deionized Water System			
E1090.0391	Dishwasher, Commercial			
B2030.0160	Door, Auto Entrance			
B2030.0100	Door, Exterior Entrance			

C1020.0320         Door, Fire Separate           C1020.0320         Door, Smoke Partition           D2010.0800         Drinking Fountain           D5010.0350         Electric Switchboard           E1030.0310         Elevator, Dumbwait Electric           D1090.0130         Elevator, Dumbwait Hydraulic           D1010.0140         Elevator, Dumbwait Hydraulic           D1010.0120         Elevator, Hydraulic Preight           D1010.0230         Elevator, Hydraulic Passenger           D1010.0240         Elevator, Platform Lift           D1010.0130         Elevator, Flatform Lift           D1010.0130         Elevator, Traction Freight           D1010.0130         Elevator, Wheelchair Lift           D2010.1000         Emergency Eyewash           D2010.1000         Emergency Eyewash/Shower           D5090.0810         Emergency Eyewash/Shower           D5090.0810         Emergency Eyewash/Shower           D3050.0600         Energency Shower           D3050.0600         Energency Eyewash/Shower           D3050.0600         Energency Eyewash/Shower           D3040.0120         Fan           Fan         Pan (Entifugal           D3040.0120         Fan           D3040.0121         Fan	_				
D2010.0800   Drinking Fountain	C1020.0330	Door, Fire Separate			
D5010.0350   Electric Switchboard	C1020.0320	Door, Smoke Partition			
E1030.0310   Elevator, Dock Leveler	D2010.0800	Drinking Fountain			
D1090.0120   Elevator, Dumbwait Electric	D5010.0350	Electric Switchboard			
D1090.0130 Elevator, Dumbwait Hydraulic D1010.0140 Elevator, Hydraulic Freight D1010.0120 Elevator, Hydraulic Passenger D1010.0230 Elevator, Platform Lift D1010.0240 Elevator, Sidewalk Lift D1010.0130 Elevator, Traction Freight D1010.0130 Elevator, Traction Passenger D1010.0210 Elevator, Traction Passenger D1010.0220 Elevator, Wheelchair Lift D2010.1100 Emergency Eyewash D2010.1000 Emergency Eyewash D2010.1000 Emergency Eyewash D2010.1000 Emergency Eyewash/Shower D5090.0810 Emergency Generator D2010.1200 Emergency Hydrouse D3050.0600 Energy Recovery Unit F1020.0260 Environmental Unit D3040.0120 Fan D3050.0520 Fan Coil Unit D3040.0122 Fan, Axial D3040.0121 Fan, Centrifugal D3040.0121 Fan, Centrifugal D3040.0141 Fire Alarm Annunciator D5030.0134 Fire Alarm AV Devices D5030.0134 Fire Alarm DV Devices D5030.0135 Fire Alarm Heat Detector D5030.0136 Fire Alarm Heat Detector D5030.0137 Fire Alarm Panel D5030.0137 Fire Alarm Panel D5030.0137 Fire Alarm Signal Speaker D5030.0130 Fire Alarm Signal Speaker D5030.0133 Fire Alarm Signal Speaker D5030.0134 Fire Alarm Signal Speaker D5030.0135 Fire Alarm Signal Speaker D5030.0137 Fire Alarm Signal Speaker D5030.0138 Fire Alarm Signal Speaker D5030.0139 Fire Alarm Signal Speaker D5030.0130 Fire Extinguisher Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0100 Fire Extinguisher Cabinet	E1030.0310	Elevator, Dock Leveler			
D1010.0140   Elevator, Hydraulic Freight	D1090.0120	Elevator, Dumbwait Electric			
D1010.0120   Elevator, Hydraulic Passenger	D1090.0130	Elevator, Dumbwait Hydraulic			
D1010.0230   Elevator, Platform Lift	D1010.0140	Elevator, Hydraulic Freight			
D1010.0240 Elevator, Sidewalk Lift D1010.0130 Elevator, Traction Freight D1010.0110 Elevator, Traction Passenger D1010.0220 Elevator, Wheelchair Lift D2010.1100 Emergency Eyewash D2010.1000 Emergency Eyewash/Shower D5090.0810 Emergency Generator D2010.1200 Emergency Shower D3050.0600 Energy Recovery Unit F1020.0260 Environmental Unit D3040.0120 Fan D3050.0520 Fan Coil Unit D3040.0122 Fan, Axial D3040.0121 Fan, Centrifugal D3040.0121 Fan, Exhaust D5030.0141 Fire Alarm Annunciator D5030.0134 Fire Alarm Avevices D5030.0139 Fire Alarm Door Holder D5030.0139 Fire Alarm Duct Detector D5030.0131 Fire Alarm Horns D5030.0135 Fire Alarm Horns D5030.0137 Fire Alarm Panel D5030.0138 Fire Alarm Panel D5030.0139 Fire Alarm Boor Fire Alarm Door Bools D5030.0131 Fire Alarm Boor Bools D5030.0131 Fire Alarm Boor Bools D5030.0132 Fire Alarm Signal Speaker D5030.0133 Fire Alarm Signal Speaker D5030.0134 Fire Alarm System D5030.0138 Fire Alarm System D5030.0139 Fire Alarm System D5030.0130 Fire Alarm System D5030.0130 Fire Extinguisher Cabinet D4030.0200 Fire Estinguisher Cabinet D4030.0300 Fire Extinguisher Cabinet	D1010.0120	Elevator, Hydraulic Passenger			
D1010.0130   Elevator, Traction Freight	D1010.0230	Elevator, Platform Lift			
D1010.0110         Elevator, Traction Passenger           D1010.0220         Elevator, Wheelchair Lift           D2010.1100         Emergency Eyewash           D2010.1000         Emergency Eyewash/Shower           D5090.0810         Emergency Generator           D2010.1200         Emergency Shower           D3050.0600         Energy Recovery Unit           F1020.0260         Environmental Unit           D3040.0120         Fan           D3040.0121         Fan Coil Unit           D3040.0122         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0121         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0133         Fire Alarm Door Holder           D5030.0134         Fire Alarm Door Holder           D5030.0133         Fire Alarm Heat Detector           D5030.0136         Fire Alarm Heat Detectors           D5030.0137         Fire Alarm Panel           D5030.0138         Fire Alarm Signal Speaker           D5030.0130         Fire Alarm Signal Speaker           D5030.0131         Fire Alarm Signal Speaker           D5030.0130         Fire Alarm Signal Speaker           D5030.0130         Fire Alarm Signal Signal Dev	D1010.0240	Elevator, Sidewalk Lift			
D1010.0220         Elevator, Wheelchair Lift           D2010.1100         Emergency Eyewash           D2010.1000         Emergency Eyewash/Shower           D5090.0810         Emergency Generator           D2010.1200         Emergency Shower           D3050.0600         Energy Recovery Unit           F1020.0260         Environmental Unit           D3040.0120         Fan           D3050.0520         Fan Coil Unit           D3040.0122         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0121         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0130         Fire Alarm Duct Detector           D5030.0131         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Panel           D5030.0137         Fire Alarm Panel           D5030.0138         Fire Alarm Signal Speaker           D5030.0138         Fire Alarm Smoke Detectors           D5030.0138         Fire Alarm System           D5030.0100         Fire Elarm System           D5030.0100         Fire Extinguisher Cabinet           D4030.0300 <td>D1010.0130</td> <td>Elevator, Traction Freight</td>	D1010.0130	Elevator, Traction Freight			
D2010.1100         Emergency Eyewash           D2010.1000         Emergency Eyewash/Shower           D5090.0810         Emergency Generator           D2010.1200         Emergency Shower           D3050.0600         Energy Recovery Unit           F1020.0260         Environmental Unit           D3040.0120         Fan           D3050.0520         Fan Coil Unit           D3040.0122         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0130         Fire Alarm Duct Detector           D5030.0133         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Horns           D5030.0137         Fire Alarm Pull Station           D5030.0138         Fire Alarm Signal Speaker           D5030.0130         Fire Alarm Signal Speaker           D5030.0131         Fire Alarm Signal Dev           D4030.0200         Fire Blanket & Cabinet           D4030.0300         Fire Extinguisher Cabinet	D1010.0110	Elevator, Traction Passenger			
D2010.1000         Emergency Eyewash/Shower           D5090.0810         Emergency Generator           D2010.1200         Emergency Shower           D3050.0600         Energy Recovery Unit           F1020.0260         Environmental Unit           D3040.0120         Fan           D3050.0520         Fan Coil Unit           D3040.0121         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Anunuciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0139         Fire Alarm Door Holder           D5030.0131         Fire Alarm Heat Detectors           D5030.0133         Fire Alarm Heat Detectors           D5030.0131         Fire Alarm Panel           D5030.0135         Fire Alarm Panel           D5030.0137         Fire Alarm Signal Speaker           D5030.0130         Fire Alarm Signal Dev           D5030.0138         Fire Alarm Visual Signal Dev           D4030.0200         Fire Blanket & Cabinet           D4030.0300         Fire Extinguisher Cabinet	D1010.0220	Elevator, Wheelchair Lift			
D5090.0810         Emergency Generator           D2010.1200         Emergency Shower           D3050.0600         Energy Recovery Unit           F1020.0260         Environmental Unit           D3040.0120         Fan           D3050.0520         Fan Coil Unit           D3040.0121         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0144         Fire Alarm Duct Detector           D5030.0133         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Heat Detectors           D5030.0137         Fire Alarm Pull Station           D5030.0132         Fire Alarm Signal Speaker           D5030.0130         Fire Alarm Smoke Detectors           D5030.0138         Fire Alarm System           D5030.0130         Fire Blanket & Cabinet           D4030.0200         Fire Extinguisher Cabinet           D4030.0300         Fire Extinguisher Wheeled	D2010.1100	Emergency Eyewash			
D2010.1200         Emergency Shower           D3050.0600         Energy Recovery Unit           F1020.0260         Environmental Unit           D3040.0120         Fan           D3050.0520         Fan Coil Unit           D3040.0122         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0131         Fire Alarm Duct Detector           D5030.0133         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Horns           D5030.0131         Fire Alarm Panel           D5030.0135         Fire Alarm Panel           D5030.0137         Fire Alarm Signal Speaker           D5030.0132         Fire Alarm Smoke Detectors           D5030.0133         Fire Alarm Smoke Detectors           D5030.0130         Fire Alarm System           D5030.0138         Fire Alarm Visual Signal Dev           D4030.0200         Fire Extinguisher Cabinet           D4030.0300         Fire Extinguisher Wheeled	D2010.1000	Emergency Eyewash/Shower			
D3050.0600         Energy Recovery Unit           F1020.0260         Environmental Unit           D3040.0120         Fan           D3050.0520         Fan Coil Unit           D3040.0122         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0134         Fire Alarm Duct Detector           D5030.0133         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Horns           D5030.0131         Fire Alarm Panel           D5030.0135         Fire Alarm Panel           D5030.0137         Fire Alarm Signal Speaker           D5030.0132         Fire Alarm Smoke Detectors           D5030.0133         Fire Alarm System           D5030.0138         Fire Alarm Visual Signal Dev           D4030.0200         Fire Blanket & Cabinet           D4030.0300         Fire Extinguisher Cabinet           D4030.0300         Fire Extinguisher Wheeled	D5090.0810	Emergency Generator			
F1020.0260         Environmental Unit           D3040.0120         Fan           D3050.0520         Fan Coil Unit           D3040.0122         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0144         Fire Alarm Duct Detector           D5030.0133         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Horns           D5030.0131         Fire Alarm Panel           D5030.0135         Fire Alarm Signal Speaker           D5030.0132         Fire Alarm Signal Speaker           D5030.0130         Fire Alarm Signal Dev           D4030.0200         Fire Blanket & Cabinet           D4030.0300         Fire Extinguisher Cabinet           D4030.0300         Fire Extinguisher Wheeled	D2010.1200	Emergency Shower			
D3040.0120         Fan           D3050.0520         Fan Coil Unit           D3040.0122         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0144         Fire Alarm Duct Detector           D5030.0133         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Horns           D5030.0131         Fire Alarm Panel           D5030.0135         Fire Alarm Pull Station           D5030.0137         Fire Alarm Signal Speaker           D5030.0132         Fire Alarm Smoke Detectors           D5030.0138         Fire Alarm Visual Signal Dev           D4030.0200         Fire Blanket & Cabinet           D4030.0300         Fire Extinguisher Cabinet           D4030.0300         Fire Extinguisher Wheeled	D3050.0600	Energy Recovery Unit			
D3050.0520         Fan Coil Unit           D3040.0122         Fan, Axial           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0144         Fire Alarm Duct Detector           D5030.0133         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Horns           D5030.0131         Fire Alarm Panel           D5030.0135         Fire Alarm Pull Station           D5030.0137         Fire Alarm Signal Speaker           D5030.0132         Fire Alarm Smoke Detectors           D5030.0130         Fire Alarm System           D5030.0138         Fire Alarm Visual Signal Dev           D4030.0200         Fire Blanket & Cabinet           D4030.0300         Fire Extinguisher Cabinet           D4030.0300         Fire Extinguisher Wheeled	F1020.0260	Environmental Unit			
D3040.0122         Fan, Axial           D3040.0121         Fan, Centrifugal           D3040.0410         Fan, Exhaust           D5030.0141         Fire Alarm Annunciator           D5030.0134         Fire Alarm AV Devices           D5030.0139         Fire Alarm Door Holder           D5030.0144         Fire Alarm Duct Detector           D5030.0133         Fire Alarm Heat Detectors           D5030.0136         Fire Alarm Horns           D5030.0131         Fire Alarm Panel           D5030.0135         Fire Alarm Pull Station           D5030.0137         Fire Alarm Signal Speaker           D5030.0130         Fire Alarm Smoke Detectors           D5030.0130         Fire Alarm System           D5030.0138         Fire Alarm Visual Signal Dev           D4030.0200         Fire Blanket & Cabinet           D4030.0100         Fire Extinguisher Cabinet           D4030.0300         Fire Extinguisher Wheeled	D3040.0120	Fan			
D3040.0121 Fan, Centrifugal D3040.0410 Fan, Exhaust D5030.0141 Fire Alarm Annunciator D5030.0134 Fire Alarm AV Devices D5030.0139 Fire Alarm Door Holder D5030.0144 Fire Alarm Duct Detector D5030.0133 Fire Alarm Heat Detectors D5030.0136 Fire Alarm Horns D5030.0136 Fire Alarm Panel D5030.0131 Fire Alarm Panel D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0300 Fire Extinguisher Cabinet	D3050.0520	Fan Coil Unit			
D3040.0410 Fan, Exhaust  D5030.0141 Fire Alarm Annunciator  D5030.0134 Fire Alarm AV Devices  D5030.0139 Fire Alarm Door Holder  D5030.0144 Fire Alarm Duct Detector  D5030.0133 Fire Alarm Heat Detectors  D5030.0136 Fire Alarm Horns  D5030.0131 Fire Alarm Panel  D5030.0135 Fire Alarm Pull Station  D5030.0137 Fire Alarm Signal Speaker  D5030.0132 Fire Alarm Smoke Detectors  D5030.0130 Fire Alarm System  D5030.0138 Fire Alarm Visual Signal Dev  D4030.0200 Fire Blanket & Cabinet  D4030.0300 Fire Extinguisher Cabinet  D4030.0300 Fire Extinguisher Wheeled	D3040.0122	Fan, Axial			
D5030.0134 Fire Alarm Annunciator D5030.0134 Fire Alarm AV Devices D5030.0139 Fire Alarm Door Holder D5030.0144 Fire Alarm Duct Detector D5030.0133 Fire Alarm Heat Detectors D5030.0136 Fire Alarm Horns D5030.0131 Fire Alarm Panel D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0300 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D3040.0121	Fan, Centrifugal			
D5030.0134 Fire Alarm AV Devices D5030.0139 Fire Alarm Door Holder D5030.0144 Fire Alarm Duct Detector D5030.0133 Fire Alarm Heat Detectors D5030.0136 Fire Alarm Horns D5030.0131 Fire Alarm Panel D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0300 Fire Extinguisher Cabinet	D3040.0410	Fan, Exhaust			
D5030.0139 Fire Alarm Door Holder D5030.0144 Fire Alarm Duct Detector D5030.0133 Fire Alarm Heat Detectors D5030.0136 Fire Alarm Horns D5030.0131 Fire Alarm Panel D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0300 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0141	Fire Alarm Annunciator			
D5030.0144 Fire Alarm Duct Detector D5030.0133 Fire Alarm Heat Detectors D5030.0136 Fire Alarm Horns D5030.0131 Fire Alarm Panel D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0300 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0134	Fire Alarm AV Devices			
D5030.0133 Fire Alarm Heat Detectors D5030.0136 Fire Alarm Horns D5030.0131 Fire Alarm Panel D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0139	Fire Alarm Door Holder			
D5030.0136 Fire Alarm Horns D5030.0131 Fire Alarm Panel D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0144	Fire Alarm Duct Detector			
D5030.0131 Fire Alarm Panel D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0133	Fire Alarm Heat Detectors			
D5030.0135 Fire Alarm Pull Station D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0136	Fire Alarm Horns			
D5030.0137 Fire Alarm Signal Speaker D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0131	Fire Alarm Panel			
D5030.0132 Fire Alarm Smoke Detectors D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0135	Fire Alarm Pull Station			
D5030.0130 Fire Alarm System D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0137	3 .			
D5030.0138 Fire Alarm Visual Signal Dev D4030.0200 Fire Blanket & Cabinet D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled					
D4030.0200 Fire Blanket & Cabinet  D4030.0100 Fire Extinguisher Cabinet  D4030.0300 Fire Extinguisher Wheeled	D5030.0130	Fire Alarm System			
D4030.0100 Fire Extinguisher Cabinet D4030.0300 Fire Extinguisher Wheeled	D5030.0138	Fire Alarm Visual Signal Dev			
D4030.0300 Fire Extinguisher Wheeled	D4030.0200	Fire Blanket & Cabinet			
	D4030.0100	Fire Extinguisher Cabinet			
D4090.0300 Fire Extinguishing System, Clean	D4030.0300	Fire Extinguisher Wheeled			
·	D4090.0300	Fire Extinguishing System, Clean			

D4090.0200	Fire Extinguishing System, CO2			
D4090.0400	Fire Extinguishing System, Dry Chemical			
D4090.0100	Fire Extinguishing System, Foam			
D4090.0000	Fire Extinguishing System, Other			
G3010.0310	Fire Hydrant			
E1090.0330	Food Cooking Equipment			
E1090.0310	Food Stor/Prep Equipment			
D2090.0400	Fuel Oil System			
D3040.0460	Fume Hood System			
D3020.0310	Furnaces			
D2030.0260	Grease Trap			
D3050.0580	Heat Exchanger			
D2020.0260	Heater Domestic Water			
D3050.0521	Heater, Cabinet Unit			
D3050.0581	Heater, Cast Iron Radiator			
D3050.0530	Heater, Fin Tube Radation			
D3050.0540	Heater, Induction Unit			
D3050.0560	Heater, Unit			
D3050.0570	Heater, Unit Vent			
F1040.0700	Heliport System			
E1090.0340	Hood/Vent Equip			
D3050.0300	Humidifier			
E1090.0380	Ice Machines			
D5020.0330	Light, Emergency Exterior			
D5020.0230	Light, Emergency Interior			
D5020.0231	Light, Exit			
E1020.0831	Medical Air Compressor			
E1020.0900	Medical Gas Alarm			
E1020.1000	Medical Gas Area Alarm			
E1020.0840	Medical Gas Auto Pressure Switch			
E1020.0834	Medical Gas Manifold			
E1020.0835	Medical Gas N2O			
E1020.0839	Medical Gas Outlet			
E1020.0837	Medical Gas Shut-off Valve			
E1020.0830	Medical Gas System			
E1020.0838	Medical Nitrogen			
E1020.0810	Medical Sterilizer Equipment			
E1020.0832	Medical Vacuum Pump			
D5010.0711	Motor Control Center			
D5010.0720	Motor, Electric			
D5030.0431	Nurse Call System			

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E1090.0210	Packaged Incinerator			
D3010.0550	Packaged Solar Equipment			
D5030.0420	Paging Systems			
C1010.0180	Partition Fire Rated			
C1010.0190	Partition, Smoke			
D1090.0141	Pneumatic Tube Blower			
D1090.0142	Pneumatic Tube Station			
D1090.0140	Pneumatic Tube System			
D1090.0143	Pneumatic Tube Transfer Unit			
D3010.0430	Pump			
D3030.0710	Pump, Air Source Heat			
D3010.0432	Pump, Chilled Water			
D2020.0222	Pump, Domestic Hot Water Recirculation			
D2020.0221	Pump, Domestic Water Booster			
D4010.0111	Pump, Fire			
D3010.0431	Pump, Heating Water			
D4010.0112	Pump, Jockey Fire			
D3030.0720	Pump, Rooftop Heat			
D3010.0433	Pump, Steam			
D2040.0270	Pump, Sump			
D2030.0330	Pump, Waste			
D2020.0220	Pump, Water Booster			
D3030.0730	Pump, Water Heat			
E1090.0315	Refrigerator/Freezer, Commercial			
D3040.0123	Return Air Fan			
D2090.1200	Reverse Osmosis System			
D3030.0420	Scroll Chiller			
D4010.0300	Sprinkler, Combo System			
D4010.0400	Sprinkler, Deluge System			
D4010.0200	Sprinkler, Dry-Pipe			
D4020.0100	Sprinkler, Standpipe			
D4010.0100	Sprinkler, Wet-Pipe			
D3050.0310	Steam Generator			
D5010.0840	Switchgear, Medium Voltage			
D3010.0441	Tank, Expansion Compressor			
D2020.0310	Tank, Expansion Domestic			
D2020.0320	Tank, Expansion Reheat			
D2090.0410	Tank, Fuel Oil			
D3010.0444	Tank, Steam Flash			
D5010.0210	Transformer, Low-Volt 2nd			
D5010.0410	Transformer, Low-Volt Inter			

D5010.0110	Transformer, Main
D3020.0150	Trap, Steam
D5090.0110	UPS - Computer
D5090.0120	UPS - Other
D2090.1310	Vacuum Pump
D3010.0435	VFD - Pump
D3040.0190	VFD HVAC
D5010.0850	VFD/VSD
E1090.0316	Walk-in-Refrigerator
D2090.0210	Water Softener Equipment
D3010.0490	Water Treatment Equipment

**ARTICLE 8.7.3 Attachment B – Equipment List Spreadsheet Data Categories** 

Uniformat	
Component ID	
Component Name	
Description	
Name	
Tunic	MCPPD or CPPD will enter this
Equipment No.	data
Model No.	
Room Location	
	MCPPD or CPPD will enter this
Functional Location	data
Manufacturer	
Supplier	
Installing Contractor	
Serial No.	Month on the state of the state
Main Work Center	MCPPD or CPPD will enter this data
Wall Work Center	MCPPD or CPPD will enter this
Comments(30 char's)	data
	MCPPD or CPPD will enter this
Critical	data MCPPD or CPPD will enter this
JCAH Code	data
	MCPPD or CPPD will enter this
Patient Room?	data
Vendor ID	MCPPD or CPPD will enter this data
v chuor ib	MCPPD or CPPD will enter this
Vendor Type	data
W 1 Od I C	MCPPD or CPPD will enter this
Vendor - Other Info	data MCPPD or CPPD will enter this
Equipment Life	data
Area Serviced	
Contains Lead?	
Contains Asbestos?	
Contains PCBs?	
Motor Frame	
Motor Style	
Motor HP	
Motor Phase	
Motor Volts	
Motor RPM	
Fan CFM	

Fan RPM	
Fan Static	
Fan Type	
Fan RPM 2	
Pump Head	
Pump Inlet	
Pump GPM	
Pump Outlet	
Motor Oper Amps	
	MCPPD or CPPD will enter this
Condition	data
Disconnect Location	
Motor FLA	
Belts	
Filters	

### **ARTICLE 8.7.3 Attachment C - Example Preventative Maintenance Procedures**

Description	Name	Equipment No.	Frequency	Maintenance Procedure	Maintenance Parts & Items
Air Handling Unit	AHU-1	M-12345	Monthly	Check Belts	
Air Handling Unit	AHU-1	M-12345	Quarterly	Grease bearings	Grease type xyz
Air Handling Unit	AHU-1	M-12345	Annually	Replace Belts	Belt model abc-123
Air Handling Unit	AHU-2	M-98765	Monthly	Check Belts	

The blue highlighted column will be filled in by MCPPD or CPPD.

# ARTICLE 09 PLANS, DRAWINGS, AND SPECIFICATIONS

- 9.1 The successful Subcontractor can purchase any number of sets of plans and specifications from Lynn Imaging, Lexington, Kentucky (<a href="http://www.ukplanroom.com/">http://www.ukplanroom.com/</a> or Phone Lynn Imaging @1.800.888.0693 or 859.255.1021). The Subcontractor will be required to pay Lynn Imaging for the cost of duplication for all sets required.
- 9.2 Not Used
- 9.3 All drawings, specifications and copies, thereof, prepared by the Consultant, are the property of the University of Kentucky. They are not to be used on other Work.

### ARTICLE 10 PROGRESS MEETINGS

- 10.1 In addition to specific coordination and pre-installation meetings for each element of Work, and other regular Project meetings held for other purposes, progress meetings will be held as outlined at the Preconstruction Meeting. Each entity then involved in planning, coordination or performance of Work shall be properly represented at each progress meeting. Agenda for meetings to be set by Construction Manager
- 10.2 Not Used.
- 10.3 Not Used.
- 10.4 A location near the site will be designated where such progress meetings will be held. Participants will be notified of the dates and times of the meetings by the Construction Manager

# ARTICLE 11 CRITICAL PATH METHOD (CPM) SCHEDULE (Not Used)

### ARTICLE 12 WALK-THROUGH (NOT USED)

# ARTICLE 13 OWNER'S CONSTRUCTION REPRESENTATIVE (Not Used)

### **ARTICLE 14 FIELD OFFICE**

- 14.1 Subcontractor shall make his own provision for field office for his own personnel and for incidental use by their Subcontractors. Quantity and location are subject to approval of the Construction Manager, Consultant, and the Owner's Project Manager.
- 14.2 Subcontractor is not required to provide a field office for use by the Owner or Consultant.

#### ARTICLE 15 TELEPHONE SERVICE

15.1 Subcontractor shall arrange for their own on-site phone, internet and other communications services. Telephone service during the length of construction shall be paid for by the Subcontractor

### **ARTICLE 16 CONSTRUCTION FENCE**

- 16.1 Construction fencing will be designed and erected around job sites where there is a possibility of injury to employees, students or the public. Special precautions must be taken to protect the visually impaired, disabled, children and others using the University facilities. During active excavation/trenching operations, fencing shall be erected to prevent unauthorized entry into the site. All fencing shall comply with the current requirements of the International Building Code except where the following requirements are more stringent.
- 16.1.1 All job site perimeter fencing within 5 feet of a walkway, street, plot line, or public right-of-way shall be 8 feet in height. Perimeter fencing that blocks sidewalks must include signs directing pedestrians to a safe walkway or crosswalk. Signage may be attached to the fence, but may also be required to inform pedestrians of sidewalk closures and detours prior to arriving at the closed area. Subcontractor shall provide electrical pedestrian and general lighting along the top rail of the perimeter of the construction site fence to provide a minimum illumination level of 1.5 foot candles. Pedestrian and perimeter fence lighting shall be installed in conduit, raceway, and/or pathway system properly supported to the perimeter fence. Open or flexible cabling will not be acceptable.
- 16.1.2 All job site perimeter fencing more than 5 feet from a walkway, street, plot line, or public right-of-way shall be a minimum of 6 feet in height unless International Building Code requirements are more restrictive due to the height of the structure and setback.

- 16.1.3 All fencing shall be of a woven material such as chain link or a solid type fence. Fencing shall include gates required for construction operations. Gates shall be lockable with both the Construction Manager's lock, and a lock provided by the Owner. Lock by Owner shall be keyed for the University Best GA key core. All locks to be "daisy-chained" to provide access to the Owner.
- 16.1.4 It shall be the Subcontractor's responsibility to determine the proper quality of materials and methods of installation of the fencing, with the understanding that it must be maintained in good condition, good appearance, rigid, plumb, and safe throughout the construction period. The fence does not have to be new material. The fence is to be erected on fence posts securely anchored in the ground. Provide a top bar or, with prior approval of the Construction manager, a wire shall be run through the top of the fence and attached to the end posts. A tension control device shall be installed as necessary. Use of sandbags, concrete weights, stakes, etc. to hold fence posts in place are not allowed. Penetrations in pavement or landscape walking surfaces may not be made without the approval of the Construction manager. Any damage caused by the fence installation shall be repaired in a manner satisfactory to the Construction manager. When fencing is to remain in place for 6 months or more a green fabric mesh must be provided for the full height and length of the fence. Fabric should be omitted for one section of fencing where blind corners occur or at pedestrian/vehicle intersections.
- 16.1.5 The Subcontractor shall be responsible for removing and replacing any fence sections and/or posts necessary for access to the site on a daily basis. The Subcontractor shall police such conditions to assure the fence and posts are reset in a timely manner and are specifically in place at the close of the working day.
- 16.1.6 If the Subcontractor fails to comply with the requirements of this Article 16, the Construction Manager may proceed to have the work done and the Subcontractor shall be charged for the cost of the Work done by unilateral deductive change order.
- 16.1.7 Plastic construction fencing is not acceptable as a perimeter protection fence.

### **ARTICLE 17 PROJECT SIGN**

- 17.1 The Construction Manager shall furnish, install and maintain 2 Project signs during this Project. This sign shall be 4' x 8' x 3/4" exterior grade plywood mounted on 4" x 4" posts. Design shall be as provided by the Owner at a later date and shall include the name of the Owner, Project, Consultant, and Construction Manager.
- 17.2 No signs, except those attached to vehicles or equipment, may be displayed without permission from the Consultant and the Owner's Project Manager. No political signs will be permitted.

#### **ARTICLE 18 PARKING**

18.1 No on-campus parking is available. The Construction Manager shall develop a parking plan as part of the required Pre-Construction Services element of this Contract.

### **ARTICLE 19 SANITARY FACILITIES**

19.1 At the beginning of the Project, before any Work is started, the Construction Manager shall furnish, install and maintain ample sanitary facilities for the workforce. Permanent toilets in the existing building shall not be used during construction of the Project. Drinking water shall be provided from an approved safe source, piped or transported as to be kept clean and fresh and served from single service containers or satisfactory types of sanitary drinking stands or fountains. All such facilities and services shall be furnished in strict accordance with existing governing health regulations.

### ARTICLE 20 RULES OF MEASUREMENT

- 20.1 Rules of Measurement shall be established by the Consultant in the field. Actual measurement shall be taken in the field. These amounts shall become binding upon the Subcontractor and be adjusted as before mentioned.
- 20.2 The Subcontractor shall pay for and coordinate through the Construction Manager, Consultant, and/or the Owner's Project Manager all associated Work by utility companies including relocation of utility poles, installation of new street lights, relocation of overhead or underground lines, and any other Work called for on the Plans and in the Specifications.

### **ARTICLE 21 ALLOWANCES**

- 21.1 As stated in the General Conditions to the Contract, the Subcontractor shall have included in the Contract Amount all costs necessary to complete the Work.
- 21.2 Costs based on allowances may be included in Subcontract bid packages only with the prior written approval of the Owner, and only for objectively quantifiable material items. Allowances are to be defined as part of the bid package and quantified on Bid Breakdown Form.
- 21.3 Any allowance amounts included in a Subcontract bid package, but not expended for the approved task during the course of the work of that Subcontract, shall be deducted from the Subcontractor's contract by Change Order. Any additional amounts necessary to pay for additional cost of an allowance in a Subcontract bid package shall be funded from the Construction Contingency Fund.
- 21.4 (NOT USED)
- 21.4.1 (NOT USED)

21.4.2 (NOT USED)

# **ARTICLE 22 CONSTRUCTION CONTINGENCY FUNDS (Not Used)**

# ARTICLE 23 SEQUENCE OF CONSTRUCTION

- 23.1 (NOT USED)
- 23.2 (NOT USED)
- 23.2.1 The Subcontractor shall coordinate any road and sidewalk closings, utility disruptions, etc. which will affect the use of the existing building(s) with the Construction Manager and Owner's Project Manager prior to commencing that Work.
- 23.3 The adjacent buildings and public areas will remain in use and the Owner shall have access to the existing building(s) throughout the duration of the Project. The Subcontractor shall coordinate construction activity to assure the safety of those who must cross the Project site and shall provide and maintain the necessary barriers and accommodations for a completely safe route of accessibility. The Subcontractor is to insure that all exits provide for free and unobstructed egress. If exits must be blocked, then prior arrangements must be made with the Construction Manager Owner's Project Manager.
- 23.4 The Subcontractor shall cooperate with the Construction Manager and Owner in minimizing inconvenience to, or interference with normal use of existing buildings and grounds by staff, students, other Contractors, or the public. Subcontractor shall conduct operations to prevent damage to adjacent building structures and other facilities and in such a manner to protect the safety of building's occupants.
- 23.5 Special effort shall be made by the Subcontractor to prevent any employee from entering existing buildings for reasons except construction business. In particular, use of toilets, drinking fountains, vending machines, etc. is strictly prohibited.

#### ARTICLE 24 CRANE & MATERIAL HOIST OPERATIONS

- 24.1 Subcontractor shall provide appropriate barriers around crane and material hoist to protect pedestrian and vehicular traffic around operating area. When crane is operating or moving, flag men provided by Subcontractor shall be utilized to prevent pedestrian and vehicular traffic from crossing pathway of crane lift. Subcontractor's flag men shall coordinate these activities with the appropriate security personnel.
- 24.2 Crane and material hoist shall be safely secured and inaccessible during non-operating hours. Subcontractor shall coordinate operation or erection of a crane or material hoist in the vicinity of the Medical Center with Medical Center Aeromedical Operations (Med-evac helicopter).

24.3 Any damage to trees, shrubs or plant material at the placement of crane or material hoist shall be repaired by tree surgery or replaced as directed by Consultant.

### **ARTICLE 25 UTILITIES**

- 25.1 When the various building systems are energized and connected to Owner's utility systems, but prior to turnover to and occupancy by the Owner, the Subcontractor is responsible to reimburse the Owner for Owner furnished utilities. These utilities include but not limited to steam, chilled water, domestic water, and electricity, provided by the Owner up to the date of Substantial Completion. Reimbursement will be payable monthly via a deductive change order to the contract. Unit costs for campus are as follows:
- 25.1.1 Steam is \$17.00/million BTU (1000 lb.) condensate measured through the building condensate meter (all condensate is to be returned). Mechanical (Core and Shell) Subcontractor to provide meter and carry an allowance per Bid Breakdown Form for consumption.
- 25.1.2 Chilled Water is \$16.25/million BTU (1000 lb.) measured through the building BTU meter. Mechanical (Core and Shell) Subcontractor to provide BTU meter and carry an allowance per Bid Breakdown Form for consumption.
- 25.1.3 Electricity is \$0.08/KWH measured through the building electric meter. Electrical (Core and Shell) Subcontractor shall provide metering as required and carry an Allowance per Bid Breakdown Form for consumption.
- 25.1.4 Water is supplied by Kentucky American Water Company (KAWC). Plumbing (Core and Shell) Subcontractor shall pay KAWC directly until the Owner's beneficial occupancy date. The Plumbing (Core and Shell) Subcontractor shall pay KAWC directly for fire service. An Allowance will be established on the Bid Breakdown Form for Consumption.
- 25.1.5 Mechanical (Core and Shell) Subcontractor shall furnish gas meter and Columbia Gas Company directly for service until the until the Owner's beneficial occupancy date. An Allowance will be established on the Bid Breakdown Form for Consumption.
- 25.1.6 Electrical (Core and Shell) Subcontractor shall obtain from and pay UKIT Communications and Network Systems for the use of telephone services. An Allowance will be established on the Bid Breakdown Form for Consumption.

### 25.2 UTILITY OUTAGES

25.2.1 Interruption of Utilities and Services: No utilities or services may be interrupted without full consent and prior scheduling of the Owner. Owner approval is required in writing for each disruption.

- 25.2.1.1 ENTIRE BUILDING OUTAGE. The Owner's Project Manager is the Construction Manager's contact with the University for requesting Utility Outages. The Owner's Project Manager will contact the proper departments and divisions within the University and receive approval from those units prior to allowing a planned outage to occur. The established standard within the University Departments and Divisions of an entire building or group of buildings shall be three weeks written notice. The written notice shall include the type of utility to be interrupted, reason for outage, length of outage, what will be affected by the outage, and a statement of whether or not the materials are on hand to complete the Work. If a specific time is desired for the outage it should be included. The Owner's Project Manager will insure that all parties affected are contacted and that a time which is least disruptive to all parties is selected. At the appointed outage time, Work shall begin and proceed continuously with all required manpower until Work is complete at no added cost to the University. The Owner's Project Manager will then notify all affected departments or divisions.
- 25.2.1.2 SECTION OF A BUILDING OUTAGE. The Owner's Project Manager is the Construction Manager's contact with the University for requesting Utility Outages. The Owner's Project Manager will contact the proper departments and divisions within the University and receive approval from those units prior to allowing a planned outage to occur. The established standard within the University Departments and Divisions of a section of a building shall be a written request one week prior to outage. The written request shall include the type of utility to be interrupted, when the outage is desired, reason for outage, length of outage, and what will be affected by the outage. The Owner's Project Manager will insure that all parties affected are contacted and that a time which is least disruptive to all parties is selected. At the appointed outage time Work shall begin and proceed continuously with all required manpower until Work is complete at no added cost to the University. The Owner's Project Manager will then notify all affected departments or divisions.

### ARTICLE 26 CLEANING AND TRASH REMOVAL

- 26.1 The Subcontractor shall keep clean the entire area of new construction and shall keep streets used as access to and from the site free of mud and debris.
- All exit ways, walks, drives, grass areas, and landscaping must be kept free from debris, materials, tools and vehicles at all times. Trim weeds and grass within the site area.
- 26.3 Upon completion of the Work, Subcontractor shall thoroughly clean and re-sod grass areas damaged to match existing areas.
- 26.4 All utility markings are to be made with water based marking paint with low Volatile Organic Compounds (VOC's) and high solids.

- 26.5 Upon Completion of the project, buried utility paint markings sprayed on walks and hardscapes are to be removed by non-destructive means such as pressure washing. Do not use chemicals. If a washed area is noticeable, the entire surface must be washed and or blended to match surrounding areas.
- 26.6 The Subcontractor shall be responsible for removal from the site of all liquid waste or other waste (i.e., hazardous, toxic, etc.) that requires special handling on a daily basis.
- 26.7 Dumpsters will be provided and maintained by the General Works Subcontractor or as defined in bid packages. Subcontractors to coordinate with Construction Manager for dumpstering.
- 26.8 During Work at the Project site, the Subcontractor shall clean and protect Work in progress and adjoining Work on a continuing basis. Subcontractor shall apply suitable protective covering on newly installed Work where needed to prevent damage or deterioration until the time of Substantial Completion. Subcontractor shall clean and perform maintenance on newly installed Work as frequently as necessary through remainder of construction period.
- 26.9 The Subcontractor shall be responsible for daily cleaning of spillage's and debris resulting from his and his Subcontractor's operations, (includes removal of dust and debris from wall cavities), and for providing closed, tight fitting (dustproof if required), waste receptacles to transport construction debris from the work area to the dumpster. Broom clean all floors no less than once a week. The Subcontractor shall empty such receptacles into the trash container when full or when directed to be emptied by the Construction Manager, Consultant, and/or Owner's Project Manager, but not less than weekly. The use of the Owner's waste and trash receptacles is strictly prohibited, except as otherwise provided by the Project specifications.
- 26.10 Failure to comply with the above requirements shall be cause for stopping work until the condition is corrected.

# **ARTICLE 27 BLASTING**

27.1 There shall be no blasting under any conditions on University of Kentucky property unless specified in these Special Conditions.

#### ARTICLE 28 CUTTING AND PATCHING - NEW AND EXISTING WORK

28.1 New Work - Cutting and patching shall be done by craftsmen skilled and experienced in the trade or craft that installed or furnished the original Work. Repairs shall be equal in quality and appearance to similar adjacent Work and shall not be obviously apparent as a patch or repair. Work that cannot be satisfactorily repaired shall be removed and replaced.

28.2 Existing Construction - Refer to Architectural, Mechanical, and Electrical drawings for cutting and patching. All new Work shall be connected to the existing construction in a neat and workmanlike manner, presenting a minimum of contrast between old and new Work. Do all patching of the existing construction as may be required for the new construction to be completed. Necessary patching, closing of existing openings, repairing and touching up shall be included as required for a proper, neat and workmanlike finished appearance. Any existing item that is to remain and is damaged during construction shall be replaced at the Subcontractor's expense.

# **ARTICLE 29 UNRELATED PROJECTS**

29.1 Unrelated construction projects may be under way in the vicinity of this Project or the site utility work during the course of the Work related to this Project. The Subcontractor for this Project must coordinate with any other contractors regarding overlapping areas. See Article 42 - Separate Contracts of the General Conditions.

#### ARTICLE 30 OWNER SUPPLIED MATERIALS

30.1 Owner, in an effort to expedite this Project, has pre-ordered certain long lead time items. The following is the list of material that has been pre-ordered:

#### 1. None

30.2 )All Pre-Ordered Material was specified to be shipped to the (Cancer Center / ASC). It will be the Subcontractor's responsibility to receive and off load the Pre-Ordered Material. If there is damage to the Pre-Ordered Material, then the Construction Manager is to notify the Owner's Project Manager immediately so that the Owner can seek replacement material.

### **ARTICLE 31 REMOVED ITEMS (Not Used)**

ARTICLE 32 INTERIOR ENCLOSURE AND DUST ENCAPSULATION (Not Used)

### ARTICLE 33 UKIT COMMUNICATIONS AND NETWORK SYSTEMS

33.1 The communications wiring is to be provided, installed and terminated by the Subcontractor using a certified and approved communications contractor. All work shall be done in compliance with the latest UKIT-Communications and Network Systems' Standards, and closely coordinated with UKIT-Communications and Network Systems.

### ARTICLE 34 EMERGENCY VEHICLE ACCESS

34.1 Emergency Vehicle Access must be maintained during construction. The Construction Manager shall coordinate with the local Fire and Emergency Medical Services department(s) that would respond to an emergency during the initial start up of construction to ensure a complete understanding of their requirements.

# ARTICLE 35 SMOKE DETECTORS / FIRE ALARM SYSTEMS- EXISTING AND/OR NEW FACILITIES (NOT USED)

# ARTICLE 36 SURVEYS, RECORDS, and REPORTS

- 36.1 General: Working from lines and levels established by property survey, and as shown in relation to the Work, the Construction Manager will establish and maintain bench marks and other dependable markers to set lines and levels for Work at each area of construction and elsewhere on site as needed to properly locate each element of the entire Project. The Subcontractor shall calculate and measure from the bench marks and dependable markers required dimensions as shown (within recognized tolerances if not otherwise indicated), and shall not scale drawings to determine dimensions. Construction Manager shall advise Sub-contractors performing Work of marked lines and levels provided for their use in layout of Work.
- 36.2 Survey Procedures: The Subcontractor shall verify layout information shown on drawings, as required for his own Work. As Work proceeds, surveyor shall check every major element for line, level, and plumb (as applicable), and maintain an accurate Surveyor's log or Record Book of such checks available for Construction Manager or Design Consultant's reference at reasonable times. Surveyor shall record deviations from required lines and levels, and advise Design Consultant, or Construction Manager promptly upon detection of deviations exceeding indicated or recognized tolerances. The Construction Manager shall record deviations which are accepted (not corrected) on Record Drawings.

#### ARTICLE 37 SMOKING IS PROHIBITED

- 37.1 For areas located within Fayette County, Kentucky, the use of <u>all</u> tobacco products is prohibited on all property that is owned, operated, leased, occupied, or controlled by the University. "Property" for purposes of this paragraph includes buildings and structures, grounds, parking structures, enclosed bridges and walkways, sidewalks, parking lots, and vehicles, as well as personal vehicles in these areas. To view the Lexington campus boundaries: <a href="http://www.uky.edu/TobaccoFree/files/map.pdf">http://www.uky.edu/TobaccoFree/files/map.pdf</a>.
- 37.2 Not Used.
- 37.3 Subcontractor 'semployees violating this prohibition will be subject to dismissal from the Project.

37.4 For the full Administrative Regulation see University AR 6:5. <a href="http://www.uky.edu/Regs/files/ar/ar6-5.pdf">http://www.uky.edu/Regs/files/ar/ar6-5.pdf</a>

### **ARTICLE 38 ALTERNATES**

- 38.1 Alternate(s) will be accepted in the sequence of the Alternates listed on the Bid Form, and the lowest Bid Sum will be computed on the basis of the sum of the base Bid and any alternates accepted, within the budgeted amount.
- 38.2 Schedule of Alternates: (Not Used)

# ARTICLE 39 FIELD CONSTRUCTED MOCK UPS (NOT USED)

- 39.1 Exterior Finishes
- 39.1.1 After sample selection but prior to ordering exterior finish materials, Subcontractor shall accumulate enough material samples to erect sample wall panels to further verify selection made for color and textural characteristics, and to represent completed Work for qualities of appearance, materials and construction including sample masonry units (face and back-up wythes, plus accessories), window units, roofing finish, etc. to provide a complete representation of the exterior facade for approval by the Consultant; build mock-ups to comply with the following requirements:
- 39.1.2 Build mock-ups well in advance of the time the finish materials will be needed for inclusion in the Work.
- 39.1.3 Locate mock-ups at location as reviewed and approved by the Architect and University's Project Manager, generally within 10 feet of existing building, parallel to existing face of building, and exposed to sunlight during daylight hours. Mock-Up to be reviewed twice, one in direct sunlight and one in shade to confirm color characteristics of samples.
- 39.1.4 Mock-ups Size(s) for the following types shall be approximately 6' long by 4' high by full thickness.

Each type of exposed Work.

- 39.1.5 Protect mock-ups from the elements with weather resistant membrane.
- 39.1.6 Retain mock-ups during construction as a standard for judging completed Work. When directed by the University's Project Manager or by the Consultant, demolish mock-ups and remove from the site.
- 39.2 Interior Finishes

- 39.2.1 After sample selection but prior to ordering interior finish materials, Subcontractor shall accumulate enough material samples to erect sample to further verify selection made for color and textural characteristics, and to represent completed Work for qualities of appearance, materials and construction; include samples of <u>interior finishes</u>, <u>including paint</u>, <u>wood stain</u>, <u>vinyl wallcovering</u>, <u>flooring and ceiling materials</u> to provide a complete representation for approval by the Consultant; build mock-ups to comply with the following requirements:
- 39.2.2 Build mock-ups well in advance of the time the finish materials will be needed for inclusion in the Work. Mock-ups may be on newly installed wall surfaces.
- 39.2.3 Locate mock-ups with adequate illumination for observation under intended light levels.
- 39.2.4 Retain mock-ups during construction as a standard for judging completed Work. When directed by the University's Project Manager or by the Consultant, remove mock-ups from site or incorporate into the completed work.

### ARTICLE 40 PROJECT COORDINATION VIA COMPUTER

- 40.1 The Construction Manager and Subcontractors are required to have an active email account to facilitate coordination of the project during construction and warranty.
- 40.2 To facilitate project construction coordination between the Consultant, the Construction Manager, Subcontractors, and the University of Kentucky as the Owner, UK Capital Project Management Division (CPMD) is hosting an Internet/ Web-based Project Management System (WPMS) to help improve project communication and collaboration. The Consultant shall participate in the use of the WPMS (UK E-Communication® or other system at the Owner's discretion) providing collaboration between Owner, the Consultant and selected contractors.
- 40.2.1 Owner shall provide the Construction Manager and Subcontractors with user accounts and appropriate training for the web-based project management tool.
- 40.2.2 Utilization of, and training in the use of, the WPMS will be arranged for and supervised by Owner.
- 40.2.3 Participation of Construction Manager is mandatory; others as determined by Owner. Participation of Subcontractors and/or Trade Contractors is not mandatory but will be offered at their discretion.
- 40.2.4 All participants are required to have access to the internet and the Microsoft Internet Explorer browser (version 5.0 or higher). A broadband connection to the internet (e.g. Cable modem, ISDN, DSL) is recommended, but not required.

- 40.2.5 The WPMS shall be utilized for the following functions, as a minimum: Posting Project Files, AE Amendments, Architect's Supplemental Information (ASI's), Closeouts, Consultant Invoices, Contracts, Defective Work in Place, Meeting Minutes, Payment Applications, Proposed Change Orders Change Orders (PCO to CO's), Punch Lists, Reports (Contractor Daily Reports, Field Reports, Commissioning Reports), RFIs, SAP Equipment List, Schedules, and Submittals. The Document Library (Bid set Plans, Specifications and Addenda will be uploaded by Lynn Imaging.
- 40.2.6 Site camera monitors may be included at Owner's discretion.
- 40.2.7 Utilization of the WPMS shall be implemented by the Owner's representative.
- 40.2.8 Use of the system will provide consistent, real-time information for decision making. Additionally, all project data entered into the system will be archived to facilitate project record keeping. It is anticipated that proper use of the WPMS will improve efficiency of communications and reduce project related paperwork and clerical workload.
- 40.2.9 The Construction Manager and Consultant shall submit complete close-out and submittal logs in E-Communication, or WPMS, including description of all deliverables to be submitted by the construction manager or trade contractors during Phase 3, Construction Documents Phase.

# **ARTICLE 41 HOT WORK PERMITS (Not Used)**

41.1 All work involving open flames or producing heat and or sparks in occupied buildings on the University of Kentucky campus will require the Construction Manager to obtain approval to perform "Hot Work" on site. This includes, but is not limited to: Brazing, Cutting, Grinding, Soldering, Thawing Pipe, Torch Applied Roofing, and Cad welding. A copy of the Hot Work Permit and the Hot Work Permit Procedure will be passed out at the Preconstruction Conference for the Construction Manager's use.

# **ARTICLE 42 INSURANCE**

- 42.1 Employers' Liability Insurance. The Construction Manager shall acquire and maintain Employers' Liability insurance with at least \$500,000/\$500,000/\$500,000 limits of liability for all employees who will be working at the Project site.
- 42.2.1 Commercial General Liability Insurance. If the work involved requires the use of helicopters, a separate aviation liability policy with limits of liability of \$100,000,000 will be required. If cranes and rigging are involved, a separate inland marine policy with liability limits of \$15,000,000 will be required.
- 42.2.1.1 The limits of liability shall not be less than \$5,000,000 each occurrence combined single limits for bodily injury and property damage. If split limits are used,

they shall not be less than \$2,000,000 for each person and each occurrence and \$1,000,000 for property damage.

- 42.2.2 Comprehensive Automobile Liability Insurance. Policy limits shall not be less than \$2,000,000 for combined single limits for bodily injury and property damage for each occurrence. As an alternative, split limits of not less than \$1,000,000 for bodily injury and \$500,000 for property damage for each occurrence shall be maintained.
- 42.2.3 Excess or Umbrella Liability Insurance. This policy shall have a minimum of \$10,000,000 combined single limits for bodily injury and property damage for each occurrence in excess of the applicable limits in the primary policies.
- 42.2.4 Workers' Compensation- Statutory Requirements (Kentucky)

# **ARTICLE 43 KEY ACCESS (Not Used)**

- 43.1 If Construction Cores are NOT utilized, then one set of keys for access to the renovation project area will be provided to the Construction Manager/Vendor's Project Manager/Superintendent by the University's Project Manager. The Construction Manager/Vendor's holder of the key(s) assumes responsibility for the safekeeping of the key(s) and its use. When leaving the renovation area all doors must be secured.
- All keys must be returned to the University's Project Manager upon completion of project work as one of the requirements for Final Payment. Failure to return the keys may require re-keying of all doors in the work area up to and including the entire building if master keys are issued. The cost of re-keying of the door(s) accessed by the key(s) will be subtracted from the remaining contract dollars including contract retainage.
- 43.3 All lost or stolen keys must be reported immediately to the University's Project Manager.

### ARTICLE 44 CEILING CLEARANCE

- 44.1 Work above ceiling: All work above an area with lay-in ceiling must be coordinated and installed so there is a minimum of 4" between the top of the ceiling grid runners and bottom of the installation. Installation shall not obstruct equipment access space or equipment removal space. Also, conduit and pipe attached to the wall must be above the 4" minimum level.
- 44.2 Coordination Between Trades: Request and examine all drawings and specifications pertaining to the construction before installing above ceiling work. Cooperate with all other contractors in locating piping, ductwork, conduit, openings, chases, and equipment in order to avoid conflict with any other contractor's work. Give special attention to points where ducts or piping must cross other ducts and piping, and where ducts, piping and conduit must fur into the walls and columns. Make known to

other trades intended positioning of materials and intended order of work. Determine intended position of work of other trades and intended order of installation.

### **ARTICLE 45 METAL ANCHORS**

45.1 All anchoring devices utilized to secure materials to the building shall be metal. Plastic or plastic expansion components shall not be used. This shall include all fasteners for mechanical/electrical hangers.

**ARTICLE 46 LOADING DOCK (Not Used)** 

**ARTICLE 47 CONSTRUCTION PATH (Not Used)** 

ARTICLE 48 HOSPITAL PROJECT PROCEDURE (Not Used)

ARTICLE 49 WORKING HOURS/ACCESS: FOR MEDICAL CENTER/HOSPITAL (Not Used)

ARTICLE 50 SECURITY BADGES AND MEDICAL CENTER SECURITY (Not Used)

# ARTICLE 51 HOSPITAL CONSTRUCTION CERTIFICATION (NOT USED)

- 51.1 This Project involves working in what will become a fully functioning Medical Facility. Individuals responsible for the work occurring on the site should be thoroughly familiar with the hazards and procedures associated with construction in the healthcare environment.
- 51.2 All superintendents and foremen for the Construction Manager and the Mechanical and Electrical sub-contractors shall be required to hold at least one (1) of the certifications listed below from the associated organizations prior to working on the project.

Healthcare Construction Certificate - American Society for Healthcare Engineers Certified Healthcare Contractor - Kentucky Society of Healthcare Engineers

51.3 Should the required certifications not be in effect at the date of the work order, the University project manager may, at his or her discretion, grant a grace period for the required training.

**ARTICLE 52 APPEARANCE (Not Used)** 

ARTICLE 53 HIPAA (The Health Insurance Portability and Accountably Act) (Not Used)

**ARTICLE 54 SAFETY & FIRE PROCEDURES (Not Used)** 

# ARTICLE 55 INTERIM LIFE SAFETY MEASURES (ILSM) (Not Used)

# **ARTICLE 56 TREE PROTECTION STANDARDS**

56.1 Contractor will adhere to all provisions outlined in 010000S02 Tree Protection Standards.

# ARTICLE 57 CONTRACTOR/SUPERINTENDENT EXPERIENCE

See Article 51

# **ARTICLE 58 COVID-19 POLICY**

Any and all companies/organizations working on the University of Kentucky's campus shall have in place for the period of the contract a COVID-19 policy that is consistent with the University of Kentucky's current COVID-19 policy.