



University of
Kentucky[®]
Procurement Services

Request for Proposal

UK-2426-24

Proposal Due Date – 4/9/24

Transportation of Cash & Checks



REQUEST FOR PROPOSAL (RFP)

ATTENTION: This is not an order. Read all instructions, terms and conditions carefully.

PROPOSAL NO.:	UK-2426-24	RETURN ORIGINAL COPY OF PROPOSAL TO:
Issue Date:	3/8/24	UNIVERSITY OF KENTUCKY
Title:	Transportation of Cash & Checks	PROCUREMENT SERVICES
Purchasing Officer:	Rebecca Purcell	411 S LIMESTONE
Phone:	859-257-5479	ROOM 322 PETERSON SERVICE BLDG.
Email:	Rpurcell@uky.edu	LEXINGTON, KY 40506-0005

IMPORTANT: PROPOSALS MUST BE RECEIVED BY: 4/9/24 3 P.M. LEXINGTON, KY TIME.

NOTICE OF REQUIREMENTS

1. The University's General Terms and Conditions and Instructions to Bidders, viewable at <https://purchasing.uky.edu/bid-and-proposal-opportunities>, apply to this RFP. When the RFP includes construction services, the University's General Conditions and Special Conditions for Construction and Instructions to Bidders, viewable at <https://purchasing.uky.edu/bid-and-proposal-opportunities>, apply to the RFP.
2. Contracts resulting from this RFP must be governed by and in accordance with the laws of the Commonwealth of Kentucky.
3. Any agreement or collusion among offerors or prospective offerors, which restrains, tends to restrain, or is reasonably calculated to restrain competition by agreement to bid at a fixed price or to refrain from offering, or otherwise, is prohibited.
4. Any person who violates any provisions of KRS 45A.325 shall be guilty of a felony and shall be punished by a fine of not less than five thousand dollars nor more than ten thousand dollars, or be imprisoned not less than one year nor more than five years, or both such fine and imprisonment. Any firm, corporation, or association who violates any of the provisions of KRS 45A.325 shall, upon conviction, be fined not less than ten thousand dollars or more than twenty thousand dollars.

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing as provided by KRS 523.040:

1. That I am the offeror (if the offeror is an individual), a partner, (if the offeror is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the offeror is a corporation);
2. That the attached proposal has been arrived at by the offeror independently and has been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other Contractor of materials, supplies, equipment or services described in the RFP, designed to limit independent bidding or competition;
3. That the contents of the proposal have not been communicated by the offeror or its employees or agents to any person not an employee or agent of the offeror or its surety on any bond furnished with the proposal and will not be communicated to any such person prior to the official closing of the RFP;
4. That the offeror is legally entitled to enter into contracts with the University of Kentucky and is not in violation of any prohibited conflict of interest, including, but not limited to, those prohibited by the provisions of KRS 45A.330 to .340, and 164.390;
5. That the offeror, and its affiliates, are duly registered with the Kentucky Department of Revenue to collect and remit the sale and use tax imposed by Chapter 139 to the extent required by Kentucky law and will remain registered for the duration of any contract award;
6. That I have fully informed myself regarding the accuracy of the statement made above.

SWORN STATEMENT OF COMPLIANCE WITH CAMPAIGN FINANCE LAWS

In accordance with KRS 45A.110 (2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to a bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

CONTRACTOR REPORT OF PRIOR VIOLATIONS OF KRS CHAPTERS 136, 139, 141, 337, 338, 341 & 342

The contractor by signing and submitting a proposal agrees as required by 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341 and 342 that have occurred in the previous five (5) years prior to the award of a contract and agrees to remain in continuous compliance with the provisions of the statutes during the duration of any contract that may be established. Final determinations of violations of these statutes must be provided to the University by the successful contractor prior to the award of a contract.

CERTIFICATION OF NON-SEGREGATED FACILITIES

The contractor, by submitting a proposal, certifies that he/she is in compliance with the Code of Federal Regulations, No. 41 CFR 60-1.8(b) that prohibits the maintaining of segregated facilities.

SIGNATURE REQUIRED: This proposal cannot be considered valid unless signed and dated by an authorized agent of the offeror. Type or print the signatory's name, title, address, phone number and fax number in the spaces provided. Offers signed by an agent are to be accompanied by evidence of his/her authority unless such evidence has been previously furnished to the issuing office

DELIVERY TIME:	NAME OF COMPANY:	DUNS #
PROPOSAL FIRM THROUGH:	ADDRESS:	Phone/Fax:
PAYMENT TERMS:	CITY, STATE & ZIP CODE:	E-MAIL:
SHIPPING TERMS: F. O. B. DESTINATION PREPAID AND ALLOWED FEDERAL EMPLOYER ID NO.:	TYPED OR PRINTED NAME:	WEB ADDRESS:
	SIGNATURE:	DATE:

Table of Contents

1.0 DEFINITIONS	6
2.0 GENERAL OVERVIEW.....	7
2.1 Intent and Scope	7
2.2 Background Information	7
2.3 University Information	8
2.4 Economic Inclusion and Procurement	11
3.0 PROPOSAL REQUIREMENTS.....	12
3.1 Key Event Dates.....	12
3.2 Offeror Communication	12
3.3 Offeror Presentations	12
3.4 Preparation of Offers	13
3.5 Proposed Deviations from the RFP	13
3.6 Proposal Submission and Deadline	13
3.7 Modification or Withdrawal of Offer.....	14
3.8 Acceptance or Rejection and Award of Proposal	14
3.9 Rejection	14
3.10 Addenda.....	14
3.11 Disclosure of Offeror's Response	15
3.12 Restrictions on Communications with University Staff	15
3.13 Cost of Preparing Proposal.....	15
3.14 Disposition of Proposals	15
3.15 Alternate Proposals	15
3.16 Questions	16
3.17 Section Titles in the RFP	16
3.18 No Contingent Fees.....	16
3.19 Proposal Addenda and Rules for Withdrawal.....	16
3.20 Requirement To Perform Vendor Onboarding and Registration.....	16
4.0 PROPOSAL FORMAT AND CONTENT	17
4.1 Proposal Information and Criteria	17
4.2 Signed Authentication of Proposal and Statements of Non-Collusion and Non-Conflict of Interest Form	17

4.3	Transmittal Letter	17
4.4	Executive Summary and Proposal Overview	18
4.5	Criteria 1 - Offeror Qualifications	19
4.6	Criteria 2 – Services Defined	21
4.7	Criteria 3 – Financial Proposal.....	22
4.8	Criteria 4 – Evidence of Successful Performance and Implementation Schedule	22
4.9	Criteria 5 – Other Additional Information.....	23
5.0	EVALUATION CRITERIA PROCESS.....	24
6.0	SPECIAL CONDITIONS.....	25
6.1	Contract Term	25
6.2	Effective Date	25
6.3	Competitive Negotiation	25
6.4	Appearance Before Committee	25
6.5	Additions, Deletions or Contract Changes	25
6.6	Contractor Cooperation in Related Efforts	26
6.7	Entire Agreement	26
6.8	Governing Law	26
6.9	Kentucky's Personal Information Security and Breach Investigation Procedures and Practices Act	26
6.10	Termination for Convenience.....	27
6.11	Termination for Non-Performance	27
6.12	Funding Out	28
6.13	Prime Contractor Responsibility.....	28
6.14	Assignment and Subcontracting	28
6.15	Permits, Licenses, Taxes.....	28
6.16	Attorneys' Fees	28
6.17	Royalties, Patents, Copyrights and Trademarks	29
6.18	Indemnification	29
6.19	Insurance	29
6.20	Method of Award	30
6.21	Reciprocal Preference	30
6.22	Reports and Auditing	30
6.23	Confidentiality.....	31

6.24 Conflict of Interest	31
6.25 Copyright Ownership and Title to Designs and Copy	32
6.26 University Brand Standards	32
6.27 Printing Statutes	33
6.28 Requirement for Contract Administration Fee	33
6.29 Payment Terms	34
7.0 SCOPE OF SERVICES	35
7.1 Detailed Services Defined	35
8.0 FINANCIAL OFFER SUMMARY	38
8.1 Mandatory Services (Section 7.1)	38
8.2 Price Protection	39
8.3 Alternate Pricing	39

1.0 DEFINITIONS

The term "addenda" means written or graphic instructions issued by the University of Kentucky prior to the receipt of proposals that modify or interpret the RFP documents by additions, deletions, clarifications and/or corrections.

The term "competitive negotiations" means the method authorized in the Kentucky Revised Statutes, Chapter 45A.085.

The terms "offer" or "proposal" mean the offeror's/offers' response to this RFP.

The term "offeror" means the entity or contractor group submitting the proposal.

The term "contractor" means the entity receiving a contract award.

The term "purchasing agency" means the University of Kentucky, Procurement Services, Room 322 Peterson Service Building, Lexington, KY 40506-0005.

The term "purchasing official" means the University of Kentucky's appointed contracting representative.

The term "responsible offeror" means a person, company or corporation that has the capability in all respects to perform fully the contract requirements and the integrity and reliability that will assure good faith performance. In determining whether an offeror is responsible, the University may evaluate various factors including (but not limited to): financial resources; experience; organization; technical qualifications; available resources; record of performance; integrity; judgment; ability to perform successfully under the terms and conditions of the contract; adversarial relationship between the offeror and the University that is so serious and compelling that it may negatively impact the work performed under this RFP; or any other cause determined to be so serious and compelling as to affect the responsibility of the offeror.

The term "solicitation" means RFP.

The term "University" means University of Kentucky.

2.0 GENERAL OVERVIEW

2.1 Intent and Scope

This Request for Proposal is issued to solicit proposals from qualified, experienced, financially sound, bonded and responsible firms for providing certain internal and external cash handling and check transportation needs for the University of Kentucky.

It is the University's intention to award a single contract; however, Offerors may submit a proposal on providing any or all of the following requested services.

The scope of services is further defined in Section 7.0.

A. Transportation of Bank Deposits from Campus Departments

Pick-up and secure transportation of cash and check deposits from multiple locations throughout the University of Kentucky campus or surrounding areas for delivery to the University's primary financial institution's contracted vault service. These services are further defined in Section 7.1.A., Scope of Services.

B. Transportation of Bank Deposits from Deposit Kiosks

Pick-up and secure transportation of cash and check deposits from three (3) Deposit Kiosks located within three (3) separate buildings on the University of Kentucky campus for delivery to the University's primary financial institution's contracted vault service. These services are further defined in Section 7.1.B., Scope of Services.

C. Transportation of Cash Change Funds

Pick-up and secure transportation of change fund orders from the University of Kentucky's primary financial institution's contracted vault service with delivery to select department locations within the University of Kentucky campus or surrounding areas. These services are further defined in Section 7.1.C., Scope of Services.

2.2 Background Information

There are several departments on campus or surrounding areas that have a need for their daily bank deposits to be picked up on a regularly scheduled basis (e.g. daily, twice per week, etc.) and transported to the University's primary financial institution's contracted vault service. Appendices A and B show the physical address and preferred daily pickup schedule of each of those locations, as well as a historical breakdown of the cash versus checks of the deposits being transported for each location. When preparing the deposit for transport, the departments keep digital images of their checks being deposited for future reference in the event of return checks or lost deposits. The departments place all of their deposits into a single tamper evident plastic bag, seal the bags, and write the total amount of the contents on the exterior of the bag prior to pick-up. The departments keep a log of the bag numbers and totals being transported. The armored courier then picks up the tamper evident deposit bags at each location, signing a log that they have picked them up, and then transports the deposits to the University's primary financial institution's contracted vault service.

Additionally, many departments deliver their deposits directly to Treasury Services in Room 356 of the Frank D. Peterson Service Building. Following similar procedures described in the previous paragraph, Treasury Services combines all deposits received from departments into a centralized bank deposit to be picked up by an armored courier and securely transported to the university's primary financial institution's contracted vault service for processing and deposit. The deposits to be transported are in sealed, tamper evident plastic bags with totals written on the exterior and entered into an internal log. The armored courier picks up the tamper evident deposit bags at Treasury Services, signing a log that they have picked them up, and then transports the deposits to the vault service. In order to ensure that most deposits received in a single day get included in the outgoing deposit, we would prefer that this pickup occur in the late afternoon as close to 4:00 p.m. as possible.

Treasury Services also maintains three (3) Deposit Kiosks installed in separate buildings within the University of Kentucky campus. Appendices A and B, which contain confidential data, show the physical address and preferred daily pickup schedule of each of those locations, as well as a historical breakdown of the cash versus checks of the deposits being transported for each location. These units, similar to a night depository or small ATM, provide convenience to departments located in remote areas of campus by eliminating the need to walk long distances, or drive across campus, to make their deposit at the Treasury Services window within the Peterson Service Building. Departmental staff within close proximity to the deposit kiosks prepare their deposits in accordance with normal University procedures and then deposit them into the kiosks. Deposits placed in the kiosks are electronically logged by bag number, type of deposit, and amount within the kiosk system. Thus, there is an electronic record of all deposits made into the deposit kiosk and retrieved by the armored courier service. A manifest log will be printed and emailed upon retrieval of the deposits. In accordance with the schedule for each deposit kiosk, an armored courier will pick-up the deposits and securely transport them to the university's primary financial institution's contracted vault service for processing and depositing. The procedures for servicing each deposit kiosk are defined in Section 7.1.B., Scope of Services.

There are several departments on campus or surrounding areas that require coins and currency to make change for purchases of goods or services. These departments order change through the university's primary financial institution. The orders are communicated from the financial institution to the armored courier service who then picks up those change orders from the financial institution's contracted vault service and delivers them to the university department on or around campus that placed the order. These orders may vary in amount and how often a department places an order.

2.3 University Information

Upon his arrival in 2011, President Eli Capilouto set an ambitious agenda to extend and enhance our role as Kentucky's land-grant and flagship research university. By focusing on infrastructure growth and improvement; creating opportunities for innovative teaching, learning and academic excellence; fostering a robust research enterprise; providing life-saving subspecialty care; empowering communities through service and outreach; and encouraging a transparent and shared dialogue about institutional priorities; the University of Kentucky will help ensure a Kentucky tomorrow that is healthier, wealthier and wiser than it is today.

Our mission is to advance Kentucky.

Founded in 1865 as a land-grant institution adjacent to downtown Lexington, UK is nestled in the scenic heart of the beautiful Bluegrass region of Kentucky. From its early beginnings, with only 190 students and 10 professors, UK's campus now covers more than 900 acres. The university enrolled more than 32,000 students in Fall 2022 and has approximately 25,000 employees, including nearly 3,000 full-time faculty.

UK is one of a small number of universities in the United States that has programs in agriculture, engineering, law, fine arts and a full complement of health colleges including medicine and pharmacy, on a single campus alongside an academic health system, leading to groundbreaking discoveries and unique interdisciplinary collaboration.

The state's flagship university consists of 18 academic and professional colleges where students can choose from more than 200 majors and degree programs at the undergraduate and graduate levels. The colleges are Agriculture, Food and Environment; Arts and Sciences; Business and Economics; Communication and Information; Dentistry; Design; Education; Engineering; Fine Arts; Graduate School; Health Sciences; Honors; Law; Medicine; Nursing; Pharmacy; Public Health; and Social Work. These colleges are supported by a modern research library system.

Research at the University of Kentucky is a dynamic enterprise encompassing both traditional scholarship and emerging technologies. UK's research faculty, staff and students are establishing UK as one of the nation's most prolific public research universities. UK researchers were awarded more than \$452.9 million in extramural grant and contract funding in fiscal year 2022. Fifty-six percent of this funding comes from agencies in the federal government (\$256 million) such as the National Institutes of Health, National Science Foundation, Department of Energy, Department of Defense and numerous other federal, state and industry sponsors. Expenditures from research and development (R&D) activities at the university generate more than \$772 million in economic development across the Commonwealth of Kentucky and support more than 4,395 jobs.

With more than 70 research centers and institutes, UK researchers are discovering new knowledge, providing a rich training ground for current students and the next generation of researchers and advancing the economic growth of the Commonwealth of Kentucky. Several centers excel in the services offered to the public. The Gluck Equine Research Center is one of only three facilities of its kind in the world, conducting equine disease research.

The Center for Applied Energy Research (CAER) is internationally recognized for research in algae for carbon dioxide clean up, carbon materials, concrete and cement, emissions control in utilities, energy policy, fuels research, hydrogen, materials characterization and plant optimization.

Among the brightest examples of UK's investment in transformative research is the Markey Cancer Center. As a center of excellence and distinction at UK, Markey's robust research and clinical enterprise is the cornerstone of our commitment to Kentucky – fundamental to our success in uplifting lives through our endeavors and improving the general health and welfare of our state – burdened by the nation's highest rate of cancer deaths per 100,000 people. In 2013, Markey earned the prestigious National Cancer Institute-designation (NCI) – one of 68 nationally and the only one in Kentucky. The designation was renewed in 2018.

Both CAER and Markey are cornerstones of seven Research Priority Areas (RPAs) at the University of Kentucky. These areas — chosen based on local relevance, existing funding strength, sustainability and disciplinary scholarly diversity — focus UK's top research talent on the most pressing challenges confronting our state.

The University of Kentucky is the recipient of a Clinical Translational Sciences Award (CTSA) from the National Institutes of Health (NIH). As one of only 60 institutions with this research distinction, UK was awarded the CTSA for its potential in moving research and discovery in the lab into practical field and community applications. The CTSA and NCI are part of a trifecta of federal research grants that includes an Alzheimer's Disease Center. UK is one of only 29 universities in the country to hold all three premier grants from NIH.

Established in 1957, the medical center at UK is one of the nation's finest academic medical centers and includes the university's clinical enterprise, UK HealthCare. Licensed for 965 beds across UK Albert B. Chandler Hospital, Kentucky Children's Hospital and UK Good Samaritan Hospital, the system is supported by a growing faculty and staff providing the most advanced subspecialty care for the most critically injured and ill patients throughout the Commonwealth and beyond. Since 2014, the number of patients served by the medical enterprise has nearly doubled, with more than 38,000 discharges in 2022.

UK Chandler Hospital includes the only Level 1 Trauma Center for both adult and pediatric patients in Central and Eastern Kentucky. In addition, UK HealthCare recently opened one of the country's largest robotic hybrid operating rooms and the first of its kind in the region. While the new patient care pavilion is the leading health care facility for advanced medical procedures in the region, our talented physicians consult with and travel to our network of affiliate hospitals so Kentuckians can receive the best health care available close to their home and never need to leave the Bluegrass for complex subspecialty care.

As of December 1, 2022, King's Daughters Medical Center, based in Ashland, Kentucky, officially became part of the University of Kentucky. King's Daughters Medical Center serves a 16-county region across Kentucky, Ohio and West Virginia. Its health system is composed of two acute-care hospitals totaling 465 licensed beds, more than 50 ambulatory centers and practice locations, a long-term care facility, medical transport company and six urgent care centers.

UK's agenda remains committed to accelerating the university's academic excellence in all areas and gaining worldwide recognition for its outstanding academic programs, its commitment to students, its investment in pioneering research and discovery, its success in building a diverse community and its engagement with the larger society. This commitment is all part of the university's mission as a 21st century flagship and land-grant research university. From its Nobel Laureates to cutting-edge work in addressing health disparities, and from the artistic wonders that stir souls to our scientific creativity that inspires minds, UK seeks a brighter future through the contributions of our faculty, staff, students and alumni.

We are the University of Kentucky. We are committed to advancing Kentucky in everything that we do.

SUSTAINABILITY

Sustainability is an institution-wide priority for the University of Kentucky. We strive to ensure that all activities are ecologically sound, socially just, and economically viable, and that they will continue to be so for future generations. This commitment also prioritizes the integration of these principles in curricula, research, athletics, health care, creative works, and outreach. This principled approach to operational practices and intellectual pursuits is intended to prepare students and empower the campus community to support sustainable development in the Commonwealth and beyond. The UK Sustainability Strategic Plan guides these efforts (<https://www.uky.edu/sustainability/sustainability-strategic-plan>).

2.4 Economic Inclusion and Procurement

The University of Kentucky is committed to serving as an advocate for diverse businesses and Kentucky located businesses. Diverse Business Enterprises (DBE) consist of minority, women, disabled, veteran and disabled veteran owned business firms that are at least fifty-one percent owned and operated by an individual(s) of the aforementioned categories. Also included in this category are disabled business enterprises and non-profit work centers for the blind and severely disabled. To be deemed a Kentucky located Business a company must have a physical facility located in the Commonwealth of Kentucky that is engaged in on-going business operations.

The University is committed to increasing the amount of goods and services acquired from businesses owned and controlled by diverse persons to 10% of all procurement expenditures. The University expects its suppliers to support and assist in this effort. The University is also dedicated to increasing the amount of goods and services acquired from Kentucky located companies to the greatest extent possible in support of our economic development efforts.

Among the University's goals for DBE participation in procurement are:

- To ensure the absence of barriers that reduce their participation.
- Educate vendors on "how to" do business with the University.
- Support diverse and Kentucky located vendors seeking to do business with the University in the areas of goods, services, construction, and other areas of procurement.
- Encourage participation of qualified diverse and Kentucky located vendors by directing them to agencies that can benefit from their product or service.
- Provide resources for diverse and Kentucky located vendors.
- Sponsor events to assist diverse and Kentucky located vendors in becoming active, responsible, and responsive participants in the University's purchasing opportunities.

For additional information regarding how diverse and Kentucky located suppliers may participate in this Request for Proposal, submit any questions to the Procurement Officer as indicated in Section 3.2 by the Deadline for Written Questions date.

3.0 PROPOSAL REQUIREMENTS

3.1 Key Event Dates

Release of RFP	3/8/24
Deadline for Written Questions	3 p.m. Eastern Time on 3/14/24
RFP Proposals Due	3 p.m. Eastern Time on 4/9/24

3.2 Offeror Communication

To ensure that RFP documentation and subsequent information (modifications, clarifications, addenda, Written Questions and Answers, etc.) are directed to the appropriate persons within the offeror's firm, each offeror who intends to participate in this RFP is to provide the following information to the purchasing officer. Prompt, thorough compliance is in the best interest of the offeror. Failure to comply may result in incomplete or delayed communication of addenda or other vital information. Contact information is the responsibility of the offeror. Without the prompt information, any communication shortfall shall reside with the offeror.

- Name of primary contact
- Mailing address of primary contact
- Telephone number of primary contact
- Fax number of primary contact
- E-mail address of primary contact
- Additional contact persons with same information provided as primary contact

This information shall be transmitted via fax or e-mail to:

Rebecca Purcell
Procurement Services
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
Phone: (859) 257-5479
Fax: (859) 257-1951
E-mail: rpurcell@uky.edu

All communication with the University regarding this RFP should only be directed to the purchasing officer listed above.

3.3 Offeror Presentations

All offerors whose proposals are judged acceptable for award may be required to make a presentation to the evaluation committee.

3.4 **Preparation of Offers**

The offeror is expected to follow all specifications, terms, conditions and instructions in this RFP.

The offeror will furnish all information required by this solicitation.

Proposals should be prepared simply and economically, providing a description of the offeror's capabilities to satisfy the requirements of the solicitation. Emphasis should be on completeness and clarity of content. All documentation submitted with the proposal should be bound in the single volume except as otherwise specified.

An electronic version of the RFP, in .PDF format only, is available through the University of Kentucky Procurement Services website at: <https://purchasing.uky.edu/bid-and-proposal-opportunities>.

3.5 **Proposed Deviations from the RFP**

The stated requirements appearing elsewhere in this RFP shall become a part of the terms and conditions of any resulting contract. Any deviations therefrom should be specifically defined in accordance with the transmittal letter, Section 4.3 (d). If accepted by the University, the deviations shall become part of the contract, but such deviations must not be in conflict with the basic nature of this RFP.

Note: Offerors should not submit their standard terms and conditions as exceptions to the University's General Terms and Conditions. Each exception to the University's General Terms and Conditions should be individually addressed.

3.6 **Proposal Submission and Deadline**

Offeror should provide the following materials prior to 3 p.m. (Lexington, KY time) on the date specified in Section 3.1 and addressed to the purchasing officer listed in Section 3.2:

- **Technical Proposal:** Two (2) copies on electronic storage devices (USB) (1 copy per storage device) each clearly marked with the proposal number and name, firm name and what is included (Technical Proposal) and two (2) printed original copies
- **Financial Proposal:** Two (2) copies on electronic storage devices (USB) (1 copy per storage device) each clearly marked with the proposal number and name, firm name and what is included (Financial Proposal) and two (2) printed original copies

Note: Proposals received after the closing date and time will not be considered. In addition, proposals received via fax or e-mail are not acceptable.

The University of Kentucky accepts deliveries of RFPs Monday through Friday from 8 a.m. to 5 p.m. Lexington, KY time. However, RFPs must be received by 3 p.m. Lexington, KY time on the date specified on the RFP in order to be considered.

Proposals shall be enclosed in sealed envelopes to the above referenced address and should show on the face of the envelope: the closing time and date specified, the solicitation number and the

name and address of the offeror. The technical proposal should be submitted in a sealed envelope and the financial proposal should be submitted in a sealed envelope under separate cover. Both sealed envelopes should have identical information on the cover, with the addition that one will state "Technical Information," and the other, "Financial Proposal."

Note: In accordance with the Kentucky Revised Statute 45A.085, there will be no public opening.

3.7 Modification or Withdrawal of Offer

An offer and/or modification of offer received at the office designated in the solicitation after the exact hour and date specified for receipt will not be considered.

An offer may be modified or withdrawn by written notice before the exact hour and date specified for receipt of offers. An offer also may be withdrawn in person by an offeror or an authorized representative, provided the identity of the person is made known and the person signs a receipt for the offer, but only if the withdrawal is made prior to the exact hour and date set for receipt of offers.

3.8 Acceptance or Rejection and Award of Proposal

The University reserves the right to accept or reject any or all proposals (or parts of proposals), to waive any informalities or technicalities, to clarify any ambiguities in proposals and (unless otherwise specified) to accept any item in the proposal. In case of error in extension or prices or other errors in calculation, the unit price shall govern. Further, the University reserves the right to make a single award, split awards, multiple awards or no award, whichever is in the best interest of the University.

3.9 Rejection

Grounds for the rejection of proposals include (but not be limited to):

- Failure of a proposal to conform to the essential requirements of the RFP.
- Imposition of conditions that would significantly modify the terms and conditions of the solicitation or limit the offeror's liability to the University on the contract awarded on the basis of such solicitation.
- Failure of the offeror to sign the University RFP. This includes the Authentication of Proposal and Statement of Non-Collusion and Non-Conflict of Interest statements.
- Receipt of proposal after the closing date and time specified in the RFP.

3.10 Addenda

Any addenda or instructions issued by the purchasing agency prior to the time for receiving proposals shall become a part of this RFP. Such addenda should be acknowledged in the proposal.

No instructions or changes shall be binding unless documented by a proper and duly issued addendum.

3.11 Disclosure of Offeror's Response

The RFP specifies the format, required information and general content of proposals submitted in response to this RFP. The purchasing agency will not disclose any portions of the proposals prior to contract award to anyone outside Procurement Services, the University's administrative staff, representatives of the state or federal government (if required) and the members of the committee evaluating the proposals. After a contract is awarded in whole or in part, the University shall have the right to duplicate, use or disclose all proposal data submitted by offerors in response to this RFP as a matter of public record.

Any submitted proposal shall remain valid six (6) months after the proposal due date.

The University shall have the right to use all system ideas, or adaptations of those ideas, contained in any proposal received in response to this RFP. Selection or rejection of the proposal will not affect this right.

3.12 Restrictions on Communications with University Staff

From the issue date of this RFP until a contractor is selected and a contract award is made, offerors are not allowed to communicate about the subject of the RFP with any University administrator, faculty, staff or members of the board of trustees except: the purchasing office representative, any University purchasing official representing the University administration, others authorized in writing by the purchasing office and University representatives during offeror presentations. If violation of this provision occurs, the University reserves the right to reject the offeror's proposal.

3.13 Cost of Preparing Proposal

Costs for developing the proposals and any subsequent activities prior to contract award are solely the responsibility of the offerors. The University will provide no reimbursement for such costs.

3.14 Disposition of Proposals

All proposals become the property of the University. The successful proposal will be incorporated into the resulting contract by reference.

3.15 Alternate Proposals

Offerors may submit alternate proposals. If more than one proposal is submitted, all should be complete (separate) and comply with the instructions set forth within this document. Each proposal will be evaluated on its own merits.

3.16 Questions

All questions should be submitted by either fax or e-mail to the purchasing officer listed in Section 3.2 no later than the date listed in Section 3.1.

3.17 Section Titles in the RFP

Section titles used herein are for the purpose of facilitating ease of reference only and shall not be construed to infer the construction of contractual language.

3.18 No Contingent Fees

No person or selling agency shall be employed or retained or given anything of monetary value to solicit or secure this contract, except bona fide employees of the offeror or bona fide established commercial or selling agencies maintained by the offeror for the purpose of securing business. For breach or violation of this provision, the University shall have the right to reject the proposal, annul the contract without liability, or, at its discretion, deduct from the contract price or otherwise recover the full amount of such commission, percentage, brokerage or contingent fee or other benefit.

3.19 Proposal Addenda and Rules for Withdrawal

Prior to the date specified for receipt of offers, a submitted proposal may be withdrawn by submitting a written request for its withdrawal to the University purchasing office, signed by the offeror. Unless requested by the University, the University will not accept revisions or alterations to proposals after the proposal due date.

3.20 Requirement To Perform Vendor Onboarding and Registration

As a condition of award, and for any renewals performed during the life of the contract, successful Contractor agrees to register their company with PaymentWorks, Inc., the University's vendor onboarding application. Registration information will be provided by Procurement Services as part of the award process. During the vendor registration process, successful Contractor agrees to provide any applicable information pertaining to diversity demographics for their company. Further, should any company or diversity information change during the life of the contract, successful Contractor agrees to update this information in PaymentWorks as applicable.

4.0 PROPOSAL FORMAT AND CONTENT

4.1 Proposal Information and Criteria

The following list specifies the items to be addressed in the proposal. Offerors should read it carefully and address it completely and, in the order, listed to facilitate the University's review of the proposal.

Proposals should be organized into the sections identified below. The content of each section is detailed in the following pages. It is strongly suggested that offerors use the same numbers for the following content that are used in the RFP.

- Signed Authentication of Proposal and Statement of Non-Collusion and Non-Conflict of Interest Form
- Transmittal Letter
- Executive Summary and Proposal Overview
- Criteria 1 - Offeror Qualifications
- Criteria 2 - Services Defined
- Criteria 3 - Financial Proposal
- Criteria 4 - Evidence of Successful Performance and Implementation Schedule
- Criteria 5 - Other Additional Information

4.2 Signed Authentication of Proposal and Statements of Non-Collusion and Non-Conflict of Interest Form

The Offeror will sign and return the proposal cover sheet and print or type their name, firm, address, telephone number and date. The person signing the offer should initial erasures or other changes. An offer signed by an agent is to be accompanied by evidence of their authority unless such evidence has been previously furnished to the purchasing agency. The signer shall further certify that the proposal is made without collusion with any other person, persons, company or parties submitting a proposal; that it is in all respects fair and in good faith without collusion or fraud; and that the signer is authorized to bind the principal offeror.

4.3 Transmittal Letter

The Transmittal Letter accompanying the RFP should be in the form of a standard business letter and should be signed by an individual authorized to legally bind the offeror. It should include:

- A statement referencing all addenda and written questions, the answers and any clarifications to this RFP issued by the University and received by the offeror (If no addenda have been received, a statement to that effect should be included.).
- A statement that the offeror's proposal shall remain valid for six (6) months after the closing date of the receipt of the proposals.
- A statement that the offeror will accept financial responsibility for all travel expenses incurred for oral presentations (if required) and candidate interviews.

- A statement that summarizes any deviations or exceptions to the RFP requirements and includes a detailed justification for the deviation or exception.
- A statement that identifies the confidential information as described in Section 6.23.

4.4 Executive Summary and Proposal Overview

The Executive Summary and Proposal Overview should condense and highlight the contents of the technical proposal in such a way as to provide the evaluation committee with a broad understanding of the entire proposal.

As part of the Executive Summary and Proposal Overview, Offeror should submit with their response a summarized profile describing the demographic nature of their company or organization:

1. When was your organization established and/or incorporated?
2. Indicate whether your organization is classified as local, regional, national, or international.
3. Describe the size of your company in terms of number of employees, gross sales, etc.
4. Is your company certified as small business, minority-owned, women-owned, veteran-owned, disabled-owned, or similar classification?
5. Include other demographic information that you feel may be applicable to the Request for Proposal submission.
6. Offeror should describe in detail their company's commitment to diversity, equity, and inclusion. Information should be provided as to the number of diverse individuals that the vendor employees as well as a description of vendors efforts to do business with Diverse Business Enterprises as they conduct their own business. In addition, please indicate the diversity nature of your company as well as ownership race/ethnicity.

Diverse Business Description	Check All That Apply
Minority-Owned	
Woman-Owned	
Small Business	
Veteran-Owned	
LGBTQ-Owned	
Disability-Owned Business Entity (DOBE)	
Diversity not indicated	

Race/Ethnicity	Check One
Asian	
Black/African American	
Hispanic or Latino	
Native American	
Native Hawaiian/Pacific Islander	
White	
Other	
Prefer not to say	
Kentucky Located	

4.5 Criteria 1 - Offeror Qualifications

The purpose of the Offeror Qualifications section is to determine the ability of the offeror to respond to this RFP. Offerors should describe and offer evidence of their ability to meet each of the qualifications listed below.

1. Our supply chains and business partnerships are an important aspect of this work. In your proposal, please (A) provide your company's mission and vision relative to sustainability, and (B) how your company, through services, products, and partnerships, will help the University of Kentucky advance specific elements of the Sustainability Strategic Plan.

2. Please provide a brief narrative describing the history of your firm. Identify the number of employees in your firm, the Ownership and if the company has ever filed for bankruptcy, been in loan default, or if there are any pending liens, claims, or lawsuits against the firm. If so, please describe.
3. Describe the proposed project staffing/organization and internal controls to be used during the course of the project. List names, titles, phone and fax numbers, and e-mail addresses, as well as provide brief biographies, of relationship management contacts and senior management. Describe the relationship management team that will service the account, as well as their functional responsibilities. Describe the escalation procedures for support and service issues, as well as for billing discrepancies. Note that key personnel assignments may be a critical factor in the selection process, and no changes in key personnel assignment will be accepted without prior written agreement from the University.
4. Provide an organizational chart of your firm indicating lines of authority for personnel involved in performance of this potential contract and relationships of this staff to programs or functions of the firm. This chart should also show lines of authority to the next senior level of management.
5. What is your firm's turnover rate for the employees who perform this type of work? How does your firm select and train the individuals who will perform this work?
6. Provide certified audited financial statements, including a full set of footnotes as follows:
 - For the past three (3) fiscal years, include at a minimum, income statements, balance sheets, and statements of changes in financial position or cash flows. If three (3) years of financial statements are not available, this information shall be provided to the fullest extent possible or the reasons why if they are not available.
 - For a privately held company, when certified audited financial statements are not prepared; a written statement from the company's certified public accountant stating the financial condition, debt-to-asset ratio for the past three (3) years and pending actions.
7. If the Offeror has had a contract terminated for default in the last five years, please describe such incident. Termination for default is defined as notice to stop performance due to the Offeror's non-performance or poor performance or the issue of performance was either (a) not litigated due to inaction on the part of Proposer, or (b) litigated and such litigation determined that the Proposer was in default.
8. Submit full details of their terms for default including the other party's name, address, and phone number. Present the Offeror's position on the matter. The University will evaluate the facts and may, at its sole discretion, reject the proposal on the grounds of the past experience. If the Offeror has experienced no such termination for default in the past five years, so indicate.
9. Please describe any thefts or robberies of transported funds from your Company, either by an employee or by an external person.

10. Appendices A & B – To request Appendices A & B send a request to rpurcell@uky.edu. Provide your company name, three (3) references, and confirmation that your company has the ability to obtain Commercial Crime Coverage Insurance. Once the request is received and information provided you will be sent the two (2) appendices.

4.6 Criteria 2 – Services Defined

The following list specifies the items to be addressed in this section of your proposal. Please read it carefully and address it completely in the order listed to facilitate the University's review of your proposal.

1. Describe how you would do Transportation of Bank Deposits from Campus Departments: Pick-up and secure transportation of cash and check deposits from multiple locations throughout the University of Kentucky campus or surrounding areas for delivery to the University's primary financial institution's contracted vault service. These services are further defined in Section 7.1.A., Scope of Services.
2. Describe how you would do Transportation of Bank Deposits from Deposit Kiosks: Pick-up and secure transportation of cash and check deposits from three (3) Deposit Kiosks located within three (3) separate buildings on the University of Kentucky campus for delivery to the University's primary financial institution's contracted vault service. These services are further defined in Section 7.1.B., Scope of Services.
3. Describe how you would do Transportation of Cash Change Funds: Pick-up and secure transportation of change fund orders from the University of Kentucky's primary financial institution's contracted vault service with delivery to select department locations within the University of Kentucky campus or surrounding areas. These services are further defined in Section 7.1.C., Scope of Services.
4. Explain in narrative form your approach to this project. Discuss how your firm will perform the proposed services identified in Section 7.0, Scope of Services, and the anticipated level of effort required.
5. What happens if your employee is robbed while transporting cash or checks? If this occurs, who bears the risk of loss?
6. How does your firm protect the University against theft by the Offeror's employees? Does the Offeror provide an employee honesty bond?
7. Are your guards armed? What policies does your firm have regarding the use of firearms?
8. Identify any subcontractors which would be used during this project and describe their role(s).
9. Identify backup/contingency plans in the event of employee illness, vehicle malfunction, etc. What guarantee does your firm offer regarding the reliability of your service?
10. Do you as the courier provide seals for all containers? If not, do you as the courier assume liability for all losses incurred for each incident?

11. Please describe the courier identification procedures to be utilized by university staff to ensure only authorized courier staff are permitted to pick-up or deliver any university deposit or have access to secure areas.
12. Please describe the process for obtaining quotes for new locations, as well as the process for adding new locations or discontinuing service of existing locations.
13. Describe the billing process, as well as resolution procedures for billing and service errors.
14. If your firm charges fees for "Excess Time on Premises", please define and explain how the "time on premises" is calculated from start to finish. What documentation is provided to the client as support showing the amount of time spent at a location for which an "Excess Time on Premises" charge has occurred. Please explain the procedures for disputing "Excess Time on Premises" charges.
15. Does your company provide an online portal for clients to use to run reports and access invoices? Please describe the functionalities of the system.
16. Please describe the procedures for inquiries regarding deposits that have been picked up and delivered to the contracted vault service, but fail to be deposited into the University bank account. For example, if the contracted vault service states they didn't receive the deposit from the courier, what is the process for an inquiry regarding the pick-up and delivery of that missing deposit.

4.7 Criteria 3 – Financial Proposal

The Financial Summary Form should contain the complete financial offer made to the University using the format contained in Section 8.0. All financial information should be submitted in a sealed envelope under separate cover.

4.8 Criteria 4 – Evidence of Successful Performance and Implementation Schedule

1. Indicate the experience the Offeror has in the area of conducting transportation of cash and checks for major institutions.
2. Offeror shall provide innovative and creative strategies that incorporate opportunities for minority businesses. Please indicate if your firm is qualified or designated, as prescribed by Federal Law, in the category of Small Business, Minority, Women Owned Business or Labor Surplus Area.
3. Provide a statement that the Offeror has the resources available to assure meeting an aggressive implementation schedule.
4. Please identify what you see as the greatest threat to the successful completion of the Scope of Services as described in this RFP and your firm's approach to minimizing this threat.

5. Provide a detailed plan and schedule for the implementation of this transportation of cash and checks service. This schedule shall be complete with a listing of the specific tasks and milestones required for the successful implementation of the service.

4.9 Criteria 5 – Other Additional Information

The offeror may present any creative approaches that might be appropriate. The offeror may also provide supporting documentation that would be pertinent to this RFP.

5.0 EVALUATION CRITERIA PROCESS

A committee of University officials appointed by the Chief Procurement Officer will evaluate proposals and make a recommendation to the Chief Procurement Officer. The evaluation will be based upon the information provided in the proposal, additional information requested by the University for clarification, information obtained from references and independent sources and oral presentations (if requested).

The evaluation of responsive proposals shall then be completed by an evaluation team, which will determine the ranking of proposals. Proposals will be evaluated strictly in accordance with the requirements set forth in this solicitation, including any addenda that are issued. The University will award the contract to the responsible offeror whose proposal is determined to be the most advantageous to the University, taking into consideration the evaluation factors set forth in this RFP.

The evaluation of proposals will include consideration of responses to the list of criteria in Section 4.0. Offerors should specifically address all criteria in their response. Any deviations or exceptions to the specifications or requirements should be described and justified in a transmittal letter. Failure to list such exceptions or deviations in the transmittal letter may be considered sufficient reason to reject the proposal.

The relative importance of the criteria is defined below:

Primary Criteria

- Offeror Qualifications
- Services Defined
- Financial Proposal
- Evidence of Successful Performance and Implementation

Secondary Criteria

- Other Additional Services

The University will evaluate proposals as submitted and may not notify offerors of deficiencies in their responses.

Proposals should contain responses to each of the criteria, listed in Section 4 even if the offeror's response cannot satisfy those criteria. A proposal may be rejected if it is conditional or incomplete in the judgment of the University.

6.0 SPECIAL CONDITIONS

6.1 Contract Term

The contract resulting from this RFP should be effective from 7/1/24 through 6/30/27 and is renewable for up to six (6) additional one-year renewal periods. Annual renewal will be contingent upon the University's satisfaction with the services performed.

6.2 Effective Date

The effective date of the contract should be the date upon which the parties execute it and all appropriate approvals, including that of the Commonwealth of Kentucky Government Contracts Review Committee, have been received.

6.3 Competitive Negotiation

It is the intent of the RFP to enter into competitive negotiation as authorized by KRS 45A.085.

The University will review all proposals properly submitted. However, the University reserves the right to request necessary modifications, reject all proposals, reject any proposal that does not meet mandatory requirement(s) or cancel this RFP, according to the best interests of the University.

Offeror(s) selected to participate in negotiations may be given an opportunity to submit a Best and Final Offer to the purchasing agency. All information-received prior to the cut-off time will be considered part of the offeror's Best and Final Offer.

The University also reserves the right to waive minor technicalities or irregularities in proposals providing such action is in the best interest of the University. Such waiver should in no way modify the RFP requirements or excuse the offeror from full compliance with the RFP specifications and other contract requirements if the offeror is awarded the contract.

6.4 Appearance Before Committee

Any, all or no offerors may be requested to appear before the evaluation committee to explain their proposal and/or to respond to questions from the committee concerning the proposal. Offerors are prohibited from electronically recording these meetings. The committee reserves the right to request additional information.

6.5 Additions, Deletions or Contract Changes

The University reserves the right to add, delete, or change related items or services to the contract established from this RFP. No modification or change of any provision in the resulting contract shall be made unless such modification is mutually agreed to in writing by the contractor and the Chief Procurement Officer and incorporated as a written modification to the contract. Memoranda of understanding and correspondence should not be interpreted as a modification to the contract.

6.6 Contractor Cooperation in Related Efforts

The University reserves the right to undertake or award other contracts for additional or related work to other entities. The contractor shall fully cooperate with such other contractors and University employees and carefully fit its work to such additional work. The contractor shall not commit or permit any act which will interfere with the performance of work by any other contractor or by University employees. This clause shall be included in the contracts of all contractors with whom this contractor will be required to cooperate. The University shall equitably enforce this clause to all contractors to prevent the imposition of unreasonable burdens on any contractor.

6.7 Entire Agreement

The RFP should be incorporated into any resulting contract. The resulting contract, including the RFP and those portions of the offeror's response accepted by the University, should be the entire agreement between the parties.

6.8 Governing Law

The contractor shall conform to and observe all laws, ordinances, rules and regulations of the United States of America, Commonwealth of Kentucky and all other local governments, public authorities, boards or offices relating to the property or the improvements upon same (or the use thereof) and will not permit the same to be used for any illegal or immoral purposes, business or occupation. The resulting contract shall be governed by Kentucky law and any claim relating to this contract shall only be brought in the Franklin Circuit Court in accordance with KRS 45A.245.

6.9 Kentucky's Personal Information Security and Breach Investigation Procedures and Practices Act

To the extent Company receives Personal Information as defined by and in accordance with Kentucky's Personal Information Security and Breach Investigation Procedures and Practices Act, KRS 61.931, 61.932 and 61.933 (the "Act"), Company shall secure and protect the Personal Information by, without limitation: (i) complying with all requirements applicable to non-affiliated third parties set forth in the Act; (ii) utilizing security and breach investigation procedures that are appropriate to the nature of the Personal Information disclosed, at least as stringent as University's and reasonably designed to protect the Personal Information from unauthorized access, use, modification, disclosure, manipulation, or destruction; (iii) notifying University of a security breach relating to Personal Information in the possession of Company or its agents or subcontractors within seventy-two (72) hours of discovery of an actual or suspected breach unless the exception set forth in KRS 61.932(2)(b)2 applies and Company abides by the requirements set forth in that exception; (iv) cooperating with University in complying with the response, mitigation, correction, investigation, and notification requirements of the Act, (v) paying all costs of notification, investigation and mitigation in the event of a security breach of Personal Information suffered by Company; and (vi) at University's discretion and direction, handling all administrative functions associated with notification, investigation and mitigation.

6.10 Termination for Convenience

The University of Kentucky, Procurement Services, reserves the right to terminate the resulting contract without cause with a thirty (30) day written notice. Upon receipt by the contractor of a "notice of termination," the contractor shall discontinue all services with respect to the applicable contract. The cost of any agreed upon services provided by the contractor will be calculated at the agreed upon rate prior to a "notice of termination" and a fixed fee contract will be pro-rated (as appropriate).

6.11 Termination for Non-Performance

Default

The University may terminate the resulting contract for non-performance, as determined by the University, for such causes as:

- Failing to provide satisfactory quality of service, including, failure to maintain adequate personnel, whether arising from labor disputes, or otherwise any substantial change in ownership or proprietorship of the Contractor, which in the opinion of the University is not in its best interest, or failure to comply with the terms of this contract;
- Failing to keep or perform, within the time period set forth herein, or violation of, any of the covenants, conditions, provisions or agreements herein contained;
- Adjudicating as a voluntarily bankrupt, making a transfer in fraud of its creditors, filing a petition under any section from time to time, or under any similar law or statute of the United States or any state thereof, or if an order for relief shall be entered against the Contractor in any proceeding filed by or against contractor thereunder. In the event of any such involuntary bankruptcy proceeding being instituted against the Contractor, the fact of such an involuntary petition being filed shall not be considered an event of default until sixty (60) days after filing of said petition in order that Contractor might during that sixty (60) day period have the opportunity to seek dismissal of the involuntary petition or otherwise cure said potential default; or
- Making a general assignment for the benefit of its creditors, or taking the benefit of any insolvency act, or if a permanent receiver or trustee in bankruptcy shall be appointed for the Contractor.

Demand for Assurances

In the event the University has reason to believe Contractor will be unable to perform under the Contract, it may make a demand for reasonable assurances that Contractor will be able to timely perform all obligations under the Contract. If Contractor is unable to provide such adequate assurances, then such failure may be an event of default and grounds for termination of the Contract.

Notification

The University will provide ten (10) calendar days written notice of default. Unless arrangements are made to correct the non-performance issues to the University's satisfaction within ten (10)

calendar days, the University may terminate the contract by giving forty-five (45) days notice, by registered or certified mail, of its intent to cancel this contract.

6.12 Funding Out

The University may terminate this contract if funds are not appropriated or are not otherwise available for the purpose of making payments without incurring any obligation for payment after the date of termination, regardless of the terms of the contract. The University shall provide the contractor thirty (30) calendar days' written notice of termination under this provision.

6.13 Prime Contractor Responsibility

Any contracts that may result from the RFP shall specify that the contractor(s) is/are solely responsible for fulfillment of the contract with the University.

6.14 Assignment and Subcontracting

The Contractor(s) may not assign or delegate its rights and obligations under any contract in whole or in part without the prior written consent of the University. Any attempted assignment or subcontracting shall be void.

6.15 Permits, Licenses, Taxes

The contractor shall procure all necessary permits and licenses and abide by all applicable laws, regulations and ordinances of all federal, state and local governments in which work under this contract is performed.

The contractor must furnish certification of authority to conduct business in the Commonwealth of Kentucky as a condition of contract award. Such registration is obtained from the Secretary of State, who will also provide the certification thereof. However, the contractor need not be registered as a prerequisite for responding to the RFP.

The contractor shall pay any sales, use, personal property and other tax arising out of this contract and the transaction contemplated hereby. Any other taxes levied upon this contract, the transaction or the equipment or services delivered pursuant hereto shall be the responsibility of the contractor.

The contractor will be required to accept liability for payment of all payroll taxes or deductions required by local and federal law including (but not limited to) old age pension, social security or annuities.

6.16 Attorneys' Fees

In the event that either party deems it necessary to take legal action to enforce any provision of the contract and in the event that the University prevails, the contractor agrees to pay all expenses of such action including attorneys' fees and costs at all stages of litigation.

6.17 **Royalties, Patents, Copyrights and Trademarks**

The Contractor shall pay all applicable royalties and license fees. If a particular process, products or device is specified in the contract documents and it is known to be subject to patent rights or copyrights, the existence of such rights shall be disclosed in the contract documents and the Contractor is responsible for payment of all associated royalties. To the fullest extent permitted by law the Contractor shall indemnify, hold the University harmless, and defend all suits, claims, losses, damages or liability resulting from any infringement of patent, copyright, and trademark rights resulting from the incorporation in the Work or device specified in the Contract Documents.

Unless provided otherwise in the contract, the Contractor shall not use the University's name nor any of its trademarks or copyrights, although it may state that it has a Contract with the University.

6.18 **Indemnification**

The contractor shall indemnify, hold and save harmless the University, its affiliates and subsidiaries and their officers, agents and employees from losses, claims, suits, actions, expenses, damages, costs (including court costs and attorneys' fees of the University's attorneys), all liability of any nature or kind arising out of or relating to the Contractor's response to this RFP or its performance or failure to perform under the contract awarded from this RFP. This clause shall survive termination for as long as necessary to protect the University.

6.19 **Insurance**

The successful Contractor shall procure and maintain, at its expense, the following minimum insurance coverages insuring all services, work activities and contractual obligations undertaken in this contract. These insurance policies must be with insurers acceptable to the University.

COVERAGES

Workers' Compensation
Employer's Liability
Commercial General Liability including operations/completed operations, products and contractual liability (including defense and investigation costs), and this contract
Business Automobile Liability covering owned, leased, or non-owned autos
Commercial Crime

LIMITS

Statutory Requirements (Kentucky)
\$500,000/\$500,000/\$500,000
\$1,000,000 each occurrence
(BI & PD combined) \$2,000,000 Products and Completed Operations Aggregate
\$1,000,000 each occurrence
(BI & PD combined)
\$1,000,000 each occurrence

The successful contractor agrees to furnish Certificates of Insurance for the above-described coverages and limits to the University of Kentucky, Procurement Services. The University, its trustees and employees must be added as additional insured on the Commercial General Liability policy with regard to the scope of this solicitation. Any deductibles or self-insured retention in the above-described policies must be paid and are the sole responsibility of the contractor. Coverage is to be primary and non-contributory with other coverage (if any) purchased by the University. All of these required policies must include a Waiver of Subrogation (except Workers' Compensation) in favor of the University, its trustees and employees.

6.20 Method of Award

It is the intent of the University to award a contract to the qualified offeror whose offer, conforming to the conditions and requirements of the RFP, is determined to be the most advantageous to the University, cost and other factors considered.

Notwithstanding the above, this RFP does not commit the University to award a contract from this solicitation. The University reserves the right to reject any or all offers and to waive formalities and minor irregularities in the proposal received.

6.21 Reciprocal Preference

In accordance with KRS 45A.494, a resident offeror of the Commonwealth of Kentucky shall be given a preference against a nonresident offeror. In evaluating proposals, the University will apply a reciprocal preference against an offeror submitting a proposal from a state that grants residency preference equal to the preference given by the state of the nonresident offeror. Residency and non-residency shall be defined in accordance with KRS 45A.494(2) and 45A.494(3), respectively. Any offeror claiming Kentucky residency status shall submit with its proposal a notarized affidavit affirming that it meets the criteria as set forth in the above reference statute.

6.22 Reports and Auditing

Contractor should provide a quarterly report to the University of all product(s) and/or service(s) based on an Excel template provided by Procurement Services. The template will require basic line item order information to include, but not limited to: purchase transaction date, purchase order number, product/catalog number, description, UOM, price each, extended price, invoice number, etc. The Excel reporting template is available upon request from Procurement Services and is subject to change. The Excel template provided by Procurement Services is the only reporting format that may be used; Contractor-submitted reports based on internal reporting or templates will not be accepted. The reporting date structure should follow the below outline and begin with the quarter in which the contract is executed. The date of the purchase order (or other transaction type that may be used with the Contractor (e.g., procurement card)) should determine the quarter in which the transaction is to be reported.

In addition to the aforementioned quarterly reporting of goods and services, contractors are also required to report summary dollar amounts of goods and services sold to the University via the resulting contract and originating from diversity Tier 2 or subcontractors affiliated with company. Quarterly reports for Tier 2 diverse suppliers/subcontractors should accompany the standard quarterly report requirement. Due to the broad array of diversity reporting utilized, the University does not require specific classifications of diverse purchases; the successful contractor may report Tier 2 purchase amounts as produced by their information systems and with sub-classifications as they are available. If the successful contractor does not have any Tier 2 reporting for diverse suppliers to accompany their quarterly report submissions, they should indicate this when submitting their standard quarterly reports.

FY Quarter 1 report for purchases dated July 1 through September 30	Quarterly report due October 20
FY Quarter 2 report for purchases dated October 1 through December 31	Quarterly report due January 20
FY Quarter 3 report for purchases dated January 1 through March 31	Quarterly report due April 20
FY Quarter 4 report for purchases dated April 1 through June 30	Quarterly report due July 20

Report headers should also be completed with the Contractor's name, contract number, and reporting period.

Reports can be submitted via email to purchreports@l.uky.edu based by the deadline(s) listed herein.

The University, or its duly authorized representatives, shall also have access to any books, documents, papers, records or other evidence which are directly pertinent to this contract for the purpose of financial audit or program review.

In the event that successful Contractor(s) does not meet the reporting requirements based on the terms and conditions herein, the contract is subject to cancellation or termination.

6.23 Confidentiality

The University recognizes an offeror's possible interest in preserving selected information and data included in the proposal; however, the University must treat such information and data as required by the Kentucky Open Records Act, KRS 61.870, et seq.

Information areas which normally might be considered proprietary, and therefore confidential, shall be limited to individual personnel data, customer references, formulae and company financial audits which, if disclosed, would permit an unfair advantage to competitors. If a proposal contains information in these areas and the offeror declares them to be proprietary in nature and not available for public disclosure, the offeror should declare in the Transmittal Letter the inclusion of proprietary information and shall noticeably label as confidential or proprietary each sheet containing such information. Proposals containing information declared by the offeror to be proprietary or confidential, either wholly or in part, outside the areas listed above may be deemed non-responsive and may be rejected.

The University's General Counsel shall review each offeror's information claimed to be confidential and, in consultation with the offeror (if needed), make a final determination as to whether or not the confidential or proprietary nature of the information or data complies with the Kentucky Open Records Act.

6.24 Conflict of Interest

This Request for Proposal and resulting Contract are subject to provisions of the Kentucky Revised Statutes regarding conflict of interest and the University of Kentucky's Ethical Principles and Code of Conduct (www.uky.edu/Legal/ethicscode.htm). When submitting and signing a proposal, an offeror is certifying that no actual, apparent or potential conflict of interest exists between the

interests of the University and the interests of the offeror. A conflict of interest (whether contractual, financial, organizational or otherwise) exists when any individual, contractor or subcontractor has a direct or indirect interest because of a financial or pecuniary interest, gift or other activities or relationships with other persons (including business, familial or household relationships) and is thus unable to render or is impeded from rendering impartial assistance or advice, has impaired objectivity in performing the proposed work or has an unfair competitive advantage.

Questions concerning this section or interpretation of this section should be directed to the University purchasing officer identified in this RFP.

6.25 Copyright Ownership and Title to Designs and Copy

The contractor and University intend this RFP to result in a contract for services, and both consider the products and results of the services to be rendered by the contractor hereunder to be a work made for hire. The contractor acknowledges and agrees that the work and all rights therein, including (without limitation) copyright, belongs to and shall be the sole and exclusive property of the University. For any work that is not considered a work made for hire under applicable law, title and copyright ownership shall be assigned to the University.

Title to all dies, type, cuts, artwork, negatives, positives, color separations, progressive proofs, plates, copy and any other requirement not stated herein required for completion of the finished product for use in connection with any University job shall be the property of and owned by the University. Such items shall be returned to the appropriate department upon completion and/or delivery of work unless otherwise authorized by the University. In the event that time of return is not specified, the contractor shall return all such items to the appropriate University department within one week of delivery.

6.26 University Brand Standards

The contractor must adhere to all University of Kentucky Brand Standards. University Brand Standards are maintained by the University Public Relations Office (UKPR) and can be viewed at <http://www.uky.edu/prmarketing/brand-standards>. Non-adherence to the standards can have a penalty up to and including contract cancellation. Only the UKPR Director or designee can approve exceptions to the University standards.

Graphics standards for the UK HealthCare areas are governed by UK HealthCare Clinical Enterprise Graphic Standards, found at: <https://ukhealthcare.uky.edu/staff/brand-strategy>.

Contractor warrants that its products or services provided hereunder will be in compliance with all applicable Federal disabilities laws and regulations, including without limitation the accessibility requirements of Section 255 of the Federal Telecommunications Act of 1996 (47 U.S.C. § 255) and Section 508 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794d), and its implementing regulations set forth at Title 36, Code of Federal Regulations, Part 1194. For purposes of clarity, updated regulations under Section 508 standards now incorporate WCAG 2.0, and for purposes of this agreement WCAG 2.0 Level AA compliance is expressly included. Contractor agrees to promptly respond to, resolve and remediate any complaint regarding accessibility of products or services in a timely manner and provide an updated version to University at no cost. If deficiencies are identified, University reserves the right to request from Contractor, a timeline by which accessibility standards will be incorporated into the products or services provided by Contractor and

shall provide such a timeline within a commercially reasonable duration of time. Failure to comply with these requirements shall constitute a material breach of this Agreement and may be grounds for termination of this Agreement.

Where any customized web services are provided, Contractor represents that it has reviewed the University's Web Policy and all products or services will comply with its published standards.

Contractor will provide University with a current Voluntary Product Accessibility Template (VPAT) for any deliverable(s). If none is available, Vendor will provide sufficient information to reasonably assure the University that the products or services are fully compliant with current requirements.

6.27 Printing Statutes

The purchase of printing services for all state agencies is governed by Chapter 57 of the Kentucky Revised Statutes. Specifically, all printing must be awarded to the lowest responsive bidder and approved by the Governor of Kentucky. In compliance with these statutes, all printing must be provided by a contract established by Procurement Services.

6.28 Requirement for Contract Administration Fee

As a condition of award, successful Contractor(s) shall provide a contract administration fee to the University for all goods and/or services provided under the resultant contract. The fee shall be on a quarterly basis and shall be equivalent to 2% of the aggregate net value of goods/services sold to the University, exclusive of freight charges.

The fee should be reported and paid within 30 calendar days of the end of conventional calendar quarters ending March 31, June 30, September 30, and December 31 of each year. The fee applies to orders which have been successfully delivered/installed and invoiced in the previous quarter. Fees should be paid in the form of a check made payable to the University of Kentucky and should be delivered to Procurement Services, Room 322 Peterson Service Building, 411 S. Limestone, Lexington, Kentucky 40506-0005. Each fee payment must be accompanied by a statement indicating the referenced University price contract to which it applies and indicate the aggregate value of goods/services provided and invoiced during the quarter, the fee percentage applied, and the net amount of the quarterly payment. If any errors are found in the report or calculations as determined by University, the successful Contractor should correct immediately upon notification.

The successful Contractor(s) may extend the pricing, terms, and/or conditions of this contract to other universities, state agencies, and public and private institutions, with prior approval of the University of Kentucky. The successful Contractor(s) will pay the University of Kentucky a contract administration fee of two (2) % of goods/services provided and invoiced during the quarter. The fee should be reported and paid within 30 calendar days of the end of conventional calendar quarters ending March 31, June 30, September 30, and December 31 of each year. The fees should be in the form of a check made payable to the University of Kentucky and should be delivered to Procurement Services, Room 322 Peterson Service Building, 411 S. Limestone, Lexington, Kentucky 40506-0005.

The successful Contractor must notify the Contracting Officer when the resultant contract is utilized by other universities, state agencies, and public and private institutions in Kentucky.

In the event that successful Contractor(s) does not provide the quarterly payment based on the terms and conditions herein, the contract is subject to cancellation or termination.

6.29 Payment Terms

The University adheres to a strategic approach regarding payables management based on risk minimization, processing costs, and industry best practices. As such, suppliers and individuals doing business with the University will be paid based on the following protocol:

1. The University utilizes Payment Plus (e-payables) as its primary default form of payment. By enrolling in Payment Plus, suppliers can receive payments immediately (all invoices will be paid immediately upon confirmation of goods receipt and invoice). The process is electronic and the supplier receives real-time payment notices. Additional information regarding Payment Plus (and enrollment form) can be found at: <https://www.uky.edu/ufs/payment-plus-supplier-enrollment-form>.
2. Payments by check. Payment terms for check payments are Net-30.
3. Individuals receiving payments from the University that require ACH direct payments will only be processed under special circumstances as approved by the Controller's office. Payment terms for ACH are Net-40.

7.0 SCOPE OF SERVICES

7.1 Detailed Services Defined

The following is a list of the specifications for the four (4) specific tasks described in Section 2.1, Intent and Scope, of this RFP:

A. Specifications for Transportation of Bank Deposits

- 1) An armored vehicle must be used at all times. Personnel must be bonded.
- 2) Courier personnel must conspicuously wear company issued picture identification badges and follow identification procedures provided to university staff to ensure only authorized courier personnel handle university deposits or have access to secure areas.
- 3) If necessary, the courier should provide a two-wheeled cart for transportation of the bank deposit.
- 4) Deposits picked up at the various University department locations must be in sealed, tamper evident, plastic deposit bags. The courier needs to ensure that only authorized people at each location sign for the deposits and receive change orders.
- 5) The bank deposit must be picked up at each location according to the preferred schedule specified for each individual location in Section A of Appendix A (see Section 4.5 Criteria 1 – Offeror Qualifications, #10). There will be no pickups on bank holidays and, unless otherwise specified, University employee holidays as follows:
 - New Year's Day (first business day in January)
 - Martin Luther King, Jr. Day (third Monday in January)
 - Memorial Day (last Monday in May)
 - Juneteenth (June 19th or the business day prior/or after if it falls on a weekend)
 - Independence Day (July 4th or the business day prior/or after if it falls on a weekend)
 - Labor Day (first Monday in September)
 - Thanksgiving Day (fourth Thursday in November)
 - The Friday after Thanksgiving
 - Holiday Break - Christmas Day (December 25th) through New Year's Day
- 6) The deposits retrieved will be transported to the contracted vault service for processing. In order to ensure that most deposits received by the University in a single day get processed by the vault service and included in the outgoing deposit each day, we would prefer that these pickups occur in accordance with the preferred pick-up times for each location listed in Appendix A (see Section 4.5 Criteria 1 – Offeror Qualifications, #10), but no later than 4:30 p.m. Treasury Services will consider other schedules presented by the offeror.
- 7) There are currently four (4) stops to retrieve deposits from campus departments. The University of Kentucky reserves the right to add or delete stops, as well as modify the pick-up schedules at existing locations, as necessary. Offerors will be provided specific address, pick-up schedule, and deposit detail information in Appendices A & B (see Section 4.5 Criteria 1 – Offeror Qualifications, #10).

B. Specifications for Servicing Deposit Kiosks and transporting deposits to Treasury Services

- 1) An armored vehicle must be used at all times. Personnel must be bonded.
- 2) Courier personnel must conspicuously wear company issued picture identification badges and follow identification procedures provided to university staff to ensure only authorized courier personnel handle university deposits or have access to secure areas. For Deposit Kiosk, there shouldn't be any interaction with university staff while servicing these units.
- 3) If necessary, the courier should provide a two-wheeled cart for transportation of the deposits.
- 4) The bank deposits must be picked up from each Deposit Kiosk according to the specified schedule listed for each individual location in Section B of Appendix A (see Section 4.5 Criteria 1 – Offeror Qualifications, #10), unless notified otherwise. There will be no pickups on bank holidays and, unless otherwise specified, University employee holidays as follows:
 - New Year's Day (first business day in January)
 - Martin Luther King, Jr. Day (third Monday in January)
 - Memorial Day (last Monday in May)
 - Juneteenth (June 19th or the business day prior/or after if it falls on a weekend)
 - Independence Day (July 4th or the business day prior/or after if it falls on a weekend)
 - Labor Day (first Monday in September)
 - Thanksgiving Day (fourth Thursday in November)
 - The Friday after Thanksgiving
 - Holiday Break - Christmas Day (December 25th) through New Year's Day
- 5) To service the Deposit Kiosks, the courier will complete the following tasks:
 - a. Open the secure, lower chest of the kiosks using a digital combination provided by Treasury Services.
 - b. Press and hold the black settlement button to print a Deposit Manifest showing the total number and declared dollar amounts of deposits being retrieved. This manifest is immediately emailed to Treasury Services, as well as to an email address provided by the courier service, if desired.
 - c. Retrieve the deposits in individual, tamper evident bags from the hopper.
 - d. Compare the number of physical deposit bags retrieved from the hopper to the number listed on the manifest.
 - e. Close and secure the lower chest of the kiosk. Check to ensure the lock is engaged and the chest cannot be opened.

Note: The total time to service these units using the steps listed above is normally one (1) to two (2) minutes.
- 6) The deposits retrieved will be transported to the contracted vault service for processing. In order to ensure that most deposits received by the University in a single day get processed by the vault service and included in the outgoing deposit each day, we would prefer that these pickups occur in the late afternoon, but no later than 4:30 p.m. Treasury Services will consider other schedules presented by the offeror.

- 7) There are currently three (3) stops for Deposit Kiosks. The University of Kentucky reserves the right to add or delete stops, as well as modify the pick-up schedules at existing locations, as necessary. Offerors will be provided specific address and deposit detail information as part of the Appendices A & B (see Section 4.5 Criteria 1 – Offeror Qualifications, #10).

C. Specifications for Transportation of Cash Change Funds

- 1) A vehicle is needed to transport change fund orders from the university's primary financial institution, or its contract vault service, to locations across campus. Personnel must be bonded.
- 2) Courier personnel must conspicuously wear company issued picture identification badges and follow identification procedures provided to university staff to ensure only authorized courier personnel handle university deposits or have access to secure areas.
- 3) If necessary, the courier should provide a two-wheeled cart for transportation of the change funds.
- 4) The armored courier service will receive change delivery orders from the university's primary financial institution that include specific amounts, denominations, and delivery locations.
 - a. Currency orders received by the financial institution by 11:00 a.m. will be presented to the courier service after 3:00 p.m. for next day delivery.
 - b. Orders may be placed 14 days in advance.
- 5) The route must begin no earlier 8:00 a.m. each Monday through Friday, with the exception of bank holidays and University employee holidays, unless otherwise specified, as follows:
 - New Year's Day (first business day in January)
 - Martin Luther King, Jr. Day (third Monday in January)
 - Memorial Day (last Monday in May)
 - Juneteenth (June 19th or the business day prior/or after if it falls on a weekend)
 - Independence Day (July 4th or the business day prior/or after if it falls on a weekend)
 - Labor Day (first Monday in September)
 - Thanksgiving Day (fourth Thursday in November)
 - The Friday after Thanksgiving
 - Holiday Break - Christmas Day (December 25th) through New Year's Day
- 6) The route should be complete and change orders delivered to university departments by 10:30 a.m. each day.
- 7) There are up to six (6) university departments that order change funds with varying degrees of regularity. The University of Kentucky reserves the right to add or delete delivery locations as necessary. Specific address information is included in Appendices A & B (see Section 4.5 Criteria 1 – Offeror Qualifications, #10).

8.0 FINANCIAL OFFER SUMMARY

Offerors are to provide a fixed price for the services offered.

8.1 Mandatory Services (Section 7.1)

Please complete and attach Section 7.1 to provide support for your firm fixed price bid.

Offerors are to provide a fixed price for the services offered. Price each task independently and offer a discount if all three (3) tasks are awarded to one Contractor.

Please provide the following pricing information:

- 1) Total price per month per location for the pick-up and secure transportation of deposits from select department locations within or around the University's campus, in accordance with the location and schedule specified in Appendix A (see Section 4.5 Criteria 1 – Offeror Qualifications, #10), delivered to UK's primary financial institution's contracted vault service (7.1.A).

Location # 1 \$ _____

Location # 2 \$ _____

Location # 3 \$ _____

Location # 4 \$ _____

- 8) Total price per month per location for the pick-up and secure transportation of deposits from each Deposit Kiosk location within the University's campus, as specified in Appendix A (see Section 4.5 Criteria 1 – Offeror Qualifications, #10), delivered to UK's primary financial institution's contracted vault service (7.1.B).

DK# 1 \$ _____

DK# 2 \$ _____

DK# 3 \$ _____

Total price per order request per location for pick-up of change fund orders from UK's primary financial institution or its contracted vault service and secure transportation to select department locations within or around the University's campus (7.1.C).

Change Order Delivery \$ _____

Also, please provide a discount if all three (3) jobs are awarded to one Contractor.

Discount _____ %

Please provide pricing for each service below if new locations are added:

Transportation of Bank Deposits from campus department locations \$ _____/day

Transportation of Bank Deposits from Deposit Kiosk location \$ _____/day

Transportation of Change Orders \$ _____

Please provide pricing for Excess Time on Premise charges: \$ _____

Please specify any additional fees not covered by the items requested and listed above.

8.2 **Price Protection**

The price quoted should be firm for the first **three (3)** years. The contract price may be adjusted at the conclusion of year **three** provided that a 90-day written notice is given to the Purchasing Division. Any adjustments allowed shall be firm for the duration of that one-year renewal period and must be approved by both the Contractor and the University of Kentucky Purchasing Division.

At the end of the third year of the contract, revisions in pricing may be requested by either party. Any increase in price would be limited to the previous three years' change in the Consumer Price Index as published by the U. S. Department of Labor/Bureau of Labor Statistics preceding the contract anniversary date. In the event the requested revision is refused, the requesting party will have the right to withdraw from the contract. However, the Contractor must continue service at the contract prices until a new contract can be established.

8.3 **Alternate Pricing**

In addition to the above financial offer, the offeror may submit alternative financial proposals, however the information requested above must be supplied and will be used for proposal evaluation purposes.

Additional Financial Commitment

In addition to the financial offers, please propose a financial commitment to assist the University. Options may include a signing bonus, scholarships, internships, commitment to hire University Graduates or a (%) percentage rebate. Any ideas or offers submitted are purely optional and will not be determinative of the award.