# **INVITATION FOR BIDS: CCK-2667-23**

FRAZEE INTERIOR FIT-UP
Project #2591.3
ADDENDUM# 3

November 3<sup>rd</sup>, 2022

ATTENTION: This is not an order. Read all instructions, terms and conditions carefully.

# **IMPORTANT:**

BID AND ADDENDUM MUST BE RECEIVED BY 11/10/2022 @ 3:00 P.M. LEXINGTON, KY TIME.

Bidder must acknowledge receipt of this and any addendum as stated in the Invitation for Bids.

Bidders shall conform to the following clarifications, corrections and changes, as same shall become binding on the Contract to be issued in response to this Invitation for Bids. Bidders must acknowledge receipt of this Addendum in the space provided on the Form of Proposal. Failure to do so may subject Bidder to disqualification.

- 1. Clarification to Subcontract All Work Categories: Please see the attached answers to bid questions 1-11. The Bid Amount shall include the cost to provide this scope.
- 2. Clarification to Subcontract <u>All Work Categories:</u> Please see the attached document from Lord Aeck Sargent which provides addition of commissioning specifications and general clarification to MEP items. The Bid Amount shall include the cost to provide this scope.
- **3.** Clarification to Subcontract <u>WC 01C:</u> Work Category 01C has been updated in addendum #3 and should be used for bid submission. Bid Amount shall include the cost to provide this scope.
- **4.** Clarification to Subcontract <u>WC 04B:</u> Work Category 04B has been updated in addendum #3 and should be used for bid submission. Bid Amount shall include the cost to provide this scope.
- **5.** Clarification to Subcontract <u>WC 06A:</u> Work Category 06A has been updated in addendum #3 and should be used for bid submission. Bid Amount shall include the cost to provide this scope.
- **6.** Clarification to Subcontract <u>WC 08C:</u> Work Category 08C has been updated in addendum #3 and should be used for bid submission. Bid Amount shall include the cost to provide this scope.
- 7. Clarification to Subcontract WC 09C: Work Category 09C has been updated in addendum #3 and should be used for bid submission. Bid Amount shall include the cost to provide this scope.

# **INVITATION FOR BIDS: CCK-2667-23**

FRAZEE INTERIOR FIT-UP
Project #2591.3
ADDENDUM # 3
NOVEMBER 03, 2022

OFFICIAL APPROVAL UNIVERSITY OF KENTUCKY	<u>SIGNATURE</u>
Ken Scott 11/03/2022	
Ken Scott / (859) 257-5405	Typed or Printed Name

End of Addendum #3



PROJECT NAME	PROJECT NO.	
University of Kentucky	11396-00	
2591.3 Renew/Modernize Facilities		
Frazee Interior FitUp		
CONTRACTOR	DATE OF INSTRUCTION	
Whiting-Turner	November 3, 2022  DOCUMENT NUMBER	
OWNER		
University of Kentucky	Addendum #03	

GENERAL DESCRIPTION AND REMARKS

This addendum forms a part of the Contract Documents and modifies the original Construction Documents previously issued as noted below.

This addendum consists of **37 pages**, with the attachments listed below, all with a revision date of 11/03/2022, unless otherwise noted. Drawings listed herewith and attached indicate revisions with clouds. Modifications to documents included in this addendum are primarily related to the following:

- 1. Answers to Bidders Questions
- 2. Addition of Commissioning Specs
- 3. MEP Clarification/ Scope items

The documents stated herein revise or modify the reference specification or drawing noted.

GENERAL CLARIFICATIONS

# MEP Specification

- 1. 23 2112 Hydronic Piping:
  - a. Condensate piping, all sizes: Type L (B), drawn-temper copper tubing, wrought-copper fittings, and soldered joints.

MODIFICATIONS TO THE SPECIFICATIONS (In modified specifications, new text is indicated by underlining and deleted text is stricken through.)

Section #	: Title	Date
00 01 10	Table of Contents	November 3, 2022
01 91 13	Commissioning HVAC, HVAC Controls, Domestic Hot Water, Lighting Controls, And Security Systems	August 12, 2022
22 02 00	Commissioning of Domestic Hot Water	August 12, 2022
23 08 00	Commissioning of HVAC and HVAC Controls	August 12, 2022
26 08 00	Commissioning of Lighting Controls	August 12, 2022
28 08 00	Commissioning of Security System	August 12, 2022



# MODIFICATIONS TO THE DRAWINGS

Sheet#:	Title	Date
H100	GROUND FLOOR – HVAC PLAN	11/03/2022
	<ul> <li>Install thermostat conduit in the previous work phase.</li> </ul>	
H201	FIRST FLOOR – HYDRONIC PIPING PLAN	11/03/2022
	<ul> <li>Condensate line in shaft is being installed in previous phase.</li> </ul>	
H202	SECOND FLOOR – HYDRONIC PIPING PLAN	11/03/2022
	<ul> <li>Condensate line in shaft is being installed in previous phase.</li> </ul>	
H303	ENLARGED PLANS	11/03/2022
	<ul> <li>Fire protection and domestic cold water line in shaft are being installed in previous phase.</li> </ul>	
P101	FIRST FLOOR - SANITARY WASTE AND VENT PLUMBING PLAN  • Sanitary line in shaft is being installed in the previous work phase.	11/03/2022
P102	SECOND FLOOR - SANITARY WASTE AND VENT PLUMBING PLAN	11/03/2022
	<ul> <li>Sanitary line in shaft is being installed in the previous work phase.</li> </ul>	
P103	THIRD FLOOR - SANITARY WASTE AND VENT PLUMBING PLAN	11/03/2022
	<ul> <li>Sanitary line in shaft is being installed in the previous work phase.</li> </ul>	
P200	GROUND FLOOR - DOMESTIC WATER PLAN	11/03/2022
	<ul> <li>Water piping in shaft is being installed in the previous work phase.</li> </ul>	
P201	FIRST FLOOR – DOMESTIC WATER PLAN	11/03/2022
	<ul> <li>Water piping in shaft is being installed in the previous work phase.</li> </ul>	
E100	GROUND FLOOR – LIGHTING PLAN	11/03/2022
	Update scope in Stair A metal panel ceiling due to prior phase.	
E200	GROUND FLOOR – POWER PLAN	11/03/2022
	<ul> <li>Update scope due to wiring device rough-in in prior phase.</li> </ul>	
E204	GROUND FLOOR - MECHANICAL POWER PLAN	11/03/2022
	<ul> <li>Update scope of disconnect for outside unit OU-2 due to prior phase.</li> </ul>	
E300	GROUND FLOOR – LOW-VOLTAGE PLAN	11/03/2022
	<ul> <li>Update scope due to wiring device rough-in in prior phase.</li> </ul>	
	Update scope in Stair A metal panel ceiling due to prior phase	
E304	GROUND FLOOR - SECURITY PLAN	11/03/2022
	<ul> <li>Update scope due to card reader rough-in in prior phase.</li> </ul>	



INSTRUCTIONS BY

# Elisabeth Hunt

K:\PROJECTS\11396-00\BIDDING\Addenda\221103\_FitUp-FILE: Addendum\_3\221103\_FrazeeHall\_Addendum\_03.docx

COPY: UK, Whiting-Turner, LAS





# UNIVERSITY OF KENTUCKY Purchasing Division

# Written Questions and Answers

CCK-2667-23

#2591.3 Frazee Hall - Interior Fit-Up

No.	Question	Firm	Answer
1.	For bidding purposes, please define required hours and/or duration of time for composite crew members to be provided by contractors ("Requirements for All Work Categories" item #28).	WT	Composite crews will be used only as needed if housekeeping is not deemed to be acceptable by the Construction Manager.
2.	Temporary lighting at site fencing included in "26B- Electrical" scope?	WT	LED fence lighting shall be provided and maintained by 01C trade contractor per the logistics plan.
3.	Historical brick cleaning included in "04B-Masonry" scope?	WT	01C Trade Contractor is expected to do an initial "general clean" of the brick and plaster walls to remove dust, dirt, marking paint, spray adhesive, etc. that has collected on the walls from previous construction activities. This shall be separate from the masonry cleaning that is required per specification 04 01 20.
4.	Cleaning of brick and plaster is specified to included pressure washing and rinsing with potable water. How are contractors to "clean" interior brick and plaster walls without subsequent damage to work installed previously? As the original building is primarily wood flooring, it will be expected that water will migrate through levels as well as saturate the wood as containment will not be possible. Are contractors expected to remedy the subsequent moisture?	WT/ LAS	Means and methods to meet specification 04 01 20 requirements will be fulfilled by 04B masonry contractor. General cleaning of brick and plaster walls by 01C trade contractor can be achieved using damp sponging or other methods with minimal water. It is expected that plastic will be put down as necessary to protect subflooring and that any water that cannot be contained be collected immediately using a shop vacuum.
5.	Will water hook-up be made available for handwashing stations?	WT	Yes, 01C to provide 100' water hose which can be attached to nearby hose bib for filling of handwashing stations.
6.	Will electric hook-up be made available for handwashing stations as tank heaters will be required during winter months?	WT	Yes, 01C to provide 100' extension cord (12 gauge minimum) which can be powered from nearby outlet for tank heaters on handwashing stations.
7.	Will "01C- General Requirements" be responsible for removal of the gravel dumpster pad after dumpsters are relocated to Admin Drive?	WT	Yes, 01C shall be responsible for removal of gravel dumpster pad.

8.	"01C- General Requirements" item #7 what, if any, are the requirements for the dumpsters?	WT	Dumpsters shall be 20 yard size minimum and shall be swapped as needed and at the discretion of the construction manager.
9.	We would like to have The Whalen Company added as an approved fan coil.	KFI	We don't add manufacturers by addendum or preapprove on open specs. If you can meet the spec you can bid.
10.	Please provide specification for AC condensate piping	KFI	23 2112 Hydronic Piping: Condensate piping, all sizes: Type L (B), drawn- temper copper tubing, wrought-copper fittings, and soldered joints.
11.	Please provide a basis of design for the 5 light fixtures referenced on H1/A401.	WT/ KFI	Cooledge FBS *** 4000K SF – Pendant Mount Linear Panel with Aluminum Frame, Woven Polyester Fabric Diffuser. Custom Width of 2'- 2" and Length as shown on drawings.

#### SECTION 00 01 10 - TABLE OF CONTENTS

#### PROCUREMENT AND CONTRACTING REQUIREMENTS

DIVISION 00 -- PROCUREMENT AND CONTRACTING REQUIREMENTS

00 01 10 - Table of Contents

00 01 15 - List of Drawing Sheets

#### **SPECIFICATIONS**

**DIVISION 01 -- GENERAL REQUIREMENTS** 

01 10 00 - Summary

01 22 00 - Unit Prices

01 23 00 - Alternates

01 30 00 - Administrative Requirements

01 40 00 - Quality Requirements

01 50 00 - Temporary Facilities and Controls

01 60 00 - Product Requirements

01 62 03 - Substitution Request

01 70 00 - Execution Requirements

01 78 00 - Closeout Submittals

01 79 00 - Demonstration and Training

01 91 13 - Commissioning HVAC, HVAC Controls, Domestic Hot Water, Lighting

# Controls, And Security Systems

**DIVISION 02 -- EXISTING CONDITIONS** 

02 42 50 - Selective Demolition in Historic Structures

**DIVISION 03 -- CONCRETE** 

03 39 00 - Concrete Curing and Sealing

**DIVISION 04 -- MASONRY** 

04 01 20 - Masonry Cleaning

04 09 20 - Masonry Repair and Repointing

**DIVISION 05 -- METALS** 

DIVISION 06 -- WOOD, PLASTICS, AND COMPOSITES

06 20 00 - Finish Carpentry

06 40 23 - Interior Architectural Woodwork Restoration

06 41 00 - Architectural Wood Casework

DIVISION 07 -- THERMAL AND MOISTURE PROTECTION

07 92 00 - Joint Sealants

**DIVISION 08 -- OPENINGS** 

08 11 13 - Hollow Metal Doors and Frames

08 14 16 - Flush Wood Doors

08 14 33 - Stile and Rail Wood Doors

08 31 00 - Access Doors and Panels

- 08 71 00 Door Hardware
- 08 80 00 Glazing

#### **DIVISION 09 -- FINISHES**

- 09 05 61 Preparation of Concrete to Receive Adhesively Installed Flooring
- 09 21 00 Plaster
- 09 21 16 Gypsum Board Assemblies
- 09 30 00 Tiling
- 09 51 00 Acoustical Ceilings
- 09 64 29 Wood Strip and Plank Flooring
- 09 65 00 Resilient Flooring
- 09 68 13 Tile Carpeting
- 09 84 30 Sound-Absorbing Wall and Ceiling Units
- 09 91 00 Painting
- 09 93 00 Staining and Transparent Finishing
- 09 96 00 High-Performance Coatings
- **DIVISION 10 -- SPECIALTIES** 
  - 10 11 01 Visual Display Boards
  - 10 14 00 Signage
  - 10 21 13 Toilet Compartments
  - 10 22 19 Demountable Partitions
  - 10 28 00 Toilet, Bath, and Laundry Accessories
  - 10 44 00 Fire Extinguishers, Cabinets, and Accessories
- **DIVISION 11 -- EQUIPMENT**
- **DIVISION 12 -- FURNISHINGS** 
  - 12 24 13 Window Shade Systems
  - 12 36 00 Countertops and Window Stools
- **DIVISION 13 -- SPECIAL CONSTRUCTION**
- **DIVISION 14 -- CONVEYING EQUIPMENT**

# **DIVISION 21 -- FIRE SUPPRESSION**

- 21 00 00 General Provisions for Fire Suppression
- 21 05 17 Sleeves and Sleeve Seals for Fire-Suppression in Piping
- 21 05 18 Escutcheons for Fire-Suppression Piping
- 21 05 53 Identification for Fire-Suppression Piping and Equipment
- 21 10 00 Fire Suppression
- **DIVISION 22 -- PLUMBING** 
  - 22 00 00 General Provisions for Plumbing
  - 22 02 00 Commissioning of Domestic Hot Water
  - 22 05 13 Common Motor Requirements for Plumbing Equipment
  - 22 05 17 Sleeves and Sleeve Seals for Plumbing Piping
  - 22 05 18 Escutcheons for Plumbing Piping
  - 22 05 19 Meters and Gages for Plumbing Piping
  - 22 05 23 General-Duty Valves for Plumbing Piping

- 22 05 29 Hangers and Supports for Plumbing Piping and Equipment
- 22 05 53 Identification for Plumbing Piping and Equipment
- 22 07 00 Plumbing Insulation
- 22 11 16 Domestic Water Piping
- 22 11 19 Domestic Water Piping Specialties
- 22 11 23 Domestic Water Pumps
- 22 13 16 Sanitary Waste and Vent Piping
- 22 13 19 Sanitary Waste Piping Specialties
- 22 13 19.13 Sanitary Drains
- 22 13 29 Sanitary Sewerage Pumps
- 22 14 13 Storm Drainage Piping
- 22 14 23 Storm Drainage Piping Specialties
- 22 14 29 Sump Pumps
- DIVISION 23 -- HEATING, VENTILATING, AND AIR-CONDITIONING (HVAC)
  - 23 00 00 General Provisions for HVAC Systems
  - 23 05 13 Common Motor Requirements for HVAC Equipment
  - 23 05 17 Sleeves and Sleeve Seals for HVAC Piping
  - 23 05 18 Escutcheons for HVAC Piping
  - 23 05 19 Meters and Gages for HVAC Piping
  - 23 05 23 General-Duty Valves for HVAC Piping
  - 23 05 29 Hangers and Supports for HVAC Piping and Equipment
  - 23 05 48 Vibration Controls for HVAC
  - 23 05 53 Identification for HVAC Piping and Equipment
  - 23 05 93 Testing, Adjusting, and Balancing for HVAC
  - 23 07 00 HVAC Piping Insulation
  - 23 07 13 Duct Insulation
  - 23 08 00 Commissioning of HVAC and HVAC Controls
  - 23 09 00 Automatic Temperature Controls
  - 23 09 50 Variable Frequency Drives for HVAC Equipment
  - 23 21 12 Hydronic Piping
  - 23 21 16 Hydronic Piping Specialties
  - 23 21 23 Hydronic Pumps
  - 23 22 13 Steam and Condensate Piping
  - 23 22 16 Steam and Condensate Piping Specialties
  - 23 23 00 Refrigerant Piping
  - 23 25 00 HVAC Water Treatment
  - 23 31 13 Metal Ducts
  - 23 33 00 Air Duct Accessories
  - 23 37 13 Diffusers, Registers and Grilles
  - 23 81 26 Split-System Air-Conditioners
  - 23 82 16 Air Coils
  - 23 82 19 Fan Coil Units

# **DIVISION 26 -- ELECTRICAL**

- 26 00 00 General Electrical Provisions
- 26 05 00 Common Work Results for Electrical
- 26 05 19 Low-Voltage Electrical Power Conductors and Cables
- 26 05 26 Grounding and Bonding for Electrical Systems
- 26 05 29 Hangers and Supports for Electrical Systems
- 26 05 33 Raceway and Boxes for Electrical Systems
- 26 05 36 Cable Trays for Electrical Systems
- 26 05 53 Identification for Electrical Systems
- 26 05 73.13 Short-Circuit Studies
- 26 05 73.16 Coordination Studies
- 26 08 00 Commissioning of Lighting Controls
- 26 09 23 Lighting Control Devices
- 26 09 43 Relay-Based Lighting Controls
- 26 24 16 Panelboards
- 26 27 26 Wiring Devices
- 26 28 13 Fuses
- 26 28 16 Enclosed Switches and Circuit Breakers
- 26 29 13.03 Manual and Magnetic Motor Controllers
- 26 41 13 Lightning Protection for Structures
- 26 51 19 LED Interior Lighting

#### **DIVISION 27 -- COMMUNICATIONS**

- 27 05 00 Common Work Results for Communications
- 27 11 00 Communications Equipment Room Fittings
- 27 13 00 Communications Backbone Cabling
- 27 15 00 Communications Horizontal Cabling
- 27 53 13 Clock Systems

# **DIVISION 28 -- ELECTRONIC SAFETY AND SECURITY**

- 28 05 00 Common Work Results for Electronic Safety and Security
- 28 08 00 Commissioning of Security System
- 28 16 00 Perimeter Security Safety
- 28 20 00 Video Surveillance
- 28 46 21.11 Addressable Fire-Alarm Systems

END OF SECTION 00 01 10

# SECTION 01 91 13 - COMMISSIONING HVAC, HVAC CONTROLS, DOMESTIC HOT WATER, LIGHTING CONTROLS, AND SECURITY SYSTEMS

# PART 1 - GENERAL

#### 1.1 RELATED WORK

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions, and other Division-1 Specification Sections, apply to work of this Section.
- B. Division 22 Plumbing
- C. Division 23 Heating Ventilating and Air Conditioning
- D. Division 26 Electrical
- E. Division 28 Electronic Safety and Security

#### 1.2 SUMMARY

- A. Section includes commissioning process requirements for the following systems:
  - 1. HVAC and HVAC Controls
  - 2. Domestic Hot Water
  - 3. Lighting Controls
  - 4. Security/Access Control

#### B. Section Includes:

- 1. General requirements for coordinating and scheduling commissioning.
- 2. Commissioning meetings.
- 3. Commissioning documentation and scheduling commissioning.
- 4. Construction checklists, including, but not limited to, installation checks, startup, performance tests, and performance test demonstration.
- 5. Commissioning tests and commissioning test demonstration.
- 6. Adjusting, verifying, and documenting identified systems and assemblies.

#### 1.3 REFERENCES

- A. Drawings and general provisions of contract, including general and supplementary conditions, general mechanical provisions and Division-1 Specification sections, apply to work of this section.
- B. AABC National Standards for Total System Balance 2016
- C. ASHRAE Standard 202 2018: Commissioning Process for Buildings and Systems
- D. ASHRAE Guideline 0 2019: The Commissioning Process
- E. ASHRAE Guideline 0.2 2015: Commissioning Process for Existing Buildings and Assemblies
- F. ASHRAE Guideline 1.1 2007: HVAC&R Technical Requirements for the Commissioning Process
- G. ASHRAE Guideline 1.2 2019: Technical Requirements for the Commissioning Process for Existing HVAC&R Systems and Assemblies
- H. ASHRAE Guideline 1.3 2018: Building Operation and Maintenance Training for the HVAC&R Commissioning Process
- I. ASHRAE Guideline 1.4 2014: Procedures for Preparing Facility Systems Manuals
- J. ASHRAE 1.5 2017: The Commissioning Process for Smoke Control Systems
- K. ASHRAE Commissioning Definitions and Terminology for the Building Industry: A Common Overview 2018
- L. ACG Commissioning Guideline 2005
- M. ANSI/ASHRAE/IES Standard 90.1 2016; Energy Standard for Buildings Except Low Rise Residential Buildings (SI Edition)
- N. ANSI/ASHRAE/IES Standard 189.1 2014: Standard for the Design of High-Performance Green Buildings
- O. BCA New Construction Building Commissioning Best Practices –2018
- P. BICSI: Telecommunications Distribution Methods Manual, 14th Edition
- Q. ICC G4 2018 Guideline for Commissioning
- R. ICC International Energy Conservation Code (IeCC) 2012; Section C408
- S. NECA 90: Commissioning Building Electrical Systems 2015

- T. NETA-ATS: Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems 2017
- U. NFPA 70: National Electrical Code 2017
- V. NFPA 72: National Fire Alarm and Signaling Code 2013
- W. National Institute of Building Sciences (NIBS) Whole Building Design Guide
- X. 2018 Kentucky Building Code
- Y. NFPA 110: Standard for Emergency and Standby Power Systems 2019

#### 1.4 DESCRIPTION OF WORK

- A. The purpose of the commissioning process is to provide the owner/operator of the facility with a high level of assurance that the commissioned systems have been installed in the prescribed manner, and operate within the performance guidelines set out in the Owner's Project Requirements (OPR). The Commissioning Authority (CxA) shall provide the owner with an unbiased, objective view of the system's installation, operation, and performance. This process is not intended to eliminate or reduce the responsibility of the design team or installing contractors to provide a finished product. Commissioning is intended to enhance the quality of system start-up and aid in the orderly transfer of systems for beneficial use by the owner. The CxA will be a member of the construction team, administrating and coordinating commissioning activities with the design team, construction manager, subcontractors, manufacturers and equipment suppliers.
- B. The independent commissioning authority (CxA) is contracted directly with the owner for this project. This commissioning plan has been included for reference only to define contractors' responsibilities. Each contractor should review this procedure and include adequate time in their proposal.

#### 1.5 INSTALLING CONTRACTORS CLOSE-OUT SUBMITTALS

- A. Commissioning Report Supplemental Information:
  - 1. At Construction Phase Commissioning Completion, provide the following:
    - a. Startup reports
    - b. Approved test procedures
    - c. Test data forms, completed and signed
    - d. Controls point-to-point verification documentation
    - e. Preliminary test and balance report(s)
    - f. Progress reports
    - q. Commissioning issues reports showing resolution of issues
    - h. Correspondence or other documents related to resolution of issues
    - i. Other reports required by commissioning authority
- B. Provide Operation and Maintenance Data: For proprietary test equipment, instrumentation, and tools to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 Not used.

PART 3 - EXECUTION

#### 3.1 ROLES OF THE COMMISSIONING AUTHORITY

- A. The primary responsibility is to inform the owner, the construction manager and design team on the status, integration, and performance of commissioned systems within the facility.
- B. The CxA shall function as a catalyst and initiator to disseminate information and assist the design and construction teams in implementing completion of the construction process. This shall include system verification, functional performance testing, and conformance with the intended design of each system. Services include documenting construction observations, verification and functional performance testing, and documenting proper distribution of performance and operating information to the owner's O&M staff.

- C. The CxA shall observe and coordinate testing as required to assure system performance meets the Basis of Design and Owner's Project Requirements.
- D. The CxA shall provide technical expertise to oversee and verify the correction of deficiencies found during the commissioning process.
- E. The CxA is to remain an independent party with specific knowledge of commissioned systems on the project. The CxA shall investigate the scope and extent of the problem and facilitate communication to determine responsibilities by delineating specifications. The CxA shall monitor resolution for conformance with design intent and prevailing industry standards.
- F. The CxA shall document the date of acceptance as determined by the construction manager, owner and design team.

# 3.2 SYSTEMS INCLUDED IN THE COMMISSIONING PROCESS

- A. HVAC and HVAC Controls
- B. Domestic Hot Water
- C. Lighting Controls
- D. Security Systems (Security Management and Video Management Systems)

#### 3.3 CONTRACTOR SCHEDULING

- A. Commissioning Schedule: Integrate commissioning into Contractor's construction schedule.
  - 1. Include detailed commissioning activities in monthly updated Contractor's construction schedule and short interval schedule submittals.
  - 2. Schedule the start date and duration for the following commissioning activities:
    - a. Submittals.
    - b. Preliminary operation and maintenance manual submittals.
    - c. System verification checklists
    - d. Operation and Maintenance Manuals
    - e. Startup
    - f. Functional performance tests
    - g. Operation and Maintenance Training
    - h. As-Built/Existing Conditions Documents
    - i. Near End of Warranty Review
- B. Two-Week Look-Ahead Commissioning Schedule:
  - Two weeks prior to the beginning of tests, submit a detailed two-week look-ahead schedule.
     Thereafter, submit updated two-week look-ahead schedules weekly for the duration of commissioning.
- C. Owner's Witness Coordination:
  - 1. Coordinate Owner's witness participation via Architect.
  - 2. Notify Architect of commissioning schedule changes at least one week in advance for activities requiring the participation of Owner's witness.

# 3.4 COMMISSIONING PLAN

# A. Commissioning Team

1. The Commissioning Team (CT) shall consist of key parties involved in design, construction and testing of this facility. It is necessary for each agency to appoint team members that will have long-term commitments to this project. One team member shall be provided by each of the parties listed below:

- a. Owner Representative University of Kentucky
- b. Project Architect Lord Aeck Sargent Architect
- c. Design Engineer Staggs & Fisher Engineers
- d. Commissioning Authority (CxA) Facility Commissioning Group, Inc.
- e. General Contractor (GC)
- f. Mechanical Contractor (MC)
- g. Sheet Metal Contractor (SM)
- h. Controls Installation Contractor (CIC)
- i. Controls Supplier (CS)
- j. Test and Balance Contractor (TABC)
- k. Electrical Contractor (EC)
- I. Lighting Controls Equipment Contractor (LCEC)
- m. Security System Contractor (SSC)

# B. Owner's Project Requirements and Basis of Design Documents

- 1. The Owner's Project Requirements (OPR) is a written document prepared by the owner and the design team that details the functional requirements of a project and the expectations of how it will be used and operated.
- 2. The Basis of Design (BOD) is a document prepared by the design team that records the concepts, calculations, decisions, and product selections used to meet the Owner's Project Requirements and to satisfy applicable regulatory requirements, standards, and guidelines. This instrument contains narrative descriptions and supporting documentation.
- C. The CxA will review the OPR and BOD documents for commissioning provisions, functional performance, optimizing of performance, accessibility, TAB provisions, testing provisions and O&M considerations.

#### D. Commissioning Meetings

1. Commissioning meetings will be held in conjunction with progress meetings as necessary. The CxA will be on site for the Cx meetings. Commissioning meetings will be used to address any problems that alter the design intent or affect the commissioning process.

# E. Resolution Tracking Forms (RTF)

- 1. The use of Resolution Tracking Forms is a method employed by the CxA to monitor and record problems, their causes, and solutions. The use of these lists promotes communication between the installing contractors, design team, commissioning agent, and owner, in order to expedite their resolution in a timely manner.
- 2. The CxA will regularly submit RTF's to the Commissioning Team in order to document and resolve deficiencies as quickly as possible. The frequency of RTF submission will be adjusted as project conditions dictate.

# F. System Verification Checklists (SVC) / Manufacturers' Checklists

- 1. The CxA will write SVC's based on the contract documents. These tests will be created for systems and subsystems. See section 3.2 <u>SYSTEMS INCLUDED IN THE COMMISSIONING PROCESS</u> for list of systems to be commissioned. Draft copies will be submitted to the Commissioning Team for review and comment prior to placement on the job site. A master copy of the SVC's will be bound in a three-ring binder and placed on the job site for completion by the installing contractors. No system will be started until the appropriate SVC's have been completed.
- 2. The CxA will review the SVC for each piece of equipment prior to start-up.
- 3. The equipment manufacturers' checklists must also be reviewed by the CxA prior to start-up. These lists must be completed by the installing contractor, and reviewed by the CxA before start-up commences.

# G. Start-Up

1. Start-up of major commissioned systems will be witnessed the CxA. The appropriate contractors and/or manufacturer's representative will be required on site to perform start-up. No system will be started until the appropriate SVC's have been completed.

# H. Controls Monitoring

1. Close monitoring of the Control Supplier's (CS) progress will promote efficient coordination of the TAB work. The CS will be expected to submit point-to-point checklists verifying that his work has been completed and all systems are ready for TAB work and Functional Performance Testing.

# I. TAB Monitoring

- 1. The preliminary TAB report set-up will be reviewed prior to HVAC equipment start-up, in order to assure that the final TAB report format and content are acceptable.
- 2. TAB work will be monitored so that any problems that prevent or hinder proper air and water balance can be addressed and corrected with minimal delays.
- 3. A pencil copy of the TAB report will be reviewed prior to submission of the final TAB report and before Functional Performance Tests can begin. A written CxA review will be submitted to the TAB contractor and to the Design Team for their comments. A TAB report approved by the DT will be required before Functional Performance Testing can be carried out. The CxA will visit the site during the TAB process in order to assist TABC and CC in the effective completion of their scope of work.

#### J. Functional Performance Tests (FPT)

- 1. The CxA will write FPT's based on the OPR. These tests will be created for systems and subsystems. See section 3.2 'SYSTEMS INCLUDED IN THE COMMISSIONING PROCESS' for list of systems to be commissioned above. Each major system will be tested. A random sample of each subsystem will be tested. This will be coordinated and witnessed by the CxA and the owner's maintenance staff. Witnessing the FPT's will serve as a compliment to the O&M Training. No FPT's will be performed until the system and related subsystems SVC's are completed by installing contractors, startup reports have been submitted, the TAB report has been submitted and reviewed, and the completion of the control system has been documented through point-to-point checklists and other documentation.
- 2. The Functional Performance Tests shall include HVAC, HVAC Controls, Domestic Hot Water, Lighting Controls and Security Systems equipment.
  - a. Fan Coil Units will be tested at minimum and maximum airflow setpoints, and under automatic control. Intermediate settings will be tested as necessary.
  - b. Split systems will be tested for conformance to OPR and BOD.
  - c. DDC control systems will be tested as necessary to achieve OPR conformance.
  - d. HVAC systems will be tested to assure that the building as an integrated system operates properly.
  - e. Domestic Hot Water systems will be tested in designed modes under relevant operating conditions for conformance to OPR and BOD.
  - f. Lighting Controls will be tested to assure that the building as an integrated system operates properly.
  - g. The security system contractor will demonstrate to the CxA design intent conformance of video management system (VMS) operation including cameras, camera network and recording. With the CxA present the security system contractor will verify proper operation of commissioned systems.
  - h. The security system contractor will demonstrate to the CxA design intent conformance of Security Management System (SMS) operation including Access Control, Duress Buttons, Emergency Call Boxes, electrified door hardware interfaces to other systems and remote monitoring and control. With the CxA present, the security system contractor will verify proper operation of commissioned systems.
- 3. Off-season mode testing will be implemented as necessary to assure conformance with the OPR. Installing contractors will be expected to participate as required by the project specifications.

# 3.4 ROLES AND RESPONSIBILITES OF INSTALLING CONTRACTORS

# A. Installing Contractor Roles

- 1. General Contractor (GC)
- 2. Mechanical Contractor (MC)
- 3. Sheet Metal Contractor (SMC)
- 4. Testing, Adjusting and Balance Contractor (TABC)
- 5. Temperature Controls Contractor (TCC)
- 6. Electrical Contractor (EC)
- 7. Lighting Controls Equipment Contractor (LCEC)
- 8. Plumbing Contractor (PC)
- 9. Security System Contractor (SSC)

# B. General Contractor Responsibilities (GC)

- 1. Assure acceptable representation, with the means and authority to prepare and coordinate execution of the commissioning program as described in the contract documents.
- 2. Assure that the CxA shall receive a copy of all construction documents, addenda, change orders and appropriate approved submittals and shop drawings for review and use in development of the commissioning plan.
- 3. Coordinate inclusion of commissioning activities in the construction schedule.
- 4. Facilitate resolution of deficiencies identified by observation or performance testing.

# C. Mechanical Contractor (MC) Responsibilities

- 1. Include requirements for submittal data (including partial load data), O&M data, and training in each purchase order or sub-contract.
- 2. Assure cooperation and participation of specialty sub-contractors such as sheet metal, piping, refrigeration, water treatment, temperature controls, and TAB in commissioning activities.
- 3. Assure participation of major equipment manufacturers in appropriate startup, training, and testing activities.
- 4. Attend commissioning meetings scheduled by the CxA.
- 5. Assist the CxA in system verification and performance testing.
- 6. Prepare preliminary schedule for commissioned system inspections, O&M manual submission, training sessions, pipe and duct system testing, flushing and cleaning, equipment start-up, system verification, performance testing, and system completion for use by the CxA. Update schedule as appropriate throughout the construction period.
- 7. Complete System Verification Checklists and manufacturer's pre-start checklists prior to scheduling startup of commissioned equipment.
- 8. Monitor and respond to Resolution Tracking Forms distributed by the CxA in order to expedite corrective actions necessary to achieve design intent.
- 9. Notify the CxA a minimum of two weeks in advance of scheduled system start-up.
- 10. Update drawings to as-built condition and review with the CxA throughout the construction process.
- 11. Schedule vendor and subcontractor provided training sessions as required by project specifications.
- 12. Provide written notification that the following work has been completed in accordance with the project specifications, and that the equipment, systems and sub-systems are operating in accordance with design intent.
  - a. HVAC equipment including fans, air handling units, dehumidification units, ductwork, dampers, terminal devices, etc.
  - b. Fire detection and smoke detection devices furnished under other divisions as they affect the operation of the HVAC systems.
  - c. That BAS is functioning in accordance with design intent.
- 13. Participate in the Functional Performance Tests as required to achieve design intent.
- 14. Participate in the off-season mode testing as required to achieve design intent.
- 15. Participate in O&M Training as required by project specifications.
- 16. Provide a complete set of as-built drawings and O&M manuals for review.

# D. Sheet Metal Contractor Responsibilities (SMC)

- 1. Include requirements for submittal data (including partial load data), O&M data, and training in each purchase order or sub-contract.
- 2. Assure cooperation and participation of specialty sub-contractors such as piping, refrigeration, water treatment, temperature controls, and TAB in commissioning activities.
- 3. Assure participation of major equipment manufacturers in appropriate startup, training, and testing activities.
- 4. Attend commissioning meetings scheduled by the CxA.
- 5. Assist the CxA in system verification and performance testing.
- 6. Prepare preliminary schedule for commissioned system inspections, O&M manual submission, training sessions, pipe and duct system testing, flushing and cleaning, equipment start-up, system verification, performance testing, and system completion for use by the CxA. Update schedule as appropriate throughout the construction period.
- 7. Complete System Verification Checklists and manufacturer's pre-start checklists prior to scheduling startup of commissioned equipment.
- 8. Monitor and respond to Resolution Tracking Forms distributed by the CxA in order to expedite corrective actions necessary to achieve design intent.
- 9. Notify the CxA a minimum of two weeks in advance of scheduled system start-up.
- 10. Update drawings to as-built condition and review with the CxA throughout the construction process.
- 11. Schedule vendor and subcontractor provided training sessions as required by project specifications.
- 12. Provide written notification that the following work has been completed in accordance with the project specifications, and that the equipment, systems and sub-systems are operating in accordance with design intent.
  - a. HVAC equipment including fans, air handling units, dehumidification units, ductwork, dampers, terminal devices, etc.
  - b. Fire detection and smoke detection devices furnished under other divisions as they affect the operation of the HVAC systems.
- 13. Participate in the Functional Performance Tests as required to achieve design intent.
- 14. Participate in the off-season mode testing as required to achieve design intent.
- 15. Participate in O&M Training as required by project specifications.
- 16. Provide a complete set of as-built drawings and O&M manuals for review.

# E. Test and Balance Contractor Responsibilities (TABC)

- 1. Attend commissioning meetings scheduled by the CxA.
- 2. Submit the TAB procedures and preliminary TAB report to the CxA for review at least two weeks prior to beginning TAB work.
- 3. Notify the CxA a minimum of two weeks in advance of scheduled TAB work.
- 4. Provide partial, preliminary TAB Reports by phase, by building section, by system, or as required by the CxA.
- 5. Assist the CxA in system verification and performance testing.
- 6. Monitor and respond to Resolution Tracking Forms distributed by the CxA in order to expedite corrective actions necessary to achieve design intent.
- 7. Participate in verification of the TAB report, which will consist of repeating any selected measurement contained in the TAB report where required by the CxA for verification or diagnostic purposes.
- 8. Participate in the Functional Performance Tests as required to achieve design intent.
- 9. Provide sound and vibration measurements where required to assist in diagnosis of areas exhibiting unacceptable levels of noise or vibration.
- 10. Participate in the off-season mode testing as required to achieve design intent.
- 11. Participate in O&M Training as required by project specifications.

# F. Temperature Control Contractor Responsibilities (TCC)

- 1. Review control sequence and component selection for conformance with design intent.
  - a. Verify that specified safeties and interlocks have been selected.
  - b. Verify proper selection of control valves and actuators based on design parameters.
  - c. Verify proper selection of control dampers and actuators based on design parameters.

- d. Verify that sensor selection conforms to design intent.
- 2. Attend commissioning meetings scheduled by the CxA.
- 3. Provide the following submittals to the CxA:
  - a. Hardware and software submittals.
  - b. Control panel construction shop drawings.
  - c. Narrative description of control sequences for each commissioned system and subsystem.
  - d. Schematics showing all control points, sensor locations, point names, actuators, controllers and where necessary, points of access.
  - e. A list of all control points, including analog inputs, analog outputs, digital inputs and digital outputs. Include the values of all parameters for each system point. Provide a separate list for each stand-alone control unit.
  - f. A complete listing of all software routines employed in operating the control system. Also provide a program narrative that describes the logic flow of the software and the functions of each routine and sub-routine. The narrative should also explain individual math or logic operations that are not clear from reading the software listing.
  - g. Hardware operation and maintenance manuals.
  - h. Application software and project applications code manuals.
  - i. Panel and equipment insert documents.
- 4. Verify that specified interfaces provided by others are compatible with BAS hardware and software.
- 5. Coordinate installation and programming of BAS with construction and commissioning schedules.
- 6. Complete System Verification Checklists and manufacturer's pre-start checklists prior to scheduling startup of commissioned equipment.
- 7. Provide control system technician to assist during equipment startup.
- 8. Monitor and respond to Resolution Tracking Forms distributed by the CxA in order to expedite corrective actions necessary to achieve design intent.
- 9. Participate in the Functional Performance Tests as required by the project specifications.
- 10. Provide a control system technician to assist during verification and performance testing.
- 11. Provide system modifications to achieve system operation as defined by the design intent.
- 12. Provide support and coordination for TAB contractor. Provide all devices, such as portable operator terminals and all software for the TAB to use in completing TAB procedures.
- 13. Provide written notification that the TCC scope of work has been completed in accordance with the project specifications, and that the equipment, systems and sub-systems are operating in accordance with design intent, and that BAS is functioning in accordance with design intent.
- 14. Participate in the Functional Performance Tests as required to achieve design intent.
- 15. Participate in the off-season mode testing as required to achieve design intent.
- 16. Participate in O&M Training as required by project specifications. Include training on hardware operations and programming.

# G. Electrical Contractor Responsibilities (EC, LCEC)

- 1. Review design for provision of power to the commissioned equipment.
  - a. Verify proper hardware specifications exist for performance as defined by the OPR.
  - b. Verify proper safeties and interlocks are included in the design of electrical connections for HVAC equipment.
- 2. Attend commissioning meetings scheduled by the CxA.
- 3. Verify proper installation and performance of all electrical services provided.
- 4. Complete System Verification Checklists and manufacturer's pre-start checklists prior to scheduling startup of commissioned equipment.
- 5. Monitor and respond to Resolution Tracking Forms distributed by the CxA in order to expedite corrective actions necessary to achieve design intent.
- 6. Provide an electrical system technician to assist during verification and performance testing.
- 7. Participate in the Functional Performance Tests as required to achieve design intent.
- 8. Participate in the off-season mode testing as required to achieve design intent.
- 9. Participate in O&M Training as required by project specifications.
- 10. Provide a complete set of as-built drawings and O&M manuals for review.

- H. Plumbing Contractor Responsibilities (PC)
  - 1. Include cost for commissioning requirements in the contract price.
  - 2. Review design for provision of power to equipment.
    - a. Verify proper hardware specifications exist for performance as defined by the OPR.
    - b. Verify proper safeties and interlocks are included in the design of electrical connections for plumbing equipment.
  - 3. Attend commissioning meetings scheduled by the CxA.
  - 4. Furnish instrumentation required for demonstration of Owner's Project Requirements compliance of installed systems equipment and assemblies for systems to be commissioned.
  - 5. Verify proper installation and performance of all plumbing installation services provided.
  - 6. Complete System Verification Checklists and manufacturer's pre-start checklists prior to scheduling startup of commissioned plumbing equipment.
  - 7. Monitor and respond to Resolution Tracking Forms distributed by the CxA in order to expedite corrective actions necessary to achieve design intent.
  - 8. Provide a plumbing system technician to assist during verification and performance testing.
  - 9. Participate in the Functional Performance Tests as required to achieve design intent.
  - 10. Participate in the off-season mode testing as required to achieve design intent.
  - 11. Participate in O&M Training as required by project specifications.
- I. Security System Contractor Responsibilities (SSC)
  - 1. Include cost for commissioning requirements in the contract price.
  - 2. Review design for provision of power to equipment.
    - a. Verify proper hardware specifications exist for performance as defined by the OPR.
    - b. Verify proper safeties and interlocks are included in the design of electrical connections for plumbing equipment.
  - 3. Attend commissioning meetings scheduled by the CxA.
  - 4. Furnish instrumentation required for demonstration of Owner's Project Requirements compliance of installed systems equipment and assemblies for systems to be commissioned.
  - 5. Verify proper installation and performance of all security installation services provided.
  - 6. Complete System Verification Checklists and manufacturer's pre-start checklists prior to scheduling startup of commissioned security equipment.
  - 7. Coordinate with Owner's personnel (Security Personnel, IT) and their integrator(s) during the installation of the systems.
  - 8. Monitor and respond to Resolution Tracking Forms distributed by the CxA in order to expedite corrective actions necessary to achieve design intent.
  - 9. Provide a security system technician to assist during verification and performance testing.
  - 10. Participate in the Functional Performance Tests as required to achieve design intent.
  - 11. Participate in O&M Training as required by project specifications.
- J. Contractor Commissioning Compliance Issues (Applies to all Installing Contractors):
  - 1. Test results that are not within the range of acceptable results are commissioning compliance issues.
  - 2. Track and report commissioning compliance issues until resolution and retesting are successfully completed.
  - 3. If a test demonstration fails, determine the cause of failure. Direct timely resolution of issue and then repeat the demonstration. If a test demonstration must be repeated due to failure caused by Contractor work or materials, reimburse Owner for billed costs for the participation in the repeated demonstration.
  - 4. Test Results: If a test demonstration fails to meet the acceptance criteria, perform the following:
    - a. Complete a commissioning compliance issue report form promptly on discovery of test results that do not comply with acceptance criteria.
    - b. Submit commissioning compliance issue report form to the Commissioning Team.
    - c. Determine the cause of the failure.
    - d. Establish responsibility for corrective action if the failure is due to conditions found to be Contractor's responsibility.
  - 5. Commissioning Compliance Issue Report: Provide a commissioning compliance issue report for each issue. Do not report multiple issues on the same commissioning compliance issue report.

- a. Exception: If an entire class of devices is determined to exhibit the identical issue, they may be reported on a single commissioning compliance issue report. For example, if all return-air damper actuators that are specified to fail to the open position are found to fail to the closed position, they may be reported on a single commissioning issue report. If a single commissioning issue report is used for multiple commissioning compliance issues, each device shall be identified in the report, and the total number of devices at issue shall be identified.
- b. Complete and submit the commissioning compliance issue report immediately when the condition is observed.
- c. Record the commissioning compliance issue report number and describe the deficient condition on the data form.
- d. Resolve commissioning compliance issues promptly and report resolutions to the Commissioning Team.
- 6. Diagnose and correct failed test demonstrations as follows:
  - a. Perform diagnostic tests and activities required to determine the fundamental cause of issues observed.
  - b. Record each step of the diagnostic procedure prior to performing the procedure. Update written procedure as changes become necessary.
  - c. Record the results of each step of the diagnostic procedure.
  - d. Record the conclusion of the diagnostic procedure on the fundamental cause of the issue.
  - e. Determine and record corrective measures.
  - f. Include diagnosis of fundamental cause of issues in commissioning compliance issue report.
- 7. Retest:
  - a. Schedule and repeat the complete Functional Performance Test procedure for each test demonstration for which acceptable results are not achieved. Obtain signature of Owner's witness on retest data forms. Repeat test demonstration until acceptable results are achieved. Except for issues that are determined to result from design errors or omissions, or other conditions beyond Contractor's responsibility, compensate Owner for direct costs incurred as the result of repeated test demonstrations to achieve acceptable results.
- 8. Do not correct commissioning compliance issues during test demonstrations.
  - a. Exceptions will be allowed if the cause of the issue is obvious and resolution can be completed in a mutually agreed upon brief timeframe by the Commissioning Team. If corrections are made under this exception, note the deficient conditions on the test data form and issue a commissioning compliance issue report.

**END OF SECTION** 

# SECTION 22 08 00 - COMMISSIONING OF DOMESTIC HOT WATER

# PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions, and other Division 1 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes commissioning process requirements for domestic hot water systems, assemblies, and equipment.
- B. Related Sections include the following:
  - 1. Division 1 Section 019113 "Commissioning Of HVAC, HVAC Controls, Domestic Hot Water, Lighting Controls, and Security Systems" for commissioning process requirements.
  - 2. Division 22 Sections for plumbing equipment, systems, and control requirements.

PART 2 - PRODUCTS

2.1 Not used.

PART 3 - EXECUTION

3.1 Not used.

**END OF SECTION** 

# SECTION 23 08 00 - COMMISSIONING OF HVAC AND HVAC CONTROLS

# PART 1 - GENERAL

# 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions, and other Division 1 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes commissioning process requirements for HVAC and HVAC Controls systems, assemblies, and equipment.
- B. Related Sections include the following:
  - 1. Division 1 Section 019113 "Commissioning Of HVAC, HVAC Controls, Domestic Hot Water, Lighting Controls, and Security Systems" for commissioning process requirements.
  - 2. Division 23 Sections for HVAC equipment, systems, and control requirements.

PART 2 - PRODUCTS

2.1 Not used.

PART 3 - EXECUTION

3.1 Not used.

**END OF SECTION** 

# SECTION 26 08 00 - COMMISSIONING OF LIGHTING CONTROLS

# PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions, and other Division 1 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes commissioning process requirements for lighting controls and electrical systems, assemblies, and equipment.
- B. Related Sections include the following:
  - 1. Division 1 Section 019113 "Commissioning Of HVAC, HVAC Controls, Domestic Hot Water, Lighting Controls, and Security Systems" for commissioning process requirements.
  - 2. Division 26 Sections for lighting controls equipment, systems, and control requirements.

PART 2 - PRODUCTS

2.1 Not used.

PART 3 - EXECUTION

3.1 Not used.

**END OF SECTION** 

# SECTION 28 08 00 - COMMISSIONING OF SECURITY SYSTEM

# PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions, and other Division 1 Specification Sections, apply to this Section.

# 1.2 SUMMARY

- A. This Section includes commissioning process requirements for security camera and door systems, assemblies, and equipment.
- B. Related Sections include the following:
  - 1. Division 1 Section 019113 "Commissioning Of HVAC, HVAC Controls, Domestic Hot Water, Lighting Controls, and Security Systems" for commissioning process requirements.
  - 2. Division 28 Sections for security equipment, systems, and control requirements.

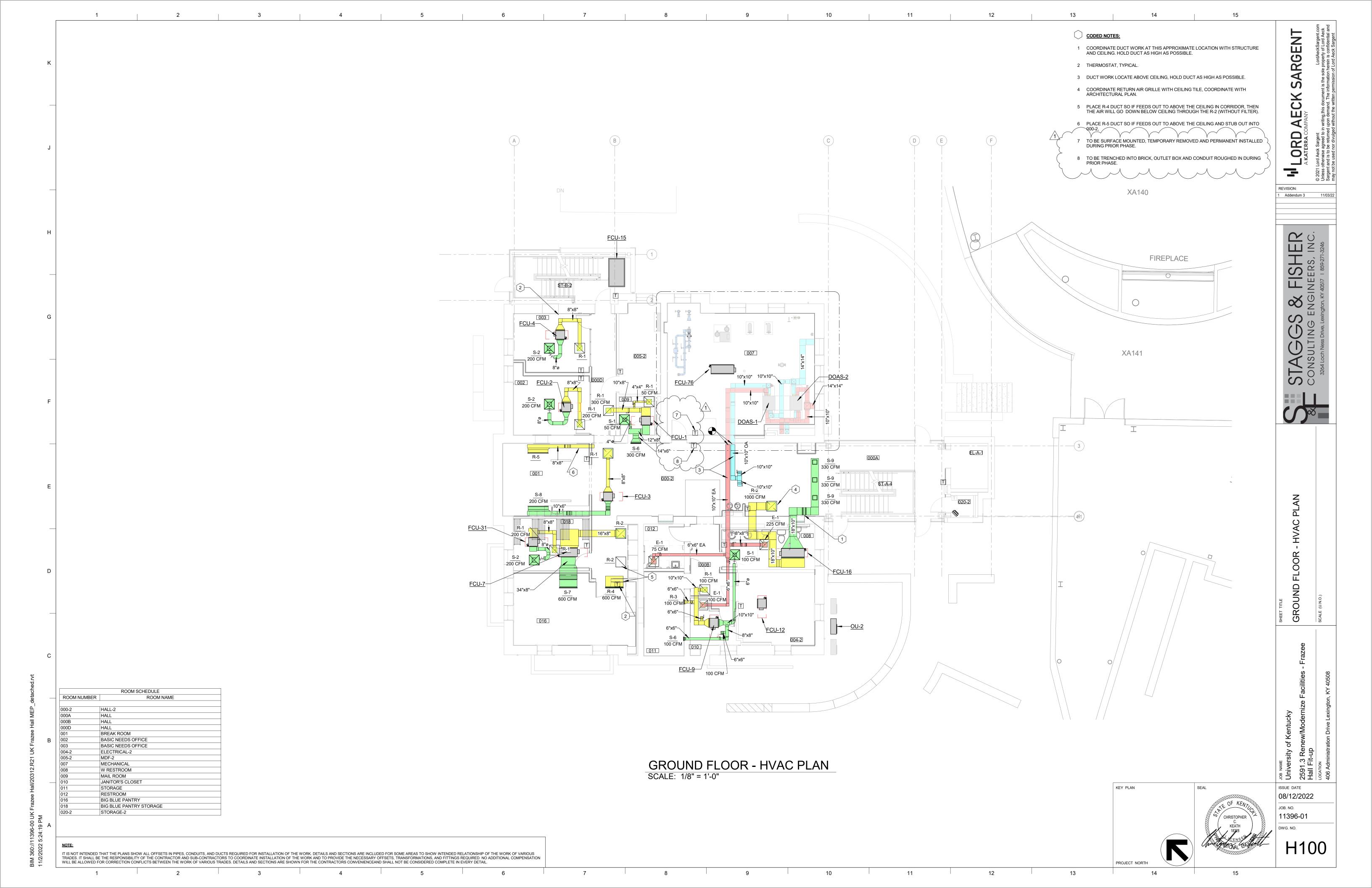
PART 2 - PRODUCTS

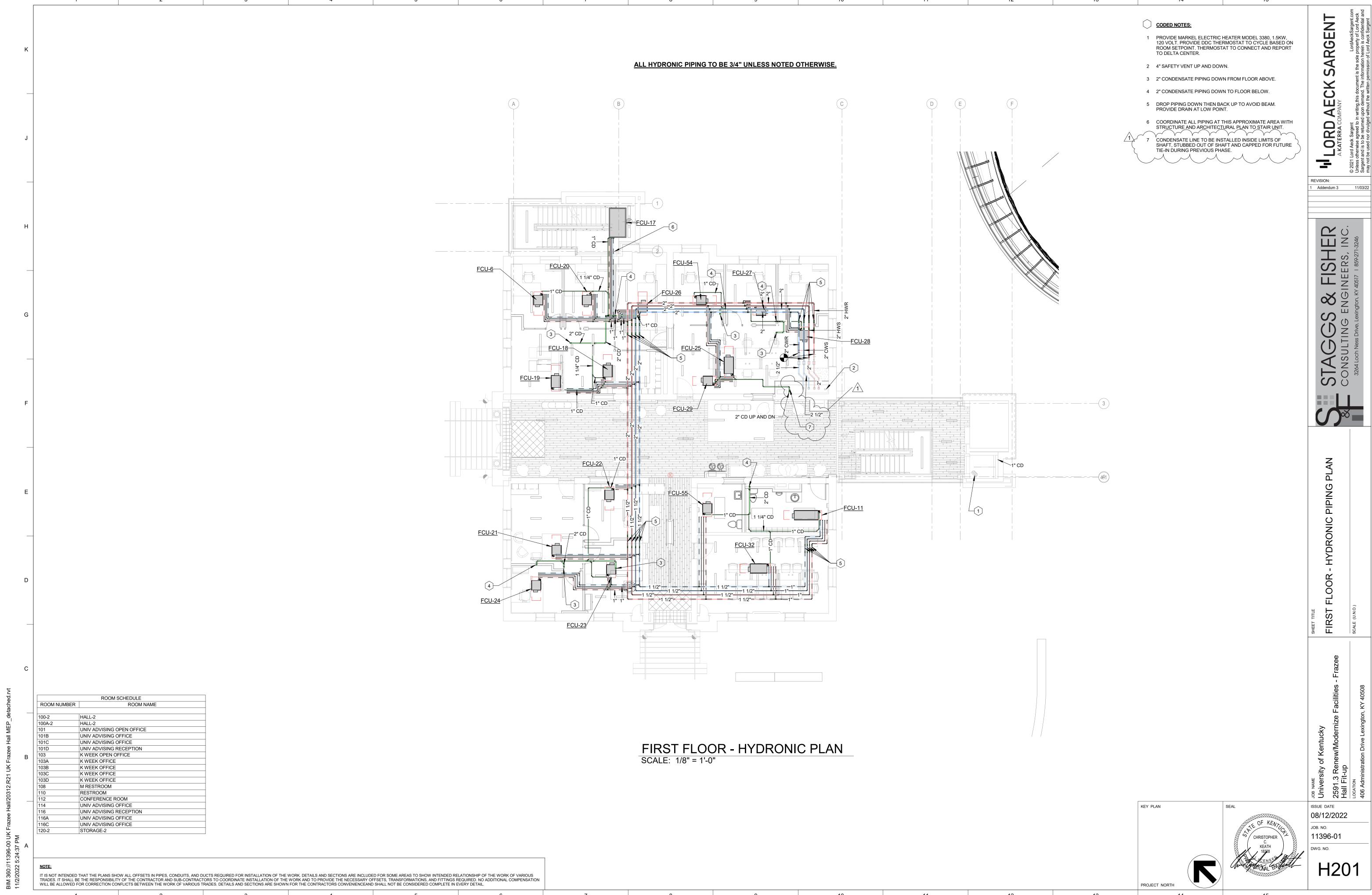
2.1 Not used.

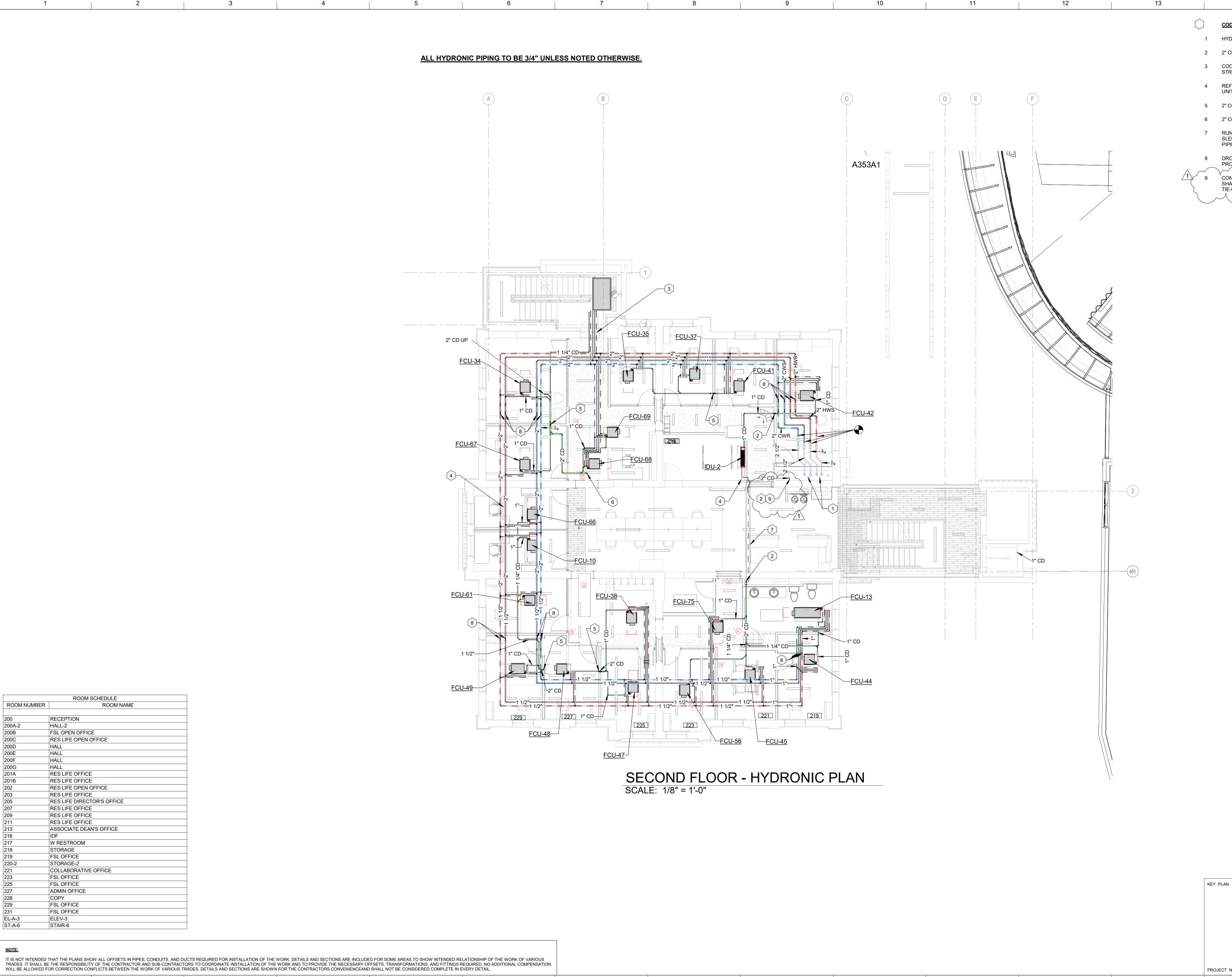
PART 3 - EXECUTION

3.1 Not used.

**END OF SECTION** 







**CODED NOTES:** 

1 HYDRONIC PIPING RUN UP AND DOWN IN CHASE.

2 2" CD PIPING RUN UP AND DOWN.

3 COORDINATE ALL PIPING AT THIS APPROXIMATE AREA WITH STRUCTURE AND ARCHITECTURAL PLAN TO STAIR UNIT.

REFRIGERANT PIPING RUN ABOVE CEILING FROM OUTDOOR UNIT OU-2 AND DOWN IN WALL TO ROOM 226 UNIT. 5 2" CONDENSATE PIPING DOWN TO FLOOR BELOW.

6 2" CONDENSATE PIPING DOWN FROM FLOOR ABOVE. RUN PIPING IN SLEEVE ABOVE THIS CEILING AS SHOWN. SLEEVE SHALL BE BIG ENOUGH TO ALLOW INSULATED PIPING TO BE PULLED IN AND OUT FOR SERVICE.

DROP PIPING DOWN THEN BACK UP TO AVOID BEAM. PROVIDE DRAIN AT LOW POINT. CONDENSATE LINE TO BE INSTALLED INSIDE LIMITS OF SHAFT, STUBBED OUT OF SHAFT AND CAPPED FOR FUTURE TIE-IN DURING PRIOR PHASE.

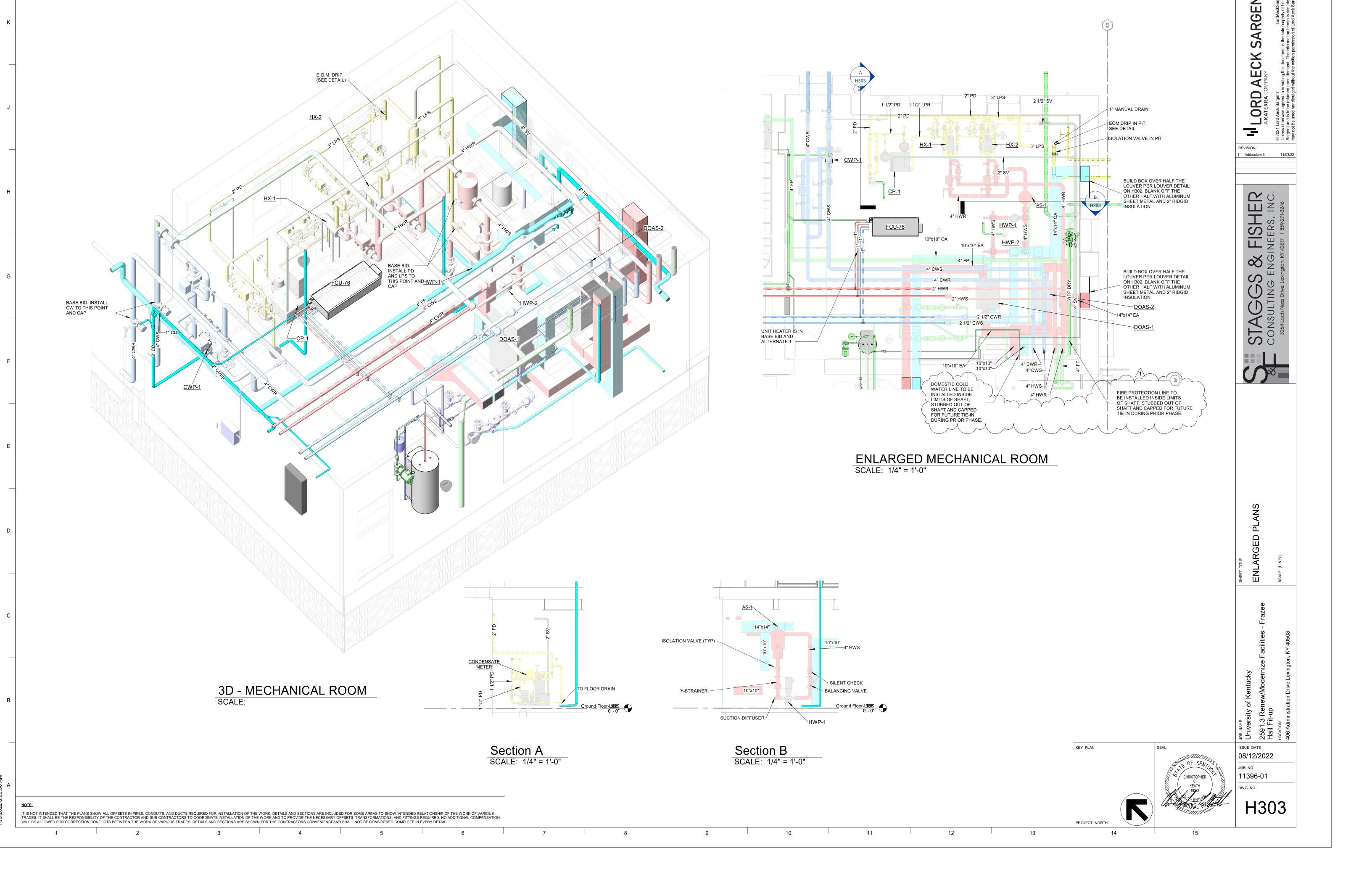
LORD

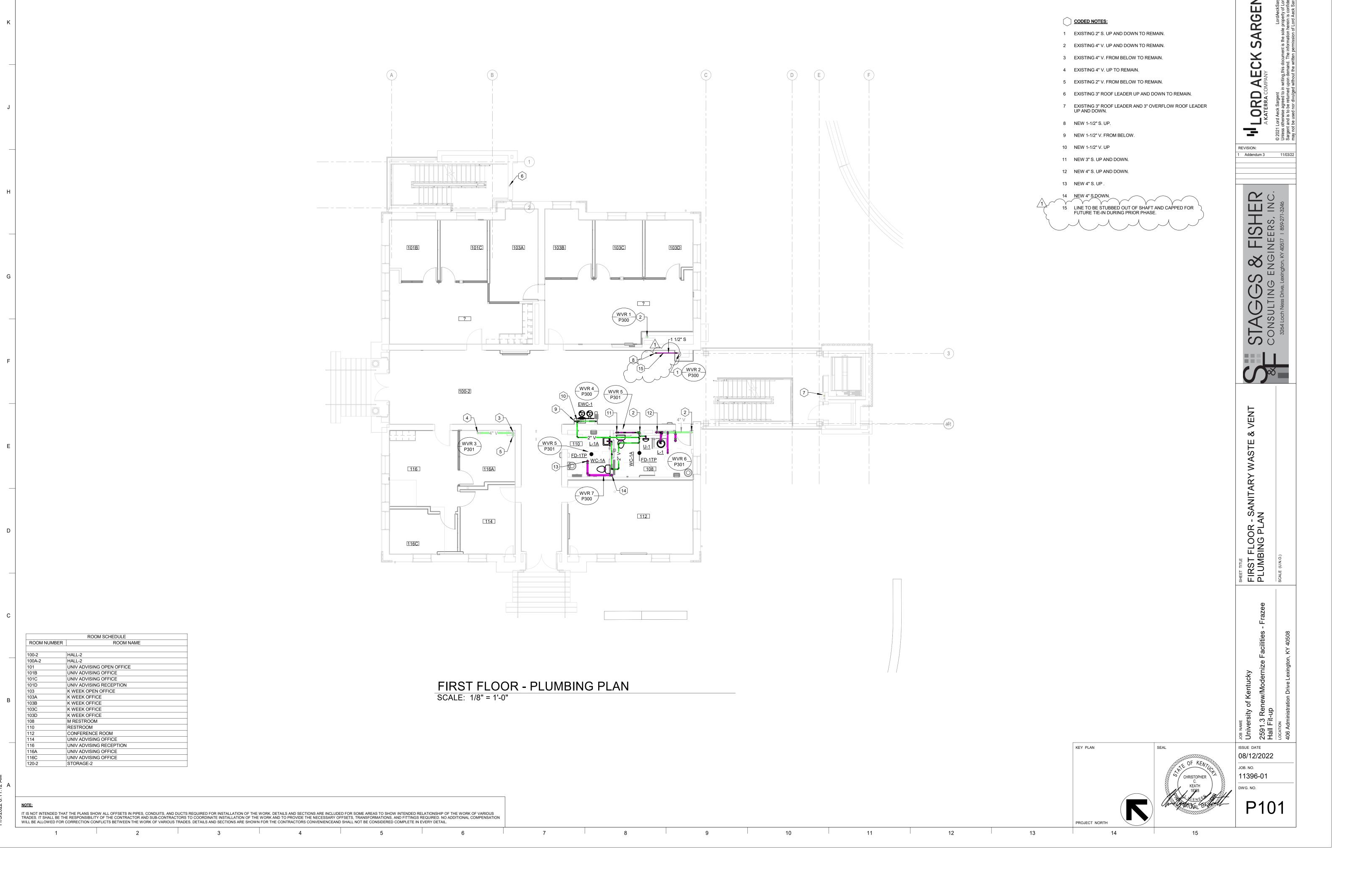
Addendum 3

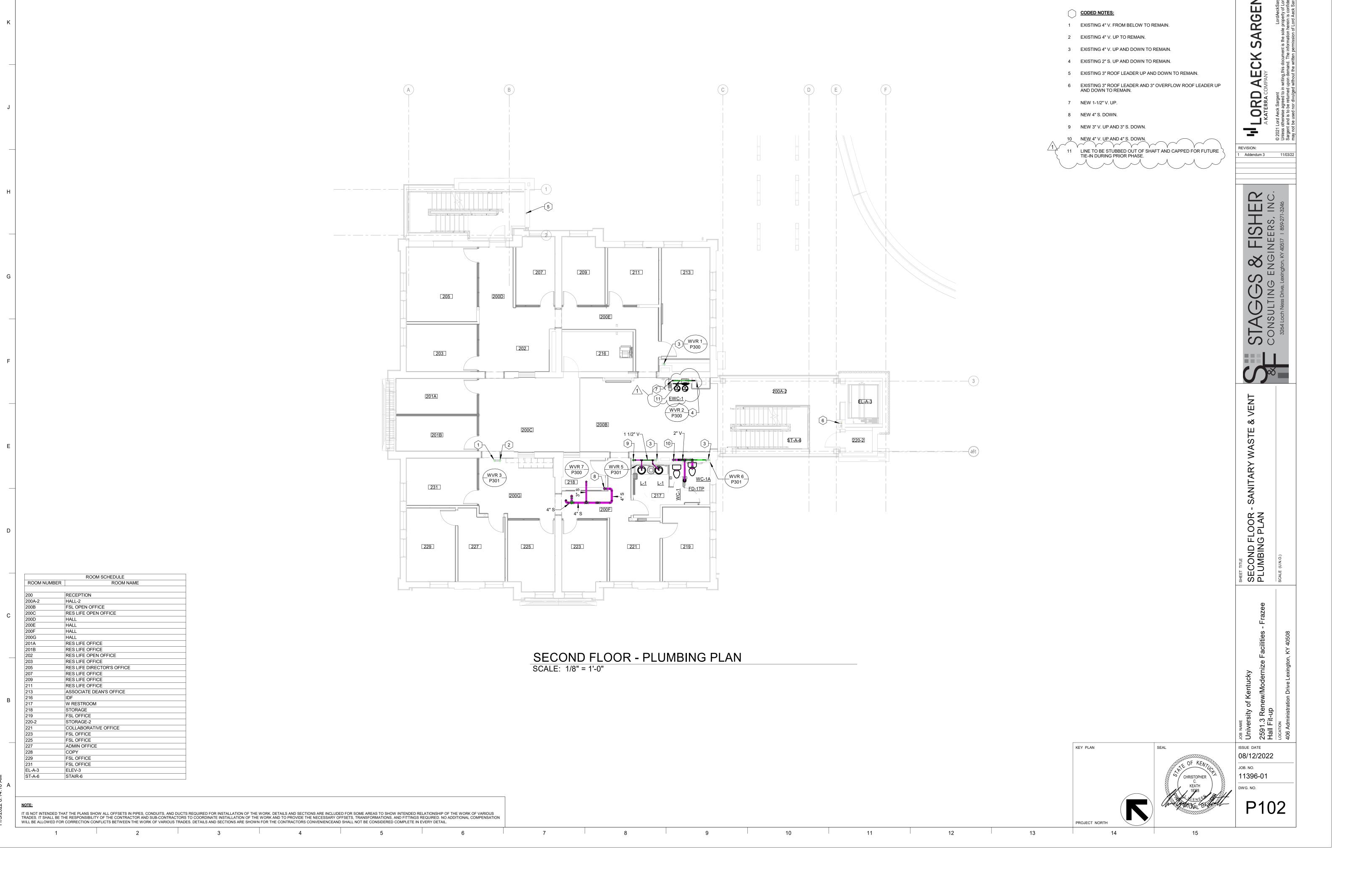
SARGENT

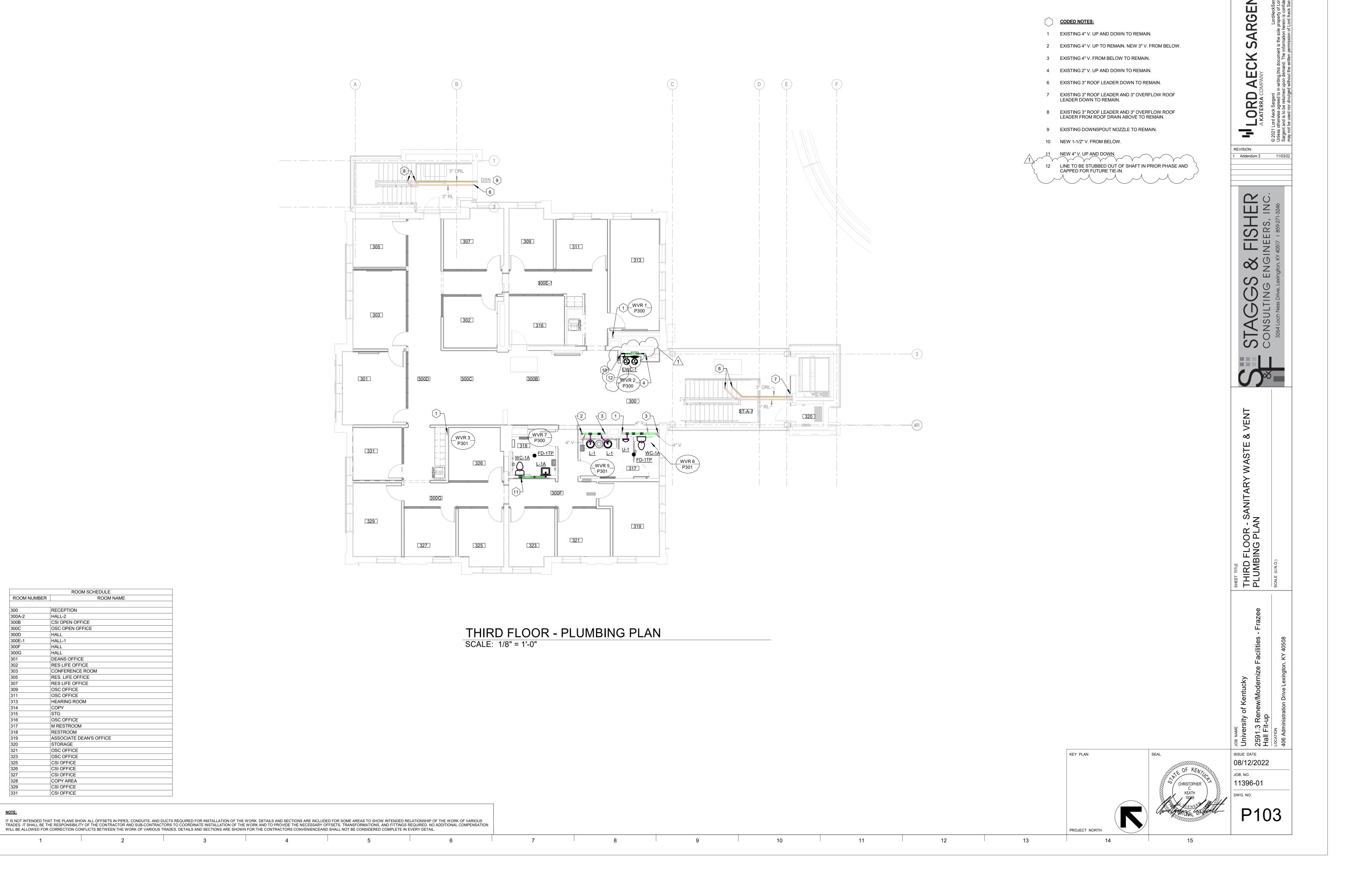
AECK

ISSUE DATE 08/12/2022 JOB. NO. 11396-01





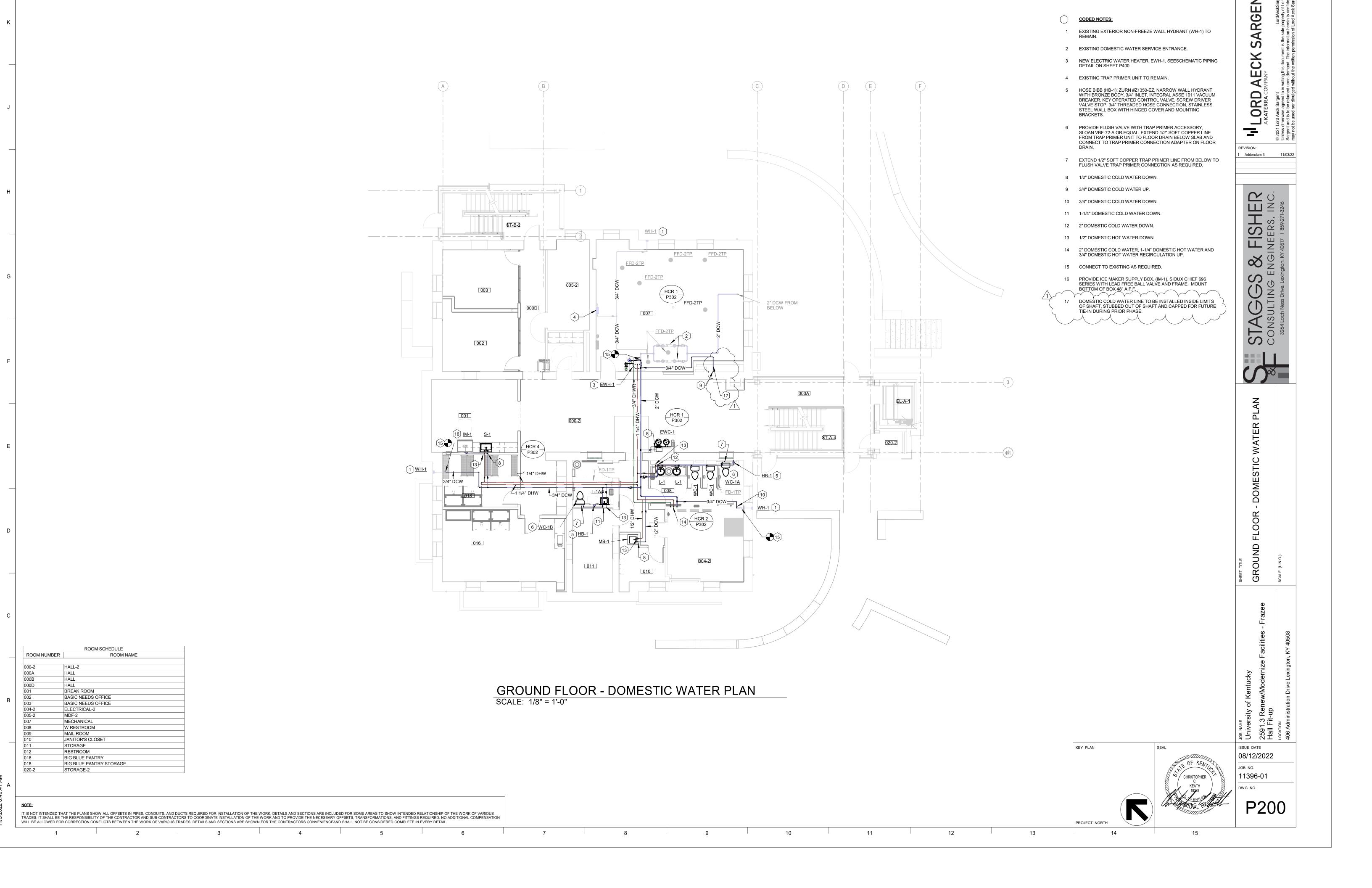




ROOM NUMBER

300C

300E-1





J

\_

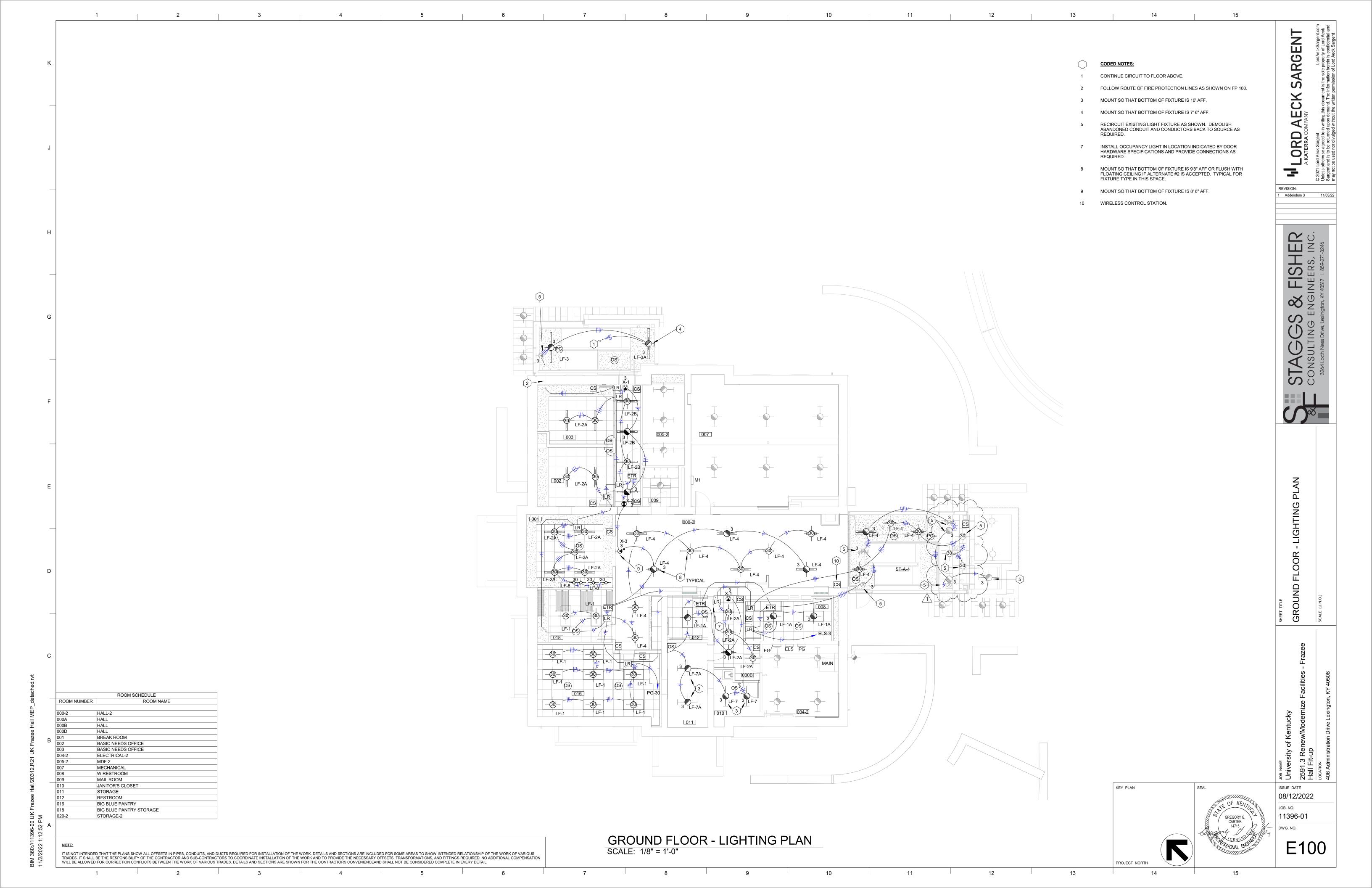
\_

F

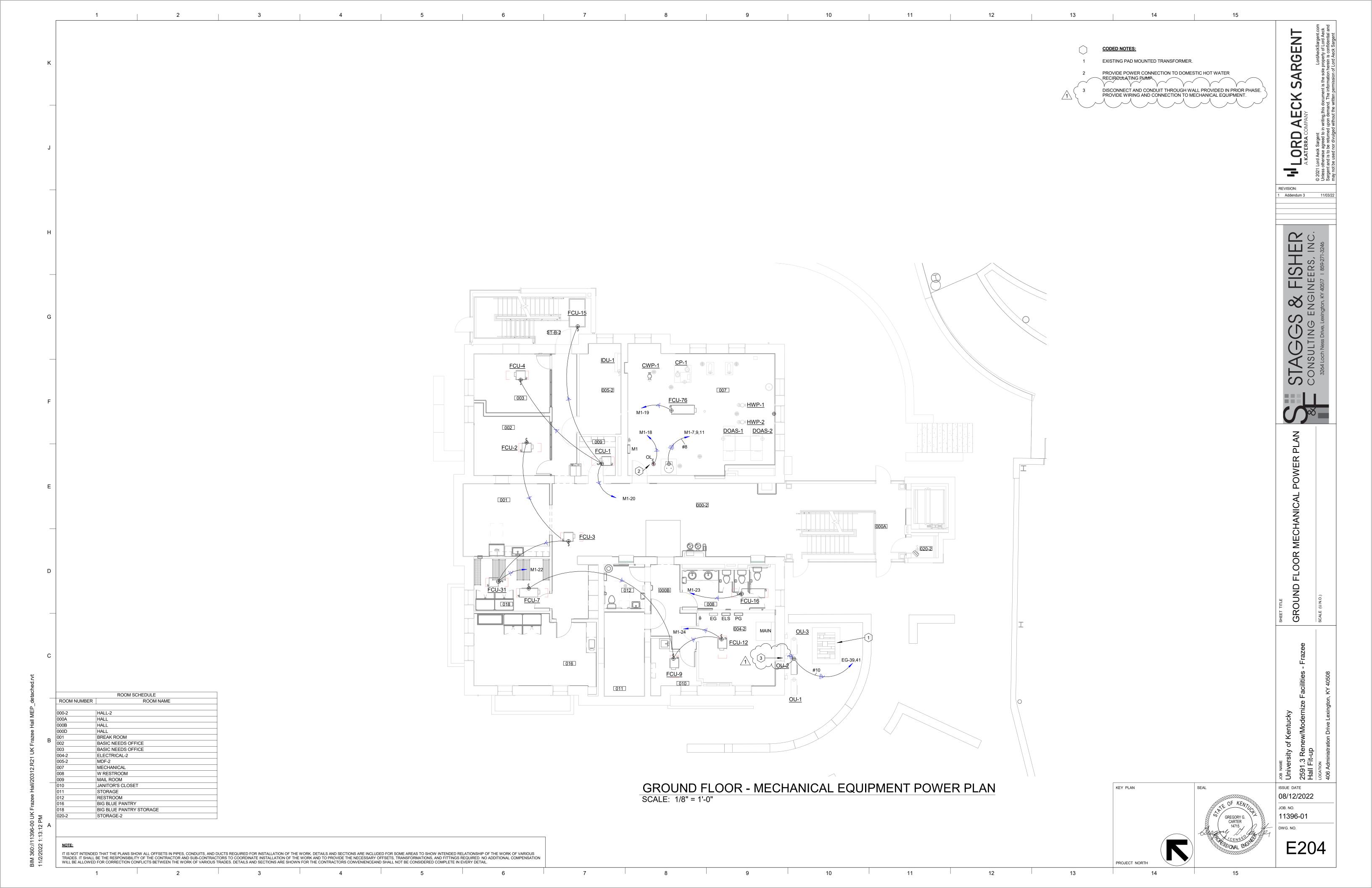
-

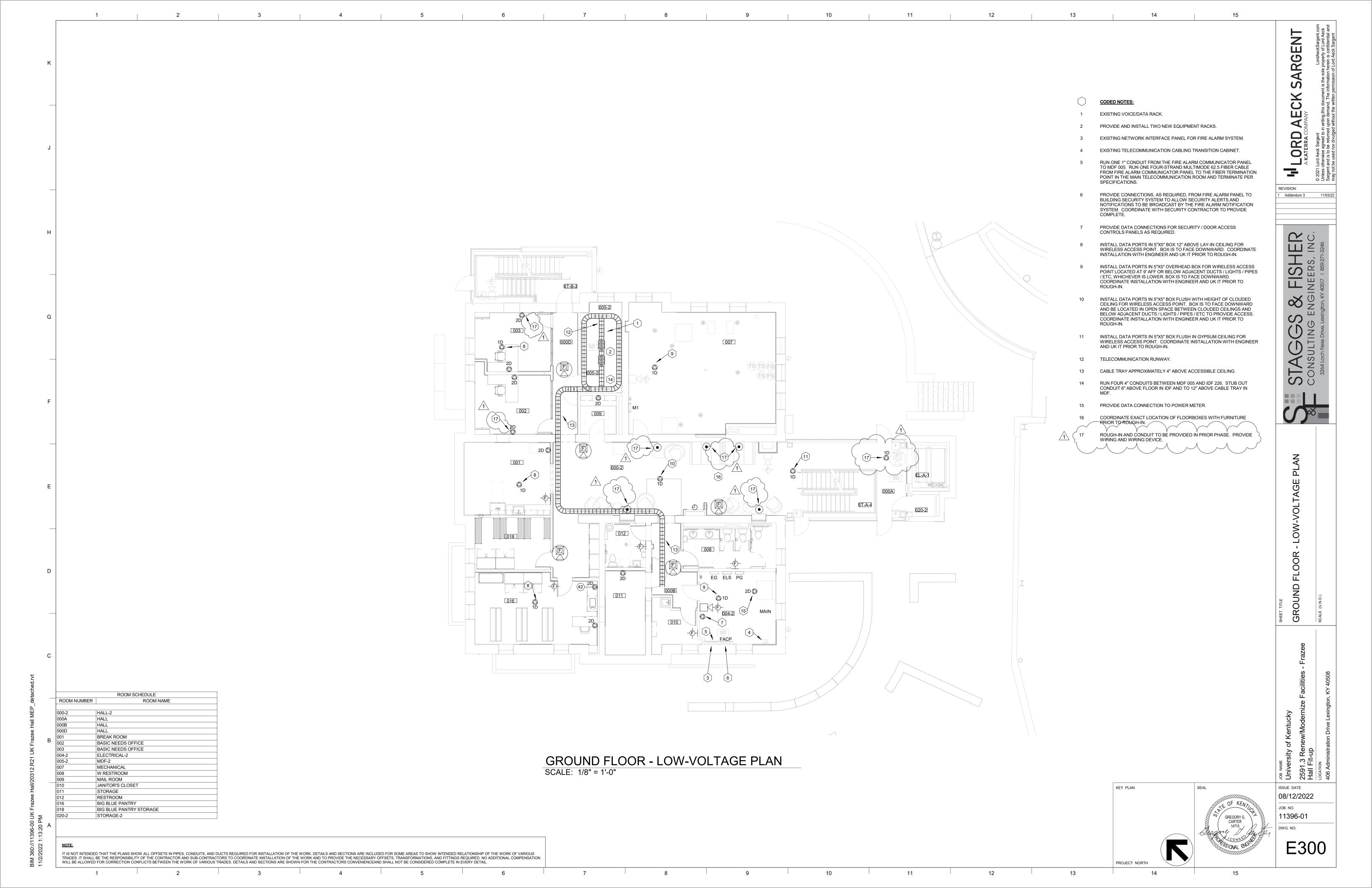
В

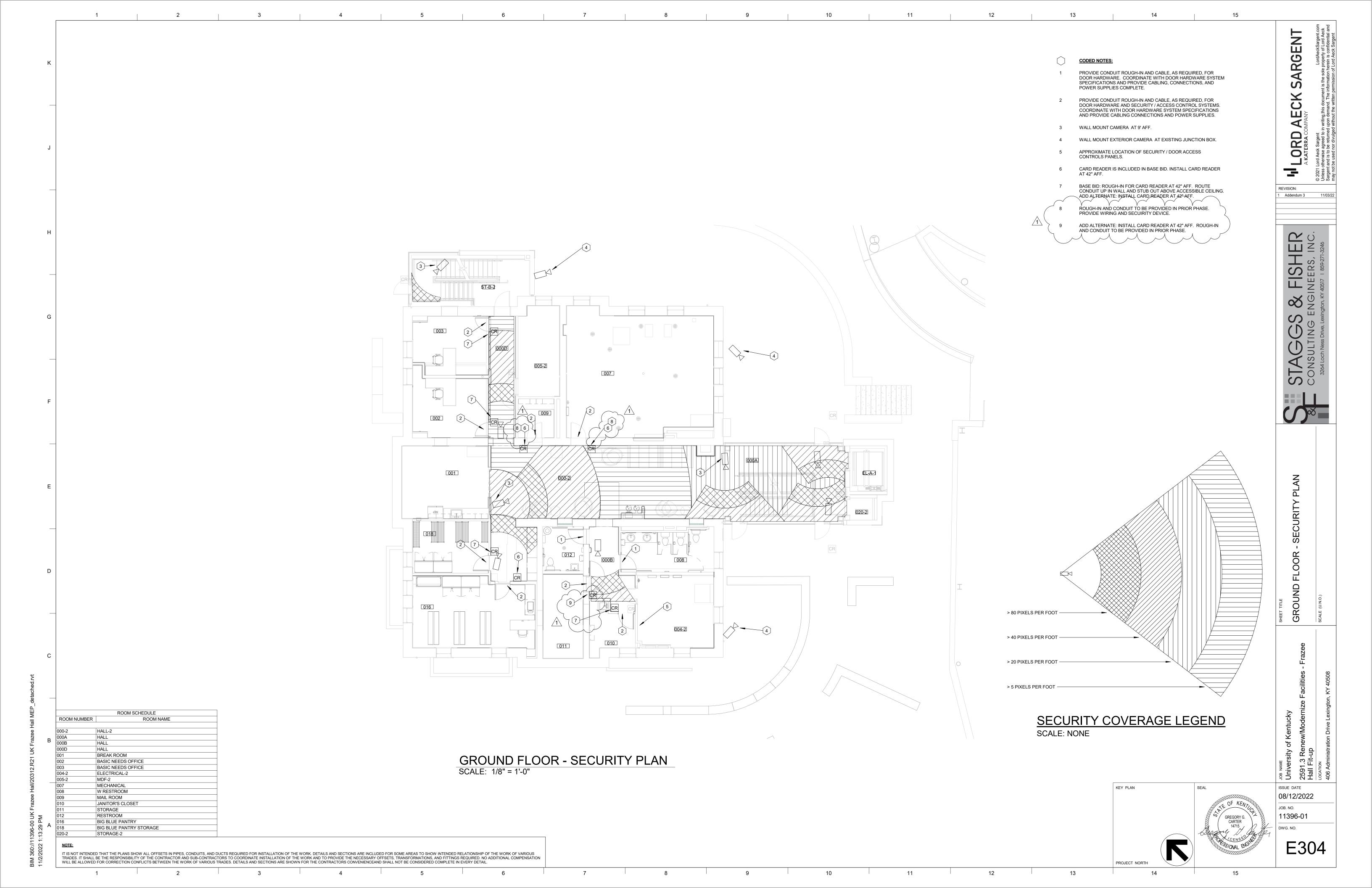
ξ + Α











# UNIVERSITY OF KENTUCKY CAPITAL CONSTRUCTION PROCUREMENT SECTION FORM OF PROPOSAL FRAZEE INTERIOR FIT-UP

Subcontract 01C – General Requirements		
Project No. <u>2591.3</u> Purchasing Officer: <u>Ken Scott</u>	Project Title: <u>FRAZEE INTERIOR FIT-UP</u>	
•	I shall be followed exactly in submitting a st, an additional copy will be furnished upon Contract Documents.	
This Proposal is submitted by:  Date:	(NAME AND ADDRESS OF BIDDER)	
Telephone:		
TO: BID CLERK UNIVERSITY OF KENTUCKY	INVITATION TO BID: <u>CCK-2667-23</u>	
CAPITAL CONSTRUCTION	BID OPENING DATES: November 10, 2022	
PROCUREMENT	TRADE CONTRACT DESCRIPTION:	
RM. 322 SERVICE BUILDING 411 SOUTH LIMESTONE	General Requirements TRADE CONTRACT NO.: 01C	
LEXINGTON, KY 40506-0005	TIME: 3:00 P.M. E.D.T.	
having carefully examined the site of th Documents as defined in Article I of the Specifications affecting the work as pre furnish all labor, materials, supplies and	pared by the Consultant, hereby proposes to d services required to construct the Project in hts, within the time set forth therein, and at the	
The Bidder hereby acknowledges recei	pt of the following Addenda:	
ADDENDUM NO	DATED	
ADDENDUM NO	DATED	
ADDENDUM NO	DATED	
(Insert the number and date of any Addissued and received, the word NONE s	lenda issued and received. If none has been hould be inserted.)	

## FORM OF PROPOSAL

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST I hereby certify:

- 1. That I am the Bidder (if the Bidder is an individual), a partner in the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- That the submitted Bid or Bids covering Capital Construction Procurement Section Invitation No. <u>CCK-2667-23</u> have been arrived at by the Bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition; as prohibited by provision KRS 45A.325;
- 3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished with the Bid or Bids and will not be communicated to any such person prior to the official opening of the Bid or Bids:
- 4. That the Bidder is legally entitled to enter into the contracts with the University of Kentucky and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, and 45A.330 to 45A.340 and 45A.455;
- 5. This offer is good for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that upon proper acceptance by the Capital Construction Procurement Section of any or all items Bid above, a contract shall thereby be created with respect to the items accepted;
- 6. That I have fully informed myself regarding and affirm the accuracy of all statements made in this Form of Proposal including Bid Amount.
- 7. Unless otherwise exempted by KRS 45.590, the Bidder intends to comply in full with all requirements of the Kentucky Civil Rights Act and to submit data required by the Kentucky Equal Employment Act upon being designated the successful contractor.
- 8. That the bidding contractor and all Subcontractors to be employed do not and will not maintain any facilities they provide for employees in a segregated manner and they are in full compliance with provisions of 41 CFR 60-1.8 that prohibits the maintaining of segregated facilities.
- 9. In accordance with KRS45A.110(2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to the bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

## READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID

SIGNED B	Υ		TITLE
PRINT NA	ME		FIRM
ADDRESS	<u> </u>		PHONE ()
			FAX ()
CITY	STATE	ZIP CODE	DATE

# Contractor Report of Prior Violations of Chapters 136,139, 141, 337, 338, 341, and 342

Pursuant to KRS 45A.485, the Contractor shall, prior to the award of a Contract, reveal final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 by the Contractor that have occurred in the previous five (5) year period.

This statute also requires for the duration of the Contract established, the Contractor be in continuous compliance with the provisions of Chapters 136, 139, 141, 337, 338, 341, and 342 that apply to the Contractor's operations. The Contractor's failure to reveal a final determination of a violation of KRS Chapters 136, 139, 141, 337, 338, 341, and 342, or failure to comply with any of the above cited statutes for the duration of the Contract shall be grounds for the cancellation of the Contract, and the disqualification from eligibility for future contracts for a period of two (2) years.

The Contractor, by signing and submitting a Bid on this Invitation, agrees as required by KRS 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 that have occurred in the previous five (5) years prior to the award of a Contract and agrees to remain in continuous compliance with the provisions of these statutes during the duration of any contract that may be established. Final determinations of any violations of these statutes, must be provided to the University by the successful Contractor prior to the award of a Contract.

## **LUMP SUM PROPOSAL**

The Bidder, in compliance with the Invitation to Bid <u>CCK-2667-23</u> having examined the drawings, specifications, related documents and having visited the site of the proposed work, and being familiar with all the conditions surrounding the construction of the proposed project including the availability of materials and labor, hereby submits the following bid to furnish all labor, materials, and supplies and to construct the project in accordance with the Bid Documents within the time set forth therein and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the Contract Documents, of which this Bid is a part.

The Bidder agrees to furnish all labor, materials, supplies and services required to complete the Work, for the above referenced Project, for the Capital Construction Procurement Section, University of Kentucky, as described in the Specifications and Contract Documents and shown on the Drawings enumerated below and as modified by the Addenda listed above.

Bidder hereby agrees that all escalation cost associated with materials and/or labor have been included in the stated unit cost, through the projected duration dates as stated in the preliminary project construction schedule.

FOR THE LUMP SUM OF $\_$		
	(USE WORDS)	
	DOLLARS AND(USE WORDS)	CENTS.
(USE WORDS)	(USE WORDS)	
(\$	)	
(USE FIG	SURES)	
BID ALTERNATES		
Scope Alternate No. 1: Histor *Optional	ic Woodwork Restoration	
FOR THE LUMP SUM OF		
_	(USE WORDS)	
(USE WORDS)	DOLLARS AND (USE WORDS)	CENTS.
(\$) (USE FIGURES)		

# UNIVERSITY OF KENTUCKY – Frazee Interior Fit-up UK Project No. 2591.3 Add Alternate No. 4: Millwork

Add Alternate No. 4: Millwork *If Scope Alternate No. 1 is filled out, this is required.				
FOR THE LUMP SUM OF	(105,140,550)			
	(USE WORDS)			
	DOLLARS AND	CENTS.		
(USE WORDS)	(USE WORD	JS)		
(\$) (USE FIGURES)				

### **BUSINESS CLASSIFICATION**

Please complete this form which is necessary for the University of Kentucky vendor database. Mark only one classification. Refer to "Definitions" for assistance in determining correct classification.

(01)Small Business	(06)Woman-Owned Large Business
(02)Large Business	(07)Disadvantaged Woman-Owned Small Business
(03)Disadvantaged Small Business	(08)Disadvantaged Woman-Owned Large Business
(04)Disadvantaged Large Business	(09)Other
(05) Woman-Owned Small Busi	iness

#### **DEFINITIONS**

- (01) SMALL BUSINESS: A business concern that is organized for profit, is independently owned and operated, is not dominant in the field of operations in which it is bidding, and meets the size standards as prescribed in the Code of Federal Regulations, Title 13, Part 121. Consult your local or district Small Business Administration (SBA) office if further clarification is needed.
- (02) LARGE BUSINESS: A business concern that exceeds the small business size code standards established by SBA.
- (03) DISADVANTAGED SMALL BUSINESS: A business concern (a) that is at least 51 percent owned by one or more socially and economically disadvantaged individuals (as defined below), or a publicly owned business, having at least 51 percent of its stock owned by one or more socially and economically disadvantaged individuals; and (b) has its management and daily business operations controlled by one or more such individuals. Socially and economically disadvantaged individuals include Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans and other minorities or individuals found to be disadvantaged by the SBA.
- (04) DISADVANTAGED LARGE BUSINESS: A concern that meets the definition of socially and economically disadvantaged individuals, but which is not a small business by the SBA's size standards.
- (05) WOMAN-OWNED SMALL BUSINESS: A small business that is at least 51 percent owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" means actively involved in the day to day management.
- (06) WOMAN-OWNED LARGE BUSINESS: A concern that meets the definition of woman owned and operated, but which is not a small business by the SBA's standards.
- (07) DISADVANTAGED, WOMAN-OWNED SMALL BUSINESS: A concern that meets the definition of both (03) and (05) above.
- (08) DISADVANTAGED, WOMAN OWNED LARGE BUSINESS: A concern that meets the definition of both (04) and (06) above.
- (09) OTHER: A concern that does not meet any of the above definitions.

### THE FOLLOWING ITEMS ARE HEREWITH ENCLOSED AS REQUIRED BY KRS 45A.185

- 1. Bid Bond or Certified Check in an amount not less than five percent (5%) of total Bid.
- 2. List of Proposed Subcontractors and Unit Prices. (if required)
- Authentication of Bid and Statement of Non-Collusion and Non-Conflict of Interest.
- 4. List of Materials and Equipment.
- VENDOR NUMBER: It is imperative that you furnish your Federal Employer Identification Number in the space provided below. Failure to do so may delay the processing of purchase orders issued to your firm.

(Nine Digit Number)

## BIDDER'S QUALIFICATIONS

The Commonwealth of Kentucky Model Procurement Code (KRS 45A.080) requires contracts to be awarded, "to the responsive and responsible bidder whose bid offers the best value" to the University of Kentucky. In order to determine if the Bidder has the experience, qualifications, resources and necessary attributes to provide the quality workmanship, materials and management required by the plans and specifications, the Bidder may be required to complete and submit the information requested on the University of Kentucky Contractor Bidder Determination of Responsibility questionnaire. Failure to provide the information requested on the questionnaire or failure to provide any additional submittals or information that may be requested to make this determination may be grounds for a declaration of non-responsibility with respect to the Bidder. A copy of the Contractor Determination of Responsibility questionnaire is available upon request to all Bidders.

## TIME LIMIT FOR EXECUTION OF CONTRACT DOCUMENTS

It is further agreed, that in the event this Proposal is accepted by the Owner and the undersigned shall fail to execute the Contract and furnish satisfactory Payment and Performance Bond within ten (10) consecutive calendar days from the date of notification of the award of the Contract, the Owner may at his option, determine that the undersigned has abandoned the Contract and thereupon, the Proposal shall become null and void and the Bid guarantee, check or Bid bond which accompanied it shall be forfeited and become the property of the Owner as liquidated damages for each failure and no protest pursuant to such action will be made. If the Undersigned shall execute the Contract, and furnish satisfactory Payment Bond and Performance Bond, it is understood that the Bid Guarantee or Bid Bond will be returned to the undersigned by the Owner.

### **UNIT PRICES**

NOTE: Unit Prices shall include the furnishing of all labor, materials, supplies and services and shall include all items of cost, overhead and profit for the Contractor and any Subcontractor involved, and shall be used uniformly without modifications for either additions or deductions. The Unit Prices as established shall be used to determine the equitable adjustment of the Contract Price in connection with changes, deletions or extra work performed under the Contract and the "Rules of Measurement" set forth in the General Conditions shall govern.

All Bidders are required to complete and submit the following information with their bid.

# The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

ITEM	UNIT	COST PER UNIT
Flagman	HR	\$
Laborer	HR	\$
Carpenter	HR	\$
Foreman	HR	\$
20yd Dumpster Pull	EA	\$

## PRIMARY LIST OF PROPOSED SUBCONTRACTORS

All Subcontractors are subject to the approval of the Capital Construction Procurement Section and Capital Project Management Division, University of Kentucky, Lexington, KY.

If certain branches of the Work are to be done by the Prime Contractor, so state.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

<u>The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.</u>

Provide the address, phone number and contact information for the following Subcontractor/suppliers:

DIVISION OF WORK	NAME AND ADDRESS OF SUBCONTRACTOR

## LIST OF MATERIALS AND EQUIPMENT

Each item listed under the different phases of construction must be clearly identified so that the Owner will definitely know what the Bidder proposes to furnish.

The use of a manufacturer's or dealer's name only, or stating "as per Plans and Specifications," will not be considered as sufficient identification.

Where more than one "Make" or "Brand" is listed for any one item, the Owner has the right to select the one to be used.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

	T
ITEM DESCRIPTION	MANUFACTURER/SUPPLIER

## IDENTIFICATION OF MINORITY SUBCONTRACTORS AND MATERIAL SUPPLIERS

Participation of Minority and Women owned Contractors and businesses.

The University of Kentucky encourages and supports the participation of minority and women owned businesses.

1.	Minority and Women Subcontractors
2.	Minority and Women Material Suppliers
SUPE	RINTENDENT
project	ordance with Article 17 of the General Conditions a full-time superintendent will be required on this t. Below, please list the superintendent your firm will employ on this project. The successful Bidder required to furnish a resume of the superintendents' qualifications and or past projects.
List the	e Superintendent's Name
Revised	3/22/06

## TRADE CONTRACT - 01C - General Requirements

This section defines in summary, without limitations by the descriptions, significant items of the scope of work to be performed by the Subcontractor and any special provision related to the Subcontractor's execution of the Work and the Project. The details of the scope of work are further defined in Drawings, Specifications, and other provisions contained in the Project Documents.

The work covered under this Contract includes but is not limited to the following specific work items:

# *Unit 01C – General Requirements*

This work shall include all items indicated in **Section A:** General Scope of Work, as such items apply to this work unless specifically noted otherwise herein.

This work primarily includes, but is not limited to the following specification sections as well as related work specified or shown elsewhere in the Contract Documents:

All specifications as they relate to this General Requirements scope of work

# \*\*\*Note: This Subcontractor is responsible for the requirements of the <u>complete</u> <u>Contract Documents</u> as they pertain to this Unit of Work.

- Scope of Work It is the intent for this project that this Subcontractor performs all work scoped herein and as specified in the Project Manual and Contract Drawings. This Subcontractor shall furnish 100% of the labor, supervision, materials, tools, equipment, operators, hauling, rigging, temp. shoring, shop drawings, submittals, layout, unloading, scaffolding, ladders, hoisting, transportation, taxes, permits, engineering, support functions, bonds, warranties, guarantees, and any other items or services necessary for and reasonably incidental to safely execute and complete the work scoped herein, whether temporary or permanent, in full compliance with all drawings, specifications, addenda, general conditions, requirements, and other related documents as indicated herein.
- 2. <u>PlanGrid License</u> This Subcontractor has included the necessary license(s) to PlanGrid for their office and field staff for field reference and notifications. Please note that drawings posted on PlanGrid do not supersede the Contract Documents and should only be used for reference and notifications. All submittals, RFIs, and installation work should conform to the Contract Documents. Additionally, this Subcontractor will provide to the Construction Manager (4) one-year PlanGrid "Crane" licenses to use at their discretion. These licenses shall go into effect at a time chosen by the construction manager.
- 3. Signage This subcontractor shall provide a signage allowance of \$5,000 to be used for items above-and-beyond what is shown in the logistics plan. At any time during the course of the project Whiting-Turner may elect to use any unspent portion of this allowance for other added items within this Scope of Work. Also, any unspent portion of this allowance may be returned to Whiting-Turner at any time during the project. This allowance does not alleviate this Subcontractor from any of their contractual requirements spelled out in the Contract Documents or their contractual requirements spelled out in this Subcontract. This allowance cannot be spent without written authorization from Whiting-Turner. Any unspent portion of this allowance will be returned to UK at the end of the project via a subcontract change order to this Subcontractor.
- 4. <u>Glazing Film</u> This subcontractor shall provide and install glazing film on existing glazed doors as required by the contract documents. (A600 Door Remark D) Subcontractor shall be responsible for removal of existing signage/lettering on glazing and cleaning of glazing prior to film installation.
- 5. <u>Perimeter Fencing</u> This subcontractor shall take over the rental of the existing project perimeter fencing from December 31, 2022, until approximately March 31, 2023. The current fencing is provided by Herb Geddes

Fence Company. At the end of March 2023 this subcontractor shall be responsible for the removal of the existing perimeter fence and provide the scaled down fence areas as shown on the logistics plan for the durations shown on the logistics plan. This subcontractor shall be responsible for all removal and rework of fencing during these periods.

- 6. <u>Temporary Walkway</u> This subcontractor shall refresh (install new support to fix sagging panels) and maintain temporary walkway from contract award until May 31<sup>st</sup>, 2023. This subcontractor shall remove and dispose of temporary walkway and all associated DGA, wood supports and concrete ADA ramps once permanent sidewalk is completed.
- 7. Porta-Johns This Subcontractor has included for all porta-johns for the project site, including a scaling up and back with the work force, cleaning, supplying, relocating, and removal. This shall start at contract award and run until July 31, 2023. This Subcontractor shall supply at a minimum one (1) porta-john per ten (10) workers onsite. Porta-johns shall be cleaned twice weekly minimum and cleaning days shall be scheduled with the construction manager. This Subcontractor shall also include hand washing stations fully stocked with paper towels and soap. Subcontractor must provide one (1) handwashing station per three (3) porta-johns.
- 8. <u>Dumpsters</u> This Subcontractor has included for 75 dumpster pulls for general construction waste during the Major Renovation Activities. This Subcontractor will provide dumpster staging per the logistics plan.
- 9. <u>Safety</u> This Subcontractor has included \$10,000 for WT safety to be directed by the Construction Manager. The Construction Manager will direct the use of these costs to replace safety equipment, provide safety incentives, etc. through the duration of the project.
- 10. This subcontractor shall provide and maintain boot brushes and heavy duty entry mats at each exterior entry door and provide and install 10 rolls of 100ft floor protection board (i.e., Ram Board) to be used at the discretion of the construction manager.
- 11. This subcontractor shall purchase five (5) E Permit parking passes for the full duration of the project. These passes shall be used at the sole discretion of the construction manager.
- 12. <u>Wall Cleaning</u> This subcontractor shall clean existing brick and plaster walls on the interior of the building. This subcontractor shall use light detergent or chemicals and scrub walls to remove all dust, grime, construction adhesive and construction marking and leave walls in a clean condition to allow for adherence of new paint.
- 13. <u>Protection</u> This subcontractor shall provide and install protection of wood door jambs and casings throughout the building. Protection shall be heavy duty plastic or cardboard. This subcontractor shall be responsible for removal of all temporary door protection at the conclusion of the project.
- 14. This subcontractor shall demolish and dispose of temporary protection on the exterior of the building. This shall include the delivery platform that is covering the front steps, plywood around front columns and entrances, plywood at glass handrails between Frazee Hall and Student Center, wooden awning for handwashing station.
- 15. This subcontractor shall provide two temporary weatherproof window openings at the 2<sup>nd</sup> and 3<sup>rd</sup> floors to allow for material to be hoisted into the 2<sup>nd</sup> and 3<sup>rd</sup> floors and for construction debris to be removed. Opening protection shall be provided to protect the jambs, head and sills of the window and to protect the surrounding brick around the two openings. Openings shall be hinged and operable from inside the building. This subcontractor shall remove the temporary openings once all large material is inside the building and pay for Central Kentucky Glass to remobilize and install the final two windows.
- 16. This subcontractor shall provide a shooting boom forklift (lull) with a minimum 42' lift height from January 1st 2023 through March 31st, 2023. This lull will be shared by all subcontractors throughout the course of the project and all subcontractors shall provide their own operator. The primary use will be for offloading deliveries and booming material up to the required floor. Use of the lull must be scheduled and final approval for use must be given by the WT Superintendent.
  - This subcontractor shall provide all fuel and maintenance for the lull for the duration of the project.

- 17. Mowing & Landscape Maintenance This Subcontractor has included mowing and trimming around the jobsite, to the Construction Managers satisfaction, bi-weekly from award of Subcontract through project completion. This specifically includes all site grasses inside and outside the construction fence, inclusive of trimming all fence lines.
- 18. General Cleanup This Subcontractor will be responsible for one day per week general area cleanup, sweeping, and trash removal of all stair ways, common walkways, job site perimeter, surrounding sidewalks, drives, and laydown areas, until project completion. In addition, this subcontractor shall include site maintenance as it pertains to snow and ice removal for all pathways walkways ingress egress etc. Subcontractor will provide on demand snow and ice removal and salting for the project duration. This subcontractor shall also include an allowance of 150 labor hours for additional cleaning as directed by the construction manager. This allowance is above-and-beyond the requirements above.
- 19. Traffic Control This Subcontractor will provide a flagman with stop signs and appropriate training to assist and manage traffic flow, for deliveries to make a safe and secure exit out of the Construction site. This Subcontractor is fully aware that the construction site is within a high traffic campus corridor with continuing operations throughout the construction process. To that end, this Subcontractor will work hand in hand with the Construction Manager to ensure deliveries, manpower, and general construction traffic are conducted in such a manner as to provide a safe and undisturbed environment for the pedestrian and vehicular traffic, which includes but is not limited to: cleanup of all vehicle debris, mud, materials, adjusting haul routes and hours, adjusting exit routes, parking in designated areas, deferring to campus traffic, posting flagmen, etc.
- 20. <u>Alternates</u> This subcontractor shall review alternates as shown in the contract documents. This subcontractor shall provide alternate pricing for each alternate as applicable for this scope of work. Items listed below shall part of this subcontractor's scope for the add alternates. This list is NOT a comprehensive list and is only intended as additional clarification.

# Scope Alternate No. 1 – Historic Woodwork Restoration \*Ontional

This subcontractor shall provide an add alternate to include the Historic Woodwork Restoration scope of work as part of this subcontract. This shall include all wood trim repair to include but not be limited to the following:

- Repair and install existing wood trim, picture molding, crown molding per the contract documents. (A730 A733)
- This subcontractor shall provide and install historical wood trim at new doorways as required by the contract documents. (A601) This shall include the wood door frame with rabbeted wood jamb and head. (All door hardware preparation on frame and wood door installation to be coordinated with and completed by 08C contractor.)
- This subcontractor shall install salvaged door casings and frame in new openings per the door schedule. (A600 Remark A) (All door hardware preparation on frame and wood door installation to be coordinated with and completed by 08C contractor.)
- This subcontractor shall install salvaged trim.
- This subcontractor shall provide new wood window casings as indicated on the contract documents. (A601 & A602)
- This subcontractor shall provide wood casings for cased openings (A601)
- This subcontractor shall provide and install new wood base. See profiles on A631.
- This subcontractor shall repair and provide new wood cornice/crown molding at third floor ceiling to match the existing profile. (Approximate profile shown on A631 Detail A1) Final extents of crown molding is shown on A404. Current extents and available salvaged material should be verified onsite prior to bidding.
- Provide and install wood stair treads and wood handrails at existing Stair A. Items should be pre-finished before arriving to site and be protected until turn-over.
- This subcontractor shall provide and install solid wood transition block at wall transitions between plaster and exposed brick. (A633 Detail A4 & A7) Coordinate at plaster edge with 09B.
- Provide and install door casing at barn door 314A. (Details A6 and F6 on A623.)

• This scope alternate shall include patching and repairing historic wood trim in place in such a manner that it can be repainted by others. This subcontractor shall be responsible for installing salvaged/new trim at locations where existing trim is missing or has been cut/damaged in order to make it continuous. This subcontractor shall also fill and sand minor holes and damage in historic trim where nails/screws have been previously put into the wood. This subcontractor shall remove all remaining screws/nails in existing wood to complete repairs. (This occurs at most window trims where blinds have been installed over the years but is also present on other wood trim, wood doors, wood base, etc. throughout the building.)

## • Add Alternate No. 4 – Millwork

o In lieu of patching and repairing the historic wood trim in place, this subcontractor shall remove all historic wood trim and refinish offsite with a stained finish and bring back to site and reinstall. This shall include all existing and salvaged wood doors.

END OF SPECIFIC SCOPE

# UNIVERSITY OF KENTUCKY CAPITAL CONSTRUCTION PROCUREMENT SECTION FORM OF PROPOSAL FRAZEE INTERIOR FIT-UP

Subcontract 04B – INTERIOR MASONRY			
Project No. <u>2591.3</u> Project Purchasing Officer: <u>Ken Scott</u>	ect Title: FRAZEE INTERIOR FIT-UP		
	I shall be followed exactly in submitting a st, an additional copy will be furnished upon Contract Documents.		
This Proposal is submitted by:			
Date:	(NAME AND ADDRESS OF BIDDER)		
Telephone:			
TO: BID CLERK UNIVERSITY OF KENTUCKY	INVITATION TO BID: CCK-2667-23		
CAPITAL CONSTRUCTION	BID OPENING DATES: November 10, 2022		
PROCUREMENT	TRADE CONTRACT DESCRIPTION:		
RM. 322 SERVICE BUILDING 411 SOUTH LIMESTONE	Interior Masonry TRADE CONTRACT NO.: 04B		
LEXINGTON, KY 40506-0005	TIME: 3:00 P.M. E.D.T.		
The Bidder, in compliance with your Invitation for Bids for the above referenced Project, having carefully examined the site of the Work, the Drawings and complete Contract Documents as defined in Article I of the General Conditions, as well as the Specifications affecting the work as prepared by the Consultant, hereby proposes to furnish all labor, materials, supplies and services required to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the price stated below without qualification.			
The Bidder hereby acknowledges recei	pt of the following Addenda:		
ADDENDUM NO	DATED		
ADDENDUM NO			
ADDENDUM NO	DATED		
(Insert the number and date of any Addenda issued and received. If none has been issued and received, the word NONE should be inserted.)			

## FORM OF PROPOSAL

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST I hereby certify:

- 1. That I am the Bidder (if the Bidder is an individual), a partner in the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- That the submitted Bid or Bids covering Capital Construction Procurement Section Invitation No.
   <u>CCK-2667-23</u> have been arrived at by the Bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition; as prohibited by provision KRS 45A.325;
- 3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished with the Bid or Bids and will not be communicated to any such person prior to the official opening of the Bid or Bids:
- 4. That the Bidder is legally entitled to enter into the contracts with the University of Kentucky and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, and 45A.330 to 45A.340 and 45A.455;
- 5. This offer is good for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that upon proper acceptance by the Capital Construction Procurement Section of any or all items Bid above, a contract shall thereby be created with respect to the items accepted;
- 6. That I have fully informed myself regarding and affirm the accuracy of all statements made in this Form of Proposal including Bid Amount.
- 7. Unless otherwise exempted by KRS 45.590, the Bidder intends to comply in full with all requirements of the Kentucky Civil Rights Act and to submit data required by the Kentucky Equal Employment Act upon being designated the successful contractor.
- 8. That the bidding contractor and all subcontractors to be employed do not and will not maintain any facilities they provide for employees in a segregated manner and they are in full compliance with provisions of 41 CFR 60-1.8 that prohibits the maintaining of segregated facilities.
- 9. In accordance with KRS45A.110(2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to the bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

## READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID

SIGNED B	Υ		TITLE
PRINT NA	ME		FIRM
ADDRESS	<u> </u>		PHONE ( )
			FAX <u>(</u> )
CITY	STATE	ZIP CODE	DATE

# Contractor Report of Prior Violations of Chapters 136,139, 141, 337, 338, 341, and 342

Pursuant to KRS 45A.485, the Contractor shall, prior to the award of a Contract, reveal final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 by the Contractor that have occurred in the previous five (5) year period.

This statute also requires for the duration of the Contract established, the Contractor be in continuous compliance with the provisions of Chapters 136, 139, 141, 337, 338, 341, and 342 that apply to the Contractor's operations. The Contractor's failure to reveal a final determination of a violation of KRS Chapters 136, 139, 141, 337, 338, 341, and 342, or failure to comply with any of the above cited statutes for the duration of the Contract shall be grounds for the cancellation of the Contract, and the disqualification from eligibility for future contracts for a period of two (2) years.

The Contractor, by signing and submitting a Bid on this Invitation, agrees as required by KRS 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 that have occurred in the previous five (5) years prior to the award of a Contract and agrees to remain in continuous compliance with the provisions of these statutes during the duration of any contract that may be established. Final determinations of any violations of these statutes, must be provided to the University by the successful Contractor prior to the award of a Contract.

## **LUMP SUM PROPOSAL**

The Bidder, in compliance with the Invitation to Bid <u>CCK-2667-23</u> having examined the drawings, specifications, related documents and having visited the site of the proposed work, and being familiar with all the conditions surrounding the construction of the proposed project including the availability of materials and labor, hereby submits the following bid to furnish all labor, materials, and supplies and to construct the project in accordance with the Bid Documents within the time set forth therein and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the Contract Documents, of which this Bid is a part.

The Bidder agrees to furnish all labor, materials, supplies and services required to complete the Work, for the above referenced Project, for the Capital Construction Procurement Section, University of Kentucky, as described in the Specifications and Contract Documents and shown on the Drawings enumerated below and as modified by the Addenda listed above.

Bidder hereby agrees that all escalation cost associated with materials and/or labor have been included in the stated unit cost, through the projected duration dates as stated in the preliminary project construction schedule.

FOR THE LUMP SUM OF		
	(USE WORDS)	
	DOLLARS AND	CENTS.
(USE WORDS)	(USE WORDS	3)
(\$)		

### **BUSINESS CLASSIFICATION**

Please complete this form which is necessary for the University of Kentucky vendor database. Mark only one classification. Refer to "Definitions" for assistance in determining correct classification.

(01)Small Business	(06)Woman-Owned Large Business
(02)Large Business	(07)Disadvantaged Woman-Owned Small Business
(03)Disadvantaged Small Business	(08) Disadvantaged Woman-Owned Large Business
(04)Disadvantaged Large Business	(09)Other
(05) Woman-Owned Small Business	

#### **DEFINITIONS**

- (01) SMALL BUSINESS: A business concern that is organized for profit, is independently owned and operated, is not dominant in the field of operations in which it is bidding, and meets the size standards as prescribed in the Code of Federal Regulations, Title 13, Part 121. Consult your local or district Small Business Administration (SBA) office if further clarification is needed.
- (02) LARGE BUSINESS: A business concern that exceeds the small business size code standards established by SBA.
- (03) DISADVANTAGED SMALL BUSINESS: A business concern (a) that is at least 51 percent owned by one or more socially and economically disadvantaged individuals (as defined below), or a publicly owned business, having at least 51 percent of its stock owned by one or more socially and economically disadvantaged individuals; and (b) has its management and daily business operations controlled by one or more such individuals. Socially and economically disadvantaged individuals include Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans and other minorities or individuals found to be disadvantaged by the SBA.
- (04) DISADVANTAGED LARGE BUSINESS: A concern that meets the definition of socially and economically disadvantaged individuals, but which is not a small business by the SBA's size standards.
- (05) WOMAN-OWNED SMALL BUSINESS: A small business that is at least 51 percent owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" means actively involved in the day to day management.
- (06) WOMAN-OWNED LARGE BUSINESS: A concern that meets the definition of woman owned and operated, but which is not a small business by the SBA's standards.
- (07) DISADVANTAGED, WOMAN-OWNED SMALL BUSINESS: A concern that meets the definition of both (03) and (05) above.
- (08) DISADVANTAGED, WOMAN OWNED LARGE BUSINESS: A concern that meets the definition of both (04) and (06) above.
- (09) OTHER: A concern that does not meet any of the above definitions.

### THE FOLLOWING ITEMS ARE HEREWITH ENCLOSED AS REQUIRED BY KRS 45A.185

- 1. Bid Bond or Certified Check in an amount not less than five percent (5%) of total Bid.
- 2. List of Proposed Subcontractors and Unit Prices. (if required)
- Authentication of Bid and Statement of Non-Collusion and Non-Conflict of Interest.
- 4. List of Materials and Equipment.
- VENDOR NUMBER: It is imperative that you furnish your Federal Employer Identification Number in the space provided below. Failure to do so may delay the processing of purchase orders issued to your firm.

(Nine Digit Number)

## BIDDER'S QUALIFICATIONS

The Commonwealth of Kentucky Model Procurement Code (KRS 45A.080) requires contracts to be awarded, "to the responsive and responsible bidder whose bid offers the best value" to the University of Kentucky. In order to determine if the Bidder has the experience, qualifications, resources and necessary attributes to provide the quality workmanship, materials and management required by the plans and specifications, the Bidder may be required to complete and submit the information requested on the University of Kentucky Contractor Bidder Determination of Responsibility questionnaire. Failure to provide the information requested on the questionnaire or failure to provide any additional submittals or information that may be requested to make this determination may be grounds for a declaration of non-responsibility with respect to the Bidder. A copy of the Contractor Determination of Responsibility questionnaire is available upon request to all Bidders.

### TIME LIMIT FOR EXECUTION OF CONTRACT DOCUMENTS

It is further agreed, that in the event this Proposal is accepted by the Owner and the undersigned shall fail to execute the Contract and furnish satisfactory Payment and Performance Bond within ten (10) consecutive calendar days from the date of notification of the award of the Contract, the Owner may at his option, determine that the undersigned has abandoned the Contract and thereupon, the Proposal shall become null and void and the Bid guarantee, check or Bid bond which accompanied it shall be forfeited and become the property of the Owner as liquidated damages for each failure and no protest pursuant to such action will be made. If the Undersigned shall execute the Contract, and furnish satisfactory Payment Bond and Performance Bond, it is understood that the Bid Guarantee or Bid Bond will be returned to the undersigned by the Owner.

### **UNIT PRICES**

NOTE: Unit Prices shall include the furnishing of all labor, materials, supplies and services and shall include all items of cost, overhead and profit for the Contractor and any subcontractor involved, and shall be used uniformly without modifications for either additions or deductions. The Unit Prices as established shall be used to determine the equitable adjustment of the Contract Price in connection with changes, deletions or extra work performed under the Contract and the "Rules of Measurement" set forth in the General Conditions shall govern.

All Bidders are required to complete and submit the following information with their bid.

# The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

ITEM	UNIT	COST PER UNIT
BRICK MASONRY REPOINTING	SF	\$
REPAIR OF CRACKS WITH GROUT INJECTION (PER LINEAR IN)	LI	\$
BRICK REPLACEMENT – SINGLE WYTHE	SF	\$
BRICK REPLACEMENT – TWO-WYTHE	SF	\$
BRICK REPLACEMENT – THREE-WYTHE	SF	\$
LABORER	HR	\$
INSTALLER	HR	\$
FOREMAN	HR	\$

## PRIMARY LIST OF PROPOSED SUBCONTRACTORS

All subcontractors are subject to the approval of the Capital Construction Procurement Section and Capital Project Management Division, University of Kentucky, Lexington, KY.

If certain branches of the Work are to be done by the Prime Contractor, so state.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

Provide the address, phone number and contact information for the following subcontractor/suppliers:

DIVISION OF WORK	NAME AND ADDRESS OF SUBCONTRACTOR		

## LIST OF MATERIALS AND EQUIPMENT

Each item listed under the different phases of construction must be clearly identified so that the Owner will definitely know what the Bidder proposes to furnish.

The use of a manufacturer's or dealer's name only, or stating "as per Plans and Specifications," will not be considered as sufficient identification.

Where more than one "Make" or "Brand" is listed for any one item, the Owner has the right to select the one to be used.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

ITEM DESCRIPTION	MANUFACTURER/SUPPLIER

## IDENTIFICATION OF MINORITY SUBCONTRACTORS AND MATERIAL SUPPLIERS

Participation of Minority and Women owned Contractors and businesses.

The University of Kentucky encourages and supports the participation of minority and women owned businesses.

1.	Minority and Women Subcontractors
2.	Minority and Women Material Suppliers
	_
SUPE	RINTENDENT
project	ordance with Article 17 of the General Conditions a full-time superintendent will be required on this . Below, please list the superintendent your firm will employ on this project. The successful Bidder required to furnish a resume of the superintendents' qualifications and or past projects.
List the	e Superintendent's Name

Revised 3/22/06

### TRADE CONTRACT - 04B - INTERIOR MASONRY

This section defines in summary, without limitations by the descriptions, significant items of the scope of work to be performed by the Subcontractor and any special provision related to the Subcontractor's execution of the Work and the Project. The details of the scope of work are further defined in Drawings, Specifications, and other provisions contained in the Project Documents.

# *Unit 04B – Interior Masonry*

This work shall include all items indicated in **Section A:** General Scope of Work, as such items apply to this work unless specifically noted otherwise herein.

This work primarily includes, but is not limited to the following specification sections as well as related work specified or shown elsewhere in the Contract Documents:

All specifications as they relate to the Masonry & Stone scope of work.

# \*\*\*Note: This Subcontractor is responsible for the requirements of the <u>complete</u> <u>Contract Documents</u> as they pertain to this Unit of Work.

- 1. Scope of Work This Subcontractor is responsible for all the work as scoped herein and as specified in the Project Manual and Contract Drawings. This Subcontractor shall furnish 100% supervision, labor, material, accessories, equipment, tools, shop drawings, submittals, layout, unloading, appliances, rigging, ladders, hoisting, scaffolding, transportation, taxes, warranties and guarantees, and everything necessary to completely furnish and install all of the required masonry in full compliance with the Contract Documents.
- 2. <u>PlanGrid License</u> This Subcontractor has included the necessary license(s) to PlanGrid for their office and field staff for field reference and notifications. Please note that drawings posted on PlanGrid do not supersede the Contract Documents and should only be used for reference and notifications. All submittals, RFIs, and installation work should conform to the Contract Documents.
- 3. <u>Masonry Assemblies</u> This Subcontractor shall furnish and install all masonry and all necessary materials for a comprehensive masonry installation in strict compliance with the contract documents. This shall include but not be limited to:
  - Creating masonry rough openings in existing masonry walls and coordinating with existing brick coursing and requirements for finished opening details. (Note 1 on AD100 AD103)
  - Provide and install steel lintels at new masonry openings. (L1 and L2 Lintels as shown on S201 S204 and coordinated with AD100 AD103.)
  - Repoint Interior Brick: Assume 10% (Note B/A611)
  - Clean all existing interior brick per specification 04 01 20. (Note F/A611)
  - This subcontractor shall patch and repair uneven brick at opening where the existing structure transitions to the new Stair A structure.
  - Where partitions have been removed from exposed brick walls leaving unpainted areas of brick, remove excess remnants of paint, patch damaged brick and prep walls to receive new paint allowing for continuous finish minimizing evidence of former wall.
  - Prior to bid, this subcontractor shall examine existing brick walls and take note of all existing penetrations, anchors and anchor holes and include patching or repairing brick in order to return walls to a fully repaired condition prior to painting/finishing. Subcontractor shall also remove existing/abandoned anchors, electrical boxes and conduit from brick walls to be patched.
  - This subcontractor shall examine existing plaster walls and take note of all existing penetrations/holes that exceed the depth of the plaster (old thermostats, abandoned electrical boxes, etc.) and patch/grout large penetrations/holes to provide a smooth backup surface for plaster repair.

- Subcontractor shall create all square/rectangular penetrations through existing masonry/brick walls for MEP trades to route ductwork and cable tray through. This subcontractor shall coordinate with the MEP subcontractors for layout and sizing of penetrations.
- All electrical/data outlets, thermostats, card readers, ADA push buttons, fire alarm devices, etc., shown to be installed on existing masonry walls shall have conduit pathways and boxes trenched into the masonry and repatched so that pathways and boxes are concealed, and devices are installed flush with face of existing wall. This subcontractor shall conduct demo and patching for all masonry trenching and coordinate with MEP subcontractors for locations and heights of said pathways and boxes. This subcontractor shall also include trenching plaster and backup masonry to recess these pathways and boxes in the plaster covered walls also.
- This subcontractor shall be responsible for all means of access including all scaffolding, lifts, etc. to complete the installation of this scope of work.
- Provide samples and mockups for all masonry required by the contract documents.
- 4. Patching This subcontractor shall provide an allowance of \$10,000 for masonry patching that is above-and-beyond what is required for this scope. At any time during the course of the project Whiting-Turner may elect to use any unspent portion of this allowance for other added items within this Scope of Work. Also, any unspent portion of this allowance may be returned to Whiting-Turner at any time during the project. This allowance does not alleviate this Subcontractor from any of their contractual requirements spelled out in the Contract Documents or their contractual requirements spelled out in this Subcontract. This allowance cannot be spent without written authorization from Whiting-Turner. Any unspent portion of this allowance will be returned to UK at the end of the project via a subcontract change order to this Subcontractor.
- 5. <u>Mortar Mixes</u> Subcontractor shall ensure that mortar is of the strength and mix as required by the Specifications, and shall submit mix designs for approval prior to starting work.
- Sawcutting The Subcontractor shall wet saw-cut all blocks requiring cuts and shall not break blocks for irregular pieces.
- 7. Special Construction This Subcontractor shall be responsible for any special masonry units or shapes that may be required for the work. Subcontractor shall furnish and install all materials that may be required to comply with all details and specifications, including such items as weeps, grouting full all cells of masonry work as required, and other items where area practice indicates work is to be done by a mason, including for the install of cladding materials.
- 8. <u>Clean up during work</u> Subcontractor shall final clean, dress and stiff broom all finished wall surfaces on a periodic basis, including the removal of all mortar spillages and slopping from the walls, floors, and other adjacent work of other trades. Subcontractor shall patch or point any deficiencies or chips in the work at this time. This process shall not be delayed until the end of the work but shall be done at the completion of each wall and prior to the time scaffolding is relocated, and if the Subcontractor must leave the job for an extended period of time, all work installed to that date must be cleaned prior to leaving. Chipped or damaged blocks are not to be used in finished areas. Finish of surfaces will comply with preparation standards set forth in the applicable Specification or comply with manufacturers' specified instructions for application by product type.
- 9. <u>Protection</u> The Subcontractor shall take measures required to protect existing structures during installation of its work. The Subcontractor is also to clean spillage and soilage from adjacent surfaces using cleaning agents and procedures recommended by the manufacturer of the surface. The Subcontractor shall be responsible for and shall clean or repair any damage to existing structures that is related to this scope of work.
- 10. <u>Sand Mix</u> This Subcontractor is responsible for placing the sand to be used for mortar in locations coordinated with the Construction Manager and is responsible for the removal of all unused or contaminated sand from the building site.
- 11. <u>Hoisting & Scaffolding</u> All hoisting and scaffolding necessary for the work is to be furnished by this Subcontractor and has been included in the Trade Contract amount. This includes but is not limited to any scaffolding, stairs, hoists, lifts, climbing towers, or center mast climbing platforms.

12. <u>Traffic Control</u> – This Subcontractor will provide a flagman with stop signs and appropriate training to assist and manage traffic flow, for deliveries to make a safe and secure exit out of the Construction site. This Subcontractor is fully aware that the construction site is within a high traffic campus corridor with continuing operations throughout the construction process. To that end, this Subcontractor will work hand in hand with the Construction Manager to ensure deliveries, manpower, and general construction traffic are conducted in such a manner as to provide a safe and undisturbed environment for the pedestrian and vehicular traffic, which includes but is not limited to: cleanup of all vehicle debris, mud, materials, adjusting haul routes and hours, adjusting exit routes, parking in designated areas, deferring to campus traffic, posting flagmen, etc.

END OF SPECIFIC SCOPE

# UNIVERSITY OF KENTUCKY CAPITAL CONSTRUCTION PROCUREMENT SECTION FORM OF PROPOSAL FRAZEE INTERIOR FIT-UP

Subcontract 06A – Architectural Woodworking			
Project No. <u>2591.3</u> Project Project No. <u>Ken Scott</u>	ect Title: FRAZEE INTERIOR FIT-UP		
	I shall be followed exactly in submitting a st, an additional copy will be furnished upon Contract Documents.		
This Proposal is submitted by: Date:	(NAME AND ADDRESS OF BIDDER)		
Telephone:			
TO: BID CLERK	INVITATION TO BID: CCK-2667-23		
UNIVERSITY OF KENTUCKY CAPITAL CONSTRUCTION PROCUREMENT RM. 322 SERVICE BUILDING 411 SOUTH LIMESTONE LEXINGTON, KY 40506-0005	BID OPENING DATES: November 10, 2022 TRADE CONTRACT DESCRIPTION: Architectural Woodworking TRADE CONTRACT NO.: 06A TIME: 3:00 P.M. E.D.T.		
having carefully examined the site of th Documents as defined in Article I of the Specifications affecting the work as pre furnish all labor, materials, supplies and	pared by the Consultant, hereby proposes to d services required to construct the Project in hts, within the time set forth therein, and at the		
The Bidder hereby acknowledges recei	pt of the following Addenda:		
ADDENDUM NO	DATED		
ADDENDUM NO	DATED		
ADDENDUM NO	DATED		
(Insert the number and date of any Add issued and received, the word NONE s	lenda issued and received. If none has been hould be inserted.)		

## FORM OF PROPOSAL

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST I hereby certify:

- 1. That I am the Bidder (if the Bidder is an individual), a partner in the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the submitted Bid or Bids covering Capital Construction Procurement Section Invitation No. <u>CCK-2667-23</u> have been arrived at by the Bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition; as prohibited by provision KRS 45A.325;
- 3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished with the Bid or Bids and will not be communicated to any such person prior to the official opening of the Bid or Bids;
- 4. That the Bidder is legally entitled to enter into the contracts with the University of Kentucky and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, and 45A.330 to 45A.340 and 45A.455;
- 5. This offer is good for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that upon proper acceptance by the Capital Construction Procurement Section of any or all items Bid above, a contract shall thereby be created with respect to the items accepted:
- 6. That I have fully informed myself regarding and affirm the accuracy of all statements made in this Form of Proposal including Bid Amount.
- 7. Unless otherwise exempted by KRS 45.590, the Bidder intends to comply in full with all requirements of the Kentucky Civil Rights Act and to submit data required by the Kentucky Equal Employment Act upon being designated the successful contractor.
- 8. That the bidding contractor and all subcontractors to be employed do not and will not maintain any facilities they provide for employees in a segregated manner and they are in full compliance with provisions of 41 CFR 60-1.8 that prohibits the maintaining of segregated facilities.
- 9. In accordance with KRS45A.110(2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to the bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

## READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID

SIGNED B	Y		TITLE
PRINT NAI	ME		FIRM
ADDRESS			PHONE ( )
			FAX <u>(</u> )
CITY	STATE	ZIP CODE	

DATE

## Contractor Report of Prior Violations of

Chapters 136,139, 141, 337, 338, 341, and 342

Pursuant to KRS 45A.485, the Contractor shall, prior to the award of a Contract, reveal final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 by the Contractor that have occurred in the previous five (5) year period.

This statute also requires for the duration of the Contract established, the Contractor be in continuous compliance with the provisions of Chapters 136, 139, 141, 337, 338, 341, and 342 that apply to the Contractor's operations. The Contractor's failure to reveal a final determination of a violation of KRS Chapters 136, 139, 141, 337, 338, 341, and 342, or failure to comply with any of the above cited statutes for the duration of the Contract shall be grounds for the cancellation of the Contract, and the disqualification from eligibility for future contracts for a period of two (2) years.

The Contractor, by signing and submitting a Bid on this Invitation, agrees as required by KRS 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 that have occurred in the previous five (5) years prior to the award of a Contract and agrees to remain in continuous compliance with the provisions of these statutes during the duration of any contract that may be established. Final determinations of any violations of these statutes, must be provided to the University by the successful Contractor prior to the award of a Contract.

## **LUMP SUM PROPOSAL**

Work Category – 06A

The Bidder, in compliance with the Invitation to Bid <u>CCK-2667-23</u> having examined the drawings, specifications, related documents and having visited the site of the proposed work, and being familiar with all the conditions surrounding the construction of the proposed project including the availability of materials and labor, hereby submits the following bid to furnish all labor, materials, and supplies and to construct the project in accordance with the Bid Documents within the time set forth therein and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the Contract Documents, of which this Bid is a part.

The Bidder agrees to furnish all labor, materials, supplies and services required to complete the Work, for the above referenced Project, for the Capital Construction Procurement Section, University of Kentucky, as described in the Specifications and Contract Documents and shown on the Drawings enumerated below and as modified by the Addenda listed above.

Bidder hereby agrees that all escalation cost associated with materials and/or labor have been included in the stated unit cost, through the projected duration dates as stated in the preliminary project construction schedule.

FOR	R THE LUMP SUM OF _			
	(USE WORDS)			
	(USE WORDS)	DOLLARS AND _	(USE WORDS)	CENTS.
(\$	(USE FIGURES)			
BID A	<u>ALTERNATES</u>			
Scope Alternate No. 1: Historic Woodwork Restoration *Optional				
FOR THE LUMP SUM OF(USE WORDS)				
	(USE WORDS)	DOLLARS AND _	,	CENTS.
(\$	(USE FIGURES)			

Page 4 of 14

Add Alternate No. 4: Millwork *If Scope Alternate No. 1 is filled out, this is required.				
FOR THE LUMP SUM OF				
(USE WORDS)				
(HOE MORRO)	_ DOLLARS AND	(HOE MODDO)	_CENTS.	
(USE WORDS)		(USE WORDS)		
(\$) (USE FIGURES)				

### **BUSINESS CLASSIFICATION**

Please complete this form which is necessary for the University of Kentucky vendor database. Mark only one classification. Refer to "Definitions" for assistance in determining correct classification.

(01)	_Small Business	(06)	_Woman-Owned Large Business
(02)	_Large Business	(07)	_Disadvantaged Woman-Owned Small Business
(03)	_Disadvantaged Small Business	(80)	_Disadvantaged Woman-Owned Large Business
(04)	_Disadvantaged Large Business	(09)	_Other
(05)	Woman-Owned Small Business		

#### **DEFINITIONS**

- (01) SMALL BUSINESS: A business concern that is organized for profit, is independently owned and operated, is not dominant in the field of operations in which it is bidding, and meets the size standards as prescribed in the Code of Federal Regulations, Title 13, Part 121. Consult your local or district Small Business Administration (SBA) office if further clarification is needed.
- (02) LARGE BUSINESS: A business concern that exceeds the small business size code standards established by SBA.
- (03) DISADVANTAGED SMALL BUSINESS: A business concern (a) that is at least 51 percent owned by one or more socially and economically disadvantaged individuals (as defined below), or a publicly owned business, having at least 51 percent of its stock owned by one or more socially and economically disadvantaged individuals; and (b) has its management and daily business operations controlled by one or more such individuals. Socially and economically disadvantaged individuals include Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans and other minorities or individuals found to be disadvantaged by the SBA.
- (04) DISADVANTAGED LARGE BUSINESS: A concern that meets the definition of socially and economically disadvantaged individuals, but which is not a small business by the SBA's size standards.
- (05) WOMAN-OWNED SMALL BUSINESS: A small business that is at least 51 percent owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" means actively involved in the day to day management.
- (06) WOMAN-OWNED LARGE BUSINESS: A concern that meets the definition of woman owned and operated, but which is not a small business by the SBA's standards.
- (07) DISADVANTAGED, WOMAN-OWNED SMALL BUSINESS: A concern that meets the definition of both (03) and (05) above.
- (08) DISADVANTAGED, WOMAN OWNED LARGE BUSINESS: A concern that meets the definition of both (04) and (06) above.
- (09) OTHER: A concern that does not meet any of the above definitions.

### THE FOLLOWING ITEMS ARE HEREWITH ENCLOSED AS REQUIRED BY KRS 45A.185

- 1. Bid Bond or Certified Check in an amount not less than five percent (5%) of total Bid.
- 2. List of Proposed Subcontractors and Unit Prices. (if required)
- Authentication of Bid and Statement of Non-Collusion and Non-Conflict of Interest.
- 4. List of Materials and Equipment.
- VENDOR NUMBER: It is imperative that you furnish your Federal Employer Identification Number in the space provided below. Failure to do so may delay the processing of purchase orders issued to your firm.

(Nine Digit Number)

### **BIDDER'S QUALIFICATIONS**

The Commonwealth of Kentucky Model Procurement Code (KRS 45A.080) requires contracts to be awarded, "to the responsive and responsible bidder whose bid offers the best value" to the University of Kentucky. In order to determine if the Bidder has the experience, qualifications, resources and necessary attributes to provide the quality workmanship, materials and management required by the plans and specifications, the Bidder may be required to complete and submit the information requested on the University of Kentucky Contractor Bidder Determination of Responsibility questionnaire. Failure to provide the information requested on the questionnaire or failure to provide any additional submittals or information that may be requested to make this determination may be grounds for a declaration of non-responsibility with respect to the Bidder. A copy of the Contractor Determination of Responsibility questionnaire is available upon request to all Bidders.

### TIME LIMIT FOR EXECUTION OF CONTRACT DOCUMENTS

It is further agreed, that in the event this Proposal is accepted by the Owner and the undersigned shall fail to execute the Contract and furnish satisfactory Payment and Performance Bond within ten (10) consecutive calendar days from the date of notification of the award of the Contract, the Owner may at his option, determine that the undersigned has abandoned the Contract and thereupon, the Proposal shall become null and void and the Bid guarantee, check or Bid bond which accompanied it shall be forfeited and become the property of the Owner as liquidated damages for each failure and no protest pursuant to such action will be made. If the Undersigned shall execute the Contract, and furnish satisfactory Payment Bond and Performance Bond, it is understood that the Bid Guarantee or Bid Bond will be returned to the undersigned by the Owner.

### **UNIT PRICES**

NOTE: Unit Prices shall include the furnishing of all labor, materials, supplies and services and shall include all items of cost, overhead and profit for the Contractor and any subcontractor involved, and shall be used uniformly without modifications for either additions or deductions. The Unit Prices as established shall be used to determine the equitable adjustment of the Contract Price in connection with changes, deletions or extra work performed under the Contract and the "Rules of Measurement" set forth in the General Conditions shall govern.

All Bidders are required to complete and submit the following information with their bid.

# The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

ITEM	UNIT	COST PER UNIT
Carpenter	HR	
Apprentice	HR	
Foreman	HR	

### PRIMARY LIST OF PROPOSED SUBCONTRACTORS

All subcontractors are subject to the approval of the Capital Construction Procurement Section and Capital Project Management Division, University of Kentucky, Lexington, KY.

If certain branches of the Work are to be done by the Prime Contractor, so state.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

<u>The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.</u>

Provide the address, phone number and contact information for the following subcontractor/suppliers:

DIVISION OF WORK	NAME AND ADDRESS OF SUBCONTRACTOR

### LIST OF MATERIALS AND EQUIPMENT

Each item listed under the different phases of construction must be clearly identified so that the Owner will definitely know what the Bidder proposes to furnish.

The use of a manufacturer's or dealer's name only, or stating "as per Plans and Specifications," will not be considered as sufficient identification.

Where more than one "Make" or "Brand" is listed for any one item, the Owner has the right to select the one to be used.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

	T
ITEM DESCRIPTION	MANUFACTURER/SUPPLIER

### IDENTIFICATION OF MINORITY SUBCONTRACTORS AND MATERIAL SUPPLIERS

Participation of Minority and Women owned Contractors and businesses.

The University of Kentucky encourages and supports the participation of minority and women owned businesses.

1.	Minority and Women Subcontractors
2.	Minority and Women Material Suppliers
SUPEF	RINTENDENT
project	ordance with Article 17 of the General Conditions a full-time superintendent will be required on this . Below, please list the superintendent your firm will employ on this project. The successful Bidder required to furnish a resume of the superintendents' qualifications and or past projects.
List the	Superintendent's Name

### TRADE CONTRACT - 06A - Architectural Woodworking

This section defines in summary, without limitations by the descriptions, significant items of the scope of work to be performed by the Subcontractor and any special provision related to the Subcontractor's execution of the Work and the Project. The details of the scope of work are further defined in Drawings, Specifications, and other provisions contained in the Project Documents.

# Unit 06A – Architectural Woodworking

This work shall include all items indicated in **Section A:** General Scope of Work, as such items apply to this work unless specifically noted otherwise herein.

This work primarily includes, but is not limited to the following specification sections as well as related work specified or shown elsewhere in the Contract Documents:

All specifications related to Architectural Woodworking, Millwork, Finish Carpentry, etc. as they relate to this scope of work.

# \*\*\*Note: This Subcontractor is responsible for the requirements of the <u>complete</u> <u>Contract Documents</u> as they pertain to this Unit of Work.

- Scope of Work It is the intent for this project that the Millwork Subcontractor supplies all materials as scoped herein and as specified in the Project Manual and Contract Drawings. This Subcontractor shall furnish 100% supervision, labor, material, equipment, tools, ladders, lifts, appliances, warranties and guarantees, and everything necessary to completely detail, fabricate, finish, deliver F.O.B. to jobsite, furnish and install all of the required millwork and architectural woodwork in full compliance with the Contract Documents.
- 2. <u>Millwork</u> This subcontractor shall provide and install all new millwork as required by the contract documents. This shall include the following woodworking items to include but not be limited to:
  - Provide and install all millwork elevations for the break room, mail room, copy rooms/areas, Big Blue Pantry, Hall 000C, Hall 300E, etc.
  - Provide and install all solid surface countertops and backsplashes as shown on contract drawings. (On millwork and in gang restrooms.) Make all cuts in countertops for faucets, sinks, waste receptacles, etc.
  - Provide and install metal support brackets to support restroom countertops.
  - Provide and install joint sealants where millwork, countertops and backsplash meet the wall.
  - Provide and install all hardware for millwork.
  - Provide and install all flush mount blocking and cleats for mounting millwork. (In-wall blocking by others)
  - This subcontractor shall provide and install millwork shelf infill including concealed steel supports. (A630 Detail A10 & D10). Wood shelves to be shop painted.
  - This subcontractor shall provide and install all millwork for infill bench. This shall include the bench seat and the fabric back. (A630 Detail A5 & D5).
- 3. <u>PlanGrid License</u> This Subcontractor has included the necessary license(s) to PlanGrid for their office and field staff for field reference and notifications. Please note that drawings posted on PlanGrid do not supersede the Contract Documents and should only be used for reference and notifications. All submittals, RFIs, and installation work should conform to the Contract Documents.
- 4. <u>Blocking</u> This Subcontractor will provide blocking drawings, complete with top & bottom elevations and wall layout dimensions, which are pertinent to this work, to the Construction Manager in advance of the framing installation. In-wall blocking will be installed by others. Any blocking that is missed as a result of this Subcontractor's incomplete or undelivered blocking drawings will be the sole responsibility of this Subcontractor.

- Exposed Blocking This Subcontractor shall be responsible for all exposed blocking associated with this scope
  of work.
- 6. <u>Caulking</u> This Subcontractor shall be responsible for all caulking necessary to complete this scope of work. This Subcontractor shall be responsible for caulking all transitions to other materials. This includes but is not limited to backsplashes, gaps between millwork, solid surfaces, P-lam, and walls, gaps at the base of these items, and non-painted wood and millwork to adjacent surfaces.
- 7. <u>Fabric & Padding</u> This Subcontractor has included all fabrics, padding, backing, fasteners, adhesives, etc. as noted in the Contract Documents for all bench seating pads, pillows, cushions, etc.
- 8. <u>Adjusting & Cleaning</u> This Subcontractor shall provide all final adjustments, cleaning, and instruction related to this Subcontractor's scope of work. Final adjustments of all hinges and doors associated with this scope of work shall be the responsibility of this Subcontractor.
- 9. <u>Coordination</u> This Subcontractor shall provide coordination with all Subcontractors related to this scope of work including, but not limited to the following Subcontractors: casework, electrical, mechanical, security, masonry, flooring, drywall, and painting. The Subcontractor acknowledges that portions of this scope of work are to be installed in conjunction with work by others and agrees to all coordination and sequencing as required. This Subcontractor shall attend weekly coordination meetings.
- 10. <u>Field Measurements</u> This Subcontractor shall field verify all locations of installation for the material in this scope of work. Components that cannot be field verified shall be capable of being adjusted during installation.
- 11. <u>Additional Task Lighting</u> Any task lighting required to ensure adequate working conditions is the responsibility of this Subcontractor. This includes the lights stands, lights, power cords, and any other equipment to operate the lights.
- 12. <u>Items installed in millwork</u> This Subcontractor shall be responsible for making cut outs for other Trades whose materials are to be installed into the millwork. This shall include, but not be limited to, fire extinguishers cabinets, safety supply cabinets, lighting, electrical, plumbing, grommets, louvers, locks, and all other items to be installed in the millwork once it is delivered to the site.
- 13. <u>Damaged Products</u> It shall be the responsibility of this Subcontractor to notify the Construction Manager of any materials that are damaged during the processes of construction. This Subcontractor shall be responsible for all minor touch up associated with this scope of work.
- 14. <u>Installed Floors Protection</u> This Subcontractor realizes that the floors will be installed prior to the millwork installation. This Subcontractor shall be responsible for the removal of all floor protection necessary for this scope of work. This Subcontractor shall be responsible for protecting all floor areas in which protection has been removed due to installation of millwork. If additional floor protection has been removed for the installation of millwork, it is the responsibility of this contractor to re-install floor protection in the unprotected areas. This Subcontractor shall be responsible for cost associated with damages to the flooring caused by the millwork installation.
- 15. Traffic Control This Subcontractor will provide a flagmen with stop signs and appropriate training to assist and manage traffic flow, for haul trucks to make a safe and secure exit out of the Construction site. This Subcontractor is fully aware that the construction site is within a high traffic campus corridor with continuing operations throughout the construction process. To that end, this Subcontractor will work hand in hand with the Construction Manager to ensure deliveries, manpower, and general construction traffic are conducted in such a manner as to provide a safe and undisturbed environment for the pedestrian and vehicular traffic, which includes but is not limited to: cleanup of all vehicle debris, mud, materials, adjusting haul routes and hours, adjusting exit routes, parking in designated areas, deferring to campus traffic, posting flagmen, etc.

16. <u>Alternates</u> – This subcontractor shall review alternates as shown in the contract documents. This subcontractor shall provide alternate pricing for each alternate as applicable for this scope of work. Items listed below shall part of this subcontractor's scope for the add alternates. This list is NOT a comprehensive list and is only intended as additional clarification.

# Scope Alternate No. 1 – Historic Woodwork Restoration \*Optional

This subcontractor shall provide an add alternate to include the Historic Woodwork Restoration scope of work as part of this subcontract. This shall include all wood trim repair to include but not be limited to the following:

- Repair and install existing wood trim, picture molding, crown molding per the contract documents. (A730 A733)
- This subcontractor shall provide and install historical wood trim at new doorways as required by the contract documents. (A601) This shall include the wood door frame with rabbeted wood jamb and head. (All door hardware preparation on frame and wood door installation to be coordinated with and completed by 08C contractor.)
- This subcontractor shall install salvaged door casings and frame in new openings per the door schedule. (A600 Remark A) (All door hardware preparation on frame and wood door installation to be coordinated with and completed by 08C contractor.)
- This subcontractor shall install salvaged trim.
- This subcontractor shall provide new wood window casings as indicated on the contract documents. (A601 & A602)
- This subcontractor shall provide wood casings for cased openings (A601)
- This subcontractor shall provide and install new wood base. See profiles on A631.
- This subcontractor shall repair and provide new wood cornice/crown molding at third floor ceiling to match
  the existing profile. (Approximate profile shown on A631 Detail A1) Final extents of crown molding is
  shown on A404. Current extents and available salvaged material should be verified onsite prior to bidding.
- Provide and install wood stair treads and wood handrails at existing Stair A. Items should be pre-finished before arriving to site and be protected until turn-over.
- This subcontractor shall provide and install solid wood transition block at wall transitions between plaster and exposed brick. (A633 Detail A4 & A7) Coordinate at plaster edge with 09B.
- Provide and install door casing at barn door 314A. (Details A6 and F6 on A623.)
- This scope alternate shall include patching and repairing historic wood trim in place in such a manner that it can be repainted by others. This subcontractor shall be responsible for installing salvaged/new trim at locations where existing trim is missing or has been cut/damaged in order to make it continuous. This subcontractor shall also fill and sand minor holes and damage in historic trim where nails/screws have been previously put into the wood. This subcontractor shall remove all remaining screws/nails in existing wood to complete repairs. (This occurs at most window trims where blinds have been installed over the years but is also present on other wood trim, wood doors, wood base, etc. throughout the building.)
- Add Alternate No. 4 Millwork
  - o In lieu of patching and repairing the historic wood trim in place, this subcontractor shall remove all historic wood trim and refinish offsite with a stained finish and bring back to site and reinstall. This shall include all existing and salvaged wood doors.

END OF SPECIFIC SCOPE

# UNIVERSITY OF KENTUCKY CAPITAL CONSTRUCTION PROCUREMENT SECTION FORM OF PROPOSAL FRAZEE INTERIOR FIT-UP

Subcontract 08C – Doors & Hardware				
Project No. <u>2591.3</u> Purchasing Officer: <u>Ken Scott</u>	Project Title: FRAZEE INTERIOR FIT-UP			
NOTE: The following Form of Proposal shall be followed exactly in submitting a proposal for this work. If this copy is lost, an additional copy will be furnished upon written request to the authority issuing Contract Documents.				
This Proposal is submitted by:	(NAME AND ADDRESS OF DIDDED)			
Date:	(NAME AND ADDRESS OF BIDDER)			
Telephone:				
TO: BID CLERK UNIVERSITY OF KENTUCKY	INVITATION TO BID: CCK-2561-22			
CAPITAL CONSTRUCTION	BID OPENING DATES: November 3, 2022			
PROCUREMENT	TRADE CONTRACT DESCRIPTION:			
RM. 322 SERVICE BUILDING	Doors & Hardware			
411 SOUTH LIMESTONE LEXINGTON, KY 40506-0005	TRADE CONTRACT NO.: 08C TIME: 3:00 P.M. E.D.T.			
LEXINGTON, RT 40300-0003	TIIVIE. 3.00 P.IVI. E.D.T.			
The Bidder, in compliance with your Invitation for Bids for the above referenced Project, having carefully examined the site of the Work, the Drawings and complete Contract Documents as defined in Article I of the General Conditions, as well as the Specifications affecting the work as prepared by the Consultant, hereby proposes to furnish all labor, materials, supplies and services required to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the price stated below without qualification.				
The Bidder hereby acknowledges receipt of the following Addenda:				
ADDENDUM NO	DATED			
ADDENDUM NO	DATED			
ADDENDUM NO.	DATED			
(Insert the number and date of any Addenda issued and received. If none has been issued and received, the word NONE should be inserted.)				

### FORM OF PROPOSAL

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST I hereby certify:

- 1. That I am the Bidder (if the Bidder is an individual), a partner in the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- That the submitted Bid or Bids covering Capital Construction Procurement Section Invitation No.
   <u>CCK-2561-22</u> have been arrived at by the Bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition; as prohibited by provision KRS 45A.325;
- 3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished with the Bid or Bids and will not be communicated to any such person prior to the official opening of the Bid or Bids:
- 4. That the Bidder is legally entitled to enter into the contracts with the University of Kentucky and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, and 45A.330 to 45A.340 and 45A.455;
- 5. This offer is good for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that upon proper acceptance by the Capital Construction Procurement Section of any or all items Bid above, a contract shall thereby be created with respect to the items accepted:
- 6. That I have fully informed myself regarding and affirm the accuracy of all statements made in this Form of Proposal including Bid Amount.
- 7. Unless otherwise exempted by KRS 45.590, the Bidder intends to comply in full with all requirements of the Kentucky Civil Rights Act and to submit data required by the Kentucky Equal Employment Act upon being designated the successful contractor.
- 8. That the bidding contractor and all subcontractors to be employed do not and will not maintain any facilities they provide for employees in a segregated manner and they are in full compliance with provisions of 41 CFR 60-1.8 that prohibits the maintaining of segregated facilities.
- 9. In accordance with KRS45A.110(2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to the bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

### READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID

SIGNED B	Υ		TITLE
PRINT NA	ME		FIRM
ADDRESS	<u> </u>		PHONE ( )
			FAX <u>(</u> )
CITY	STATE	ZIP CODE	DATE

## Contractor Report of Prior Violations of Chapters 136,139, 141, 337, 338, 341, and 342

Pursuant to KRS 45A.485, the Contractor shall, prior to the award of a Contract, reveal final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 by the Contractor that have occurred in the previous five (5) year period.

This statute also requires for the duration of the Contract established, the Contractor be in continuous compliance with the provisions of Chapters 136, 139, 141, 337, 338, 341, and 342 that apply to the Contractor's operations. The Contractor's failure to reveal a final determination of a violation of KRS Chapters 136, 139, 141, 337, 338, 341, and 342, or failure to comply with any of the above cited statutes for the duration of the Contract shall be grounds for the cancellation of the Contract, and the disqualification from eligibility for future contracts for a period of two (2) years.

The Contractor, by signing and submitting a Bid on this Invitation, agrees as required by KRS 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 that have occurred in the previous five (5) years prior to the award of a Contract and agrees to remain in continuous compliance with the provisions of these statutes during the duration of any contract that may be established. Final determinations of any violations of these statutes, must be provided to the University by the successful Contractor prior to the award of a Contract.

### **LUMP SUM PROPOSAL**

The Bidder, in compliance with the Invitation to Bid <a href="CCK-2561-22">CCK-2561-22</a> having examined the drawings, specifications, related documents and having visited the site of the proposed work, and being familiar with all the conditions surrounding the construction of the proposed project including the availability of materials and labor, hereby submits the following bid to furnish all labor, materials, and supplies and to construct the project in accordance with the Bid Documents within the time set forth therein and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the Contract Documents, of which this Bid is a part.

The Bidder agrees to furnish all labor, materials, supplies and services required to complete the Work, for the above referenced Project, for the Capital Construction Procurement Section, University of Kentucky, as described in the Specifications and Contract Documents and shown on the Drawings enumerated below and as modified by the Addenda listed above.

Bidder hereby agrees that all escalation cost associated with materials and/or labor have been included in the stated unit cost, through the projected duration dates as stated in the preliminary project construction schedule.

FOR THE LUMP SUM OF _			
_	(USE WORDS)		
(USE WORDS)	DOLLARS AND(USE WORDS)	CENTS.	
(\$) (USE FIGURES)			
<b>BID ALTERNATES Scope Alternate No. 1:</b> Secur *Required	rity, Access Control & Video Surveillance		
FOR THE LUMP SUM OF _			
_	(USE WORDS)		
(USE WORDS)	DOLLARS AND(USE WORDS)	CENTS.	
(\$) (USE FIGURES)			

Add Alternate No. 1: Card Readers *Dependent upon award of Scope Alternate #1			
FOR THE LUMP SUM OF	(USE	WORDS)	
(USE WORDS)	DOLLARS AND _	(USE WORDS)	CENTS.
(\$) (USE FIGURES)			

### **BUSINESS CLASSIFICATION**

Please complete this form which is necessary for the University of Kentucky vendor database. Mark only one classification. Refer to "Definitions" for assistance in determining correct classification.

(01)	_Small Business	(06)	_Woman-Owned Large Business
(02)	_Large Business	(07)	_Disadvantaged Woman-Owned Small Business
(03)	_Disadvantaged Small Business	(80)	_Disadvantaged Woman-Owned Large Business
(04)	_Disadvantaged Large Business	(09)	_Other
(05)	Woman-Owned Small Business		

#### **DEFINITIONS**

- (01) SMALL BUSINESS: A business concern that is organized for profit, is independently owned and operated, is not dominant in the field of operations in which it is bidding, and meets the size standards as prescribed in the Code of Federal Regulations, Title 13, Part 121. Consult your local or district Small Business Administration (SBA) office if further clarification is needed.
- (02) LARGE BUSINESS: A business concern that exceeds the small business size code standards established by SBA.
- (03) DISADVANTAGED SMALL BUSINESS: A business concern (a) that is at least 51 percent owned by one or more socially and economically disadvantaged individuals (as defined below), or a publicly owned business, having at least 51 percent of its stock owned by one or more socially and economically disadvantaged individuals; and (b) has its management and daily business operations controlled by one or more such individuals. Socially and economically disadvantaged individuals include Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans and other minorities or individuals found to be disadvantaged by the SBA.
- (04) DISADVANTAGED LARGE BUSINESS: A concern that meets the definition of socially and economically disadvantaged individuals, but which is not a small business by the SBA's size standards.
- (05) WOMAN-OWNED SMALL BUSINESS: A small business that is at least 51 percent owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" means actively involved in the day to day management.
- (06) WOMAN-OWNED LARGE BUSINESS: A concern that meets the definition of woman owned and operated, but which is not a small business by the SBA's standards.
- (07) DISADVANTAGED, WOMAN-OWNED SMALL BUSINESS: A concern that meets the definition of both (03) and (05) above.
- (08) DISADVANTAGED, WOMAN OWNED LARGE BUSINESS: A concern that meets the definition of both (04) and (06) above.
- (09) OTHER: A concern that does not meet any of the above definitions.

### THE FOLLOWING ITEMS ARE HEREWITH ENCLOSED AS REQUIRED BY KRS 45A.185

- 1. Bid Bond or Certified Check in an amount not less than five percent (5%) of total Bid.
- 2. List of Proposed Subcontractors and Unit Prices. (if required)
- Authentication of Bid and Statement of Non-Collusion and Non-Conflict of Interest.
- 4. List of Materials and Equipment.
- VENDOR NUMBER: It is imperative that you furnish your Federal Employer Identification Number in the space provided below. Failure to do so may delay the processing of purchase orders issued to your firm.

(Nine Digit Number)

### **BIDDER'S QUALIFICATIONS**

The Commonwealth of Kentucky Model Procurement Code (KRS 45A.080) requires contracts to be awarded, "to the responsive and responsible bidder whose bid offers the best value" to the University of Kentucky. In order to determine if the Bidder has the experience, qualifications, resources and necessary attributes to provide the quality workmanship, materials and management required by the plans and specifications, the Bidder may be required to complete and submit the information requested on the University of Kentucky Contractor Bidder Determination of Responsibility questionnaire. Failure to provide the information requested on the questionnaire or failure to provide any additional submittals or information that may be requested to make this determination may be grounds for a declaration of non-responsibility with respect to the Bidder. A copy of the Contractor Determination of Responsibility questionnaire is available upon request to all Bidders.

### TIME LIMIT FOR EXECUTION OF CONTRACT DOCUMENTS

It is further agreed, that in the event this Proposal is accepted by the Owner and the undersigned shall fail to execute the Contract and furnish satisfactory Payment and Performance Bond within ten (10) consecutive calendar days from the date of notification of the award of the Contract, the Owner may at his option, determine that the undersigned has abandoned the Contract and thereupon, the Proposal shall become null and void and the Bid guarantee, check or Bid bond which accompanied it shall be forfeited and become the property of the Owner as liquidated damages for each failure and no protest pursuant to such action will be made. If the Undersigned shall execute the Contract, and furnish satisfactory Payment Bond and Performance Bond, it is understood that the Bid Guarantee or Bid Bond will be returned to the undersigned by the Owner.

### **UNIT PRICES**

NOTE: Unit Prices shall include the furnishing of all labor, materials, supplies and services and shall include all items of cost, overhead and profit for the Contractor and any subcontractor involved, and shall be used uniformly without modifications for either additions or deductions. The Unit Prices as established shall be used to determine the equitable adjustment of the Contract Price in connection with changes, deletions or extra work performed under the Contract and the "Rules of Measurement" set forth in the General Conditions shall govern.

All Bidders are required to complete and submit the following information with their bid.

# <u>The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.</u>

ITEM	UNIT	COST PER UNIT
Installer	HR	\$
Foreman	HR	\$

### PRIMARY LIST OF PROPOSED SUBCONTRACTORS

All subcontractors are subject to the approval of the Capital Construction Procurement Section and Capital Project Management Division, University of Kentucky, Lexington, KY.

If certain branches of the Work are to be done by the Prime Contractor, so state.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

Provide the address, phone number and contact information for the following subcontractor/suppliers:

DIVISION OF WORK	NAME AND ADDRESS OF SUBCONTRACTOR

### LIST OF MATERIALS AND EQUIPMENT

Each item listed under the different phases of construction must be clearly identified so that the Owner will definitely know what the Bidder proposes to furnish.

The use of a manufacturer's or dealer's name only, or stating "as per Plans and Specifications," will not be considered as sufficient identification.

Where more than one "Make" or "Brand" is listed for any one item, the Owner has the right to select the one to be used.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

	T
ITEM DESCRIPTION	MANUFACTURER/SUPPLIER

### IDENTIFICATION OF MINORITY SUBCONTRACTORS AND MATERIAL SUPPLIERS

Participation of Minority and Women owned Contractors and businesses.

The University of Kentucky encourages and supports the participation of minority and women owned businesses.

1.	Minority and Women Subcontractors
2.	Minority and Women Material Suppliers
SUPE	RINTENDENT
project	ordance with Article 17 of the General Conditions a full-time superintendent will be required on this Below, please list the superintendent your firm will employ on this project. The successful Bidder required to furnish a resume of the superintendents' qualifications and or past projects.
List the	e Superintendent's Name
Revised 3	3/22/06

### TRADE CONTRACT - 08C - Doors, Hardware, & Security

This section defines in summary, without limitations by the descriptions, significant items of the scope of work to be performed by the Subcontractor and any special provision related to the Subcontractor's execution of the Work and the Project. The details of the scope of work are further defined in Drawings, Specifications, and other provisions contained in the Project Documents.

The work covered under this Contract includes but is not limited to the following specific work items:

This work shall include all items indicated in **Section A:** General Scope of Work, as such items apply to this work unless specifically noted otherwise herein.

This work primarily includes, but is not limited to the following specification sections as well as related work specified or shown elsewhere in the Contract Documents:

All specifications as they relate to the Doors, Hardware, and Security scope of work.

\*\*\*Note: This Subcontractor is responsible for the requirements of the <u>complete</u>
Contract Documents as they pertain to this Unit of Work.

- Scope of Work It is the intent for this project is that this Subcontractor performs all works scoped herein and
  as specified in the Project Manual and Contract Drawings. This Subcontractor shall furnish 100% of the labor,
  supervision, materials, tools, equipment, shop drawings, submittals, layout, unloading, scaffolding, ladders,
  hoisting, transportation, taxes, permits, engineering, support functions, insurance, bonds, warranties, guarantees,
  and any other items or services necessary for and reasonably incidental to safely execute and complete the work
  scoped herein, whether temporary or permanent, in full compliance with all drawings, specifications, addenda,
  general conditions, requirements, and other related documents as indicated herein.
- 2. <u>PlanGrid License</u> This Subcontractor has included the necessary license(s) to PlanGrid for their office and field staff for field reference and notifications. Please note that drawings posted on PlanGrid do not supersede the Contract Documents and should only be used for reference and notifications. All submittals, RFIs, and installation work should conform to the Contract Documents.
- 3. <u>Specific Scope Items</u> This subcontractor shall provide and install the following items related to Doors Hardware & Security to include but not be limited to:
  - Provide all new door frames (does not include demountable partition frames).
  - Provide and install all new doors and hardware (does not include demountable partition doors).
  - Modify preparation of existing doors and frames to receive new hardware. Removal and replacement of
    existing to remain door should be included if required to complete hardware preparation.
  - This subcontractor shall provide hardware, preparation and installation for the barn door (Door 314; A602 Detail A9)
  - Provide all cylinders with temporary construction cores for use during construction. Provide permanent cores and keys and coordinate keying with owner.
  - Doors should arrive to site with hardware already installed and ready to hang. There will not be room for onsite hardware storage and installation and for this reason pre-assembly will be required.
  - This subcontractor to provide and install all door auto-operators. This shall include all associated push buttons, electric push button door lock, bollards for push button, etc.
- 4. <u>Scope by Others</u> Installation of all door frames will be by others. Signed written documentation and receipts are required to be provided to The Construction Manager and frame installer when frames are turned over to

- others. All frames shall be inspected two weeks prior to installation of doors to ensure that the frames have been installed correctly and plumb. Storefront doors and Demountable Partition doors by shall be by others.
- 5. <u>Blocking</u> This Subcontractor will provide blocking drawings, complete with top & bottom elevations and wall layout dimensions, which are pertinent to this work, to the Construction Manager in advance of the framing installation. In-wall blocking will be installed by others. Any blocking that is missed as a result of this Subcontractor's incomplete or undelivered blocking drawings will be the sole responsibility of this Subcontractor.
- 6. <u>Preparation</u> This Subcontractor shall properly detail, fabricate, tag, furnish, and deliver the hollow metal & wood doors and frames as detailed in the Contract Documents.
- 7. <u>Fabrication</u> This Subcontractor agrees to fabricate all doors and frames utilizing the approved hardware schedule and templates as provided in the Contract Documents and as approved by the Architect. All frames and doors are to be assembled off site so that they are delivered to the jobsite "pre-fabricated" and assembled, ready for installation.
- 8. <u>Labeling</u> This Subcontractor shall provide fire-rated doors, frames, and hardware with permanently affixed labels at all rated openings. This Subcontractor shall also be responsible for compliance with all code requirements (local, state, and federal), NFPA Standards, and U.L. rating requirements related to this scope of work. Advise Construction Manager of any building code violations Subcontractor is knowledgeable of and shall be responsible for all costs associated with such labels being inadequate or improper for the usage intended.
- 9. <u>Astragals & Sweeps</u> This Subcontractor agrees to furnish and install all necessary astragals at doors and sweeps as required in the Contract Documents.
- 10. Wood Doors This Subcontractor shall promptly detail, fabricate, finish, tag, deliver F.O.B. to jobsite, prep, assemble, and install all wood doors as required and indicated on the Contract Documents. All glazing for wood doors shall be the responsibility of this Subcontractor.
- 11. <u>Hardware</u> This Subcontractor shall properly detail, fabricate, tag, furnish, deliver, and install the finish hardware for the Project as required and indicted on the Contract Documents including but not limited to: stanchions, hinges, cores, lock sets, pull handles, crash bars, kick plates, card scanners, wall mounted card scanners, door position switches, auto operators, ADA operators, etc. or as otherwise noted in the Contract Documents. All hardware will be catalogued and confirmed as delivered. Any substitutions in door hardware is required to be submitted during the bid period.
- 12. <u>Door Glazing</u> This Subcontractor shall include all door glazing. This shall include preglazed doors or glazing onsite. This shall include all glazing stops, adhesives and sealants.
- 13. <u>Delivery</u> This Subcontractor shall have a representative on site for receiving and logging in all frames, wood doors, hollow metal doors, and finish hardware as it is delivered. The Subcontractor's monthly Pay Application shall be based on delivery tickets signed by the Construction Manager for any given month. All deliveries must be coordinated with the Construction Manager and are to be made in accordance with the scheduled sequence of work. Out of sequence delivers will not be accepted.
- 14. Receive and Inventory Materials This Subcontractor is responsible for receiving, creating inventory, and storing all doors, hardware, and accessories that are required to be installed by this Scope of Work. This Subcontractor will notify the Construction Manager within 3 days of receipt of inventory of any missing, damaged, or incorrect item as listed in the Contract Documents.
- 15. Shop Drawings and Coordination -This Subcontractor shall provide all of the required coordination between the approved hardware shop drawings and the preparation and fabrication of the doors and frames. This includes coordinating all frames with wall thicknesses. This Subcontractor shall correct all miss-fabricated doors or frames in the field as required by the Construction Manager. This Subcontractor will be responsible for the reproduction of all shop drawings and other submittals including the cost thereof. This includes "field use" shop drawings which are to be sent to the jobsite immediately after shop drawings have been approved.

- 16. <u>Quality Control</u> This Subcontractor shall be responsible to coordinate quality control walks with The Construction Manager and with itself after and during the installation of all doors.
- 17. <u>Transport of Doors and Hardware</u> This Subcontractor shall be responsible for relocating all doors and hardware from the door and hardware room to the final installation location. All labor and cost associated with this work shall be this Subcontractors responsibility.
- 18. <u>Preparation</u> This Subcontractor shall install the hollow metal doors, wood doors, and hardware as required and indicated on the Contract Documents. Any minor adjustments required to provide a fully operational door, frame, and hardware assembly shall be included in this Subcontractors scope of work.
- 19. <u>Adjusting</u> This Subcontractor shall provide all lubrication, adjustment, cleaning, and instruction related to their scope of work. This Subcontractor shall be responsible for all final adjustment of the doors and hardware after final building Test & Balance has been taken place. This Subcontractor has included all necessary mobilizations in order to accomplish these adjustments.
- 20. Necessary items for Completion This Subcontractor agrees that the intent of this Subcontract is to provide all items necessary for a complete and total job. Items such as but not limited to: wall bumpers, extra silencers (+15% of job), weather stripping, thresholds, anchors, fasteners, adhesives, bolts, shims, and related items are the responsibility of this Subcontractor whether or not they are specifically called for in the Contract Documents. They are to be furnished by the Subcontractor at no additional cost, if necessary, to provide a complete and total job, including compliance with requirements of applicable codes and governing authorities.
- 21. <u>Damaged Products</u> It shall be the responsibility of this Subcontractor to notify the Construction Manager of any materials that are damaged during the processes of construction. This Subcontractor shall be responsible for all minor touch up associated with this scope of work.
- 22. <u>Final Keying</u> This Subcontractor will provide all final keying for the entire building, including all required submittals and the Owner keying conference to map out degree of security as noted in the Contract Documents. All final cores will be delivered directly to the Owner's lock shop and will be installed by the Owner's lock shop.
- 23. <u>Traffic Control</u> This Subcontractor will provide a flagman with stop signs and appropriate training to assist and manage traffic flow, for deliveries to make a safe and secure exit out of the Construction site. This Subcontractor is fully aware that the construction site is within a high traffic campus corridor with continuing operations throughout the construction process. To that end, this Subcontractor will work hand in hand with the Construction Manager to ensure deliveries, manpower, and general construction traffic are conducted in such a manner as to provide a safe and undisturbed environment for the pedestrian and vehicular traffic, which includes but is not limited to: cleanup of all vehicle debris, mud, materials, adjusting haul routes and hours, adjusting exit routes, parking in designated areas, deferring to campus traffic, posting flagmen, etc.
- 24. <u>Alternates</u> This subcontractor shall review all alternates as listed in specification section 012300 and as shown in the contract documents. This subcontractor shall provide alternate pricing for each applicable alternate to this scope of work. Items listed below shall part of this subcontractor's scope for the add alternates. This list is NOT a comprehensive list and is only intended as additional clarification:

# Scope Alternate No. 1 – Security, Access Controls & Video Surveillance \*Required

This subcontractor shall provide an add alternate to include the Security, Access Controls & Video Surveillance scope of work as part of this subcontract. This shall include all scope shown on the security plans (E304 – E307) and include but not be limited to the following:

- Provide and install all door access controls including but not limited to all electrified hardware, card readers, DPS, REX, Lenel boards, enclosures, power supplies, low voltage door wiring, etc. Frame side pathways will be by 26B.
- This subcontractor shall provide and install all interior and exterior cameras and be responsible for all programming, adjusting, positioning, etc. Pathways & data drops for cameras will be provided by 26B.

- This subcontractor shall provide all security wiring and devices for panic buttons. Pathways will be by 26B.
- Complete all programming for access control & security.
- Add Alternate #1 Card Readers
  - o E304 Note 7 applies to this alternate
  - o E305 Note 6 & 7 applies to this alternate
  - o E306 Note 4 & 8 applies to this alternate
  - o E307 Note 6 & 7 applies to this alternate
  - See door schedule and hardware sets for hardware alternates
  - This Subcontractor will be responsible for wiring and devicing all demountable partition doors indicated to have security and or electrified hardware. This includes all door side wiring, including, but not limited to, all power supplies, position switches, card readers, etc. necessary for a complete and functioning door. The demountable partition doors and hardware will be provided by others, but installed, wired, connected, and tested by this Subcontractor.

END OF SPECIFIC SCOPE

# UNIVERSITY OF KENTUCKY CAPITAL CONSTRUCTION PROCUREMENT SECTION FORM OF PROPOSAL FRAZEE INTERIOR FIT-UP

Subcontract 09C – Carpet & Wood Flooring		
Project No. <u>2591.3</u> Purchasing Officer: <u>Ken Scott</u>	Project Title: FRAZEE INTERIOR FIT-UP	
NOTE: The following Form of Proposal proposal for this work. If this copy is los written request to the authority issuing C	t, an additional copy will be furnished upon	
This Proposal is submitted by:		
Date:	(NAME AND ADDRESS OF BIDDER)	
Telephone:		
TO: BID CLERK UNIVERSITY OF KENTUCKY	INVITATION TO BID: CCK-2667-23	
CAPITAL CONSTRUCTION	BID OPENING DATES: November 10, 2022	
PROCUREMENT	TRADE CONTRACT DESCRIPTION:	
RM. 322 SERVICE BUILDING	Carpet & Wood Flooring	
411 SOUTH LIMESTONE	TRADE CONTRACT NO.: 09C	
LEXINGTON, KY 40506-0005	TIME: 3:00 P.M. E.D.T.	
having carefully examined the site of the Documents as defined in Article I of the Specifications affecting the work as prepfurnish all labor, materials, supplies and	tation for Bids for the above referenced Project, e Work, the Drawings and complete Contract General Conditions, as well as the pared by the Consultant, hereby proposes to services required to construct the Project in its, within the time set forth therein, and at the	
The Bidder hereby acknowledges receip	ot of the following Addenda:	
ADDENDUM NO	DATED	
ADDENDUM NO	DATED	
ADDENDUM NO	DATED	
(Insert the number and date of any Adde	enda issued and received. If none has been	

issued and received, the word NONE should be inserted.)

### FORM OF PROPOSAL

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST I hereby certify:

- 1. That I am the Bidder (if the Bidder is an individual), a partner in the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
- 2. That the submitted Bid or Bids covering Capital Construction Procurement Section Invitation No. <a href="CCK-2667-23">CCK-2667-23</a> have been arrived at by the Bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition; as prohibited by provision KRS 45A.325;
- 3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished with the Bid or Bids and will not be communicated to any such person prior to the official opening of the Bid or Bids:
- 4. That the Bidder is legally entitled to enter into the contracts with the University of Kentucky and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, and 45A.330 to 45A.340 and 45A.455;
- 5. This offer is good for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that upon proper acceptance by the Capital Construction Procurement Section of any or all items Bid above, a contract shall thereby be created with respect to the items accepted:
- 6. That I have fully informed myself regarding and affirm the accuracy of all statements made in this Form of Proposal including Bid Amount.
- 7. Unless otherwise exempted by KRS 45.590, the Bidder intends to comply in full with all requirements of the Kentucky Civil Rights Act and to submit data required by the Kentucky Equal Employment Act upon being designated the successful contractor.
- 8. That the bidding contractor and all subcontractors to be employed do not and will not maintain any facilities they provide for employees in a segregated manner and they are in full compliance with provisions of 41 CFR 60-1.8 that prohibits the maintaining of segregated facilities.
- 9. In accordance with KRS45A.110(2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to the bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

### READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID

TLE
RM
HONE ()
X ()
ATE

## Contractor Report of Prior Violations of Chapters 136,139, 141, 337, 338, 341, and 342

Pursuant to KRS 45A.485, the Contractor shall, prior to the award of a Contract, reveal final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 by the Contractor that have occurred in the previous five (5) year period.

This statute also requires for the duration of the Contract established, the Contractor be in continuous compliance with the provisions of Chapters 136, 139, 141, 337, 338, 341, and 342 that apply to the Contractor's operations. The Contractor's failure to reveal a final determination of a violation of KRS Chapters 136, 139, 141, 337, 338, 341, and 342, or failure to comply with any of the above cited statutes for the duration of the Contract shall be grounds for the cancellation of the Contract, and the disqualification from eligibility for future contracts for a period of two (2) years.

The Contractor, by signing and submitting a Bid on this Invitation, agrees as required by KRS 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 that have occurred in the previous five (5) years prior to the award of a Contract and agrees to remain in continuous compliance with the provisions of these statutes during the duration of any contract that may be established. Final determinations of any violations of these statutes, must be provided to the University by the successful Contractor prior to the award of a Contract.

### **LUMP SUM PROPOSAL**

The Bidder, in compliance with the Invitation to Bid <u>CCK-2667-23</u> having examined the drawings, specifications, related documents and having visited the site of the proposed work, and being familiar with all the conditions surrounding the construction of the proposed project including the availability of materials and labor, hereby submits the following bid to furnish all labor, materials, and supplies and to construct the project in accordance with the Bid Documents within the time set forth therein and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the Contract Documents, of which this Bid is a part.

The Bidder agrees to furnish all labor, materials, supplies and services required to complete the Work, for the above referenced Project, for the Capital Construction Procurement Section, University of Kentucky, as described in the Specifications and Contract Documents and shown on the Drawings enumerated below and as modified by the Addenda listed above.

Bidder hereby agrees that all escalation cost associated with materials and/or labor have been included in the stated unit cost, through the projected duration dates as stated in the preliminary project construction schedule.

FOR	THE LUMP SUM OF			
		(USE	WORDS)	
	(USE WORDS)	DOLLARS AND _	(USE WORDS)	CENTS.
(\$	(USE FIGURES)			
BID /	ALTERNATES			
Scop *Opti	pe Alternate No. 1: Include 09 onal	E – Ceramic Tile		
FOR	THE LUMP SUM OF			
		(USE	WORDS)	
	(USE WORDS)	DOLLARS AND _	(USE WORDS)	CENTS.
(\$	(USE FIGURES)			

# 

**UNIVERSITY OF KENTUCKY – Frazee Interior Fit-Up** 

### **BUSINESS CLASSIFICATION**

Please complete this form which is necessary for the University of Kentucky vendor database. Mark only one classification. Refer to "Definitions" for assistance in determining correct classification.

(01)Small Business	(06)Woman-Owned Large Business
(02)Large Business	(07)Disadvantaged Woman-Owned Small Business
(03)Disadvantaged Small Business	(08) Disadvantaged Woman-Owned Large Business
(04)Disadvantaged Large Business	(09)Other
(05) Woman-Owned Small Business	

### **DEFINITIONS**

- (01) SMALL BUSINESS: A business concern that is organized for profit, is independently owned and operated, is not dominant in the field of operations in which it is bidding, and meets the size standards as prescribed in the Code of Federal Regulations, Title 13, Part 121. Consult your local or district Small Business Administration (SBA) office if further clarification is needed.
- (02) LARGE BUSINESS: A business concern that exceeds the small business size code standards established by SBA.
- (03) DISADVANTAGED SMALL BUSINESS: A business concern (a) that is at least 51 percent owned by one or more socially and economically disadvantaged individuals (as defined below), or a publicly owned business, having at least 51 percent of its stock owned by one or more socially and economically disadvantaged individuals; and (b) has its management and daily business operations controlled by one or more such individuals. Socially and economically disadvantaged individuals include Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans and other minorities or individuals found to be disadvantaged by the SBA.
- (04) DISADVANTAGED LARGE BUSINESS: A concern that meets the definition of socially and economically disadvantaged individuals, but which is not a small business by the SBA's size standards.
- (05) WOMAN-OWNED SMALL BUSINESS: A small business that is at least 51 percent owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" means actively involved in the day to day management.
- (06) WOMAN-OWNED LARGE BUSINESS: A concern that meets the definition of woman owned and operated, but which is not a small business by the SBA's standards.
- (07) DISADVANTAGED, WOMAN-OWNED SMALL BUSINESS: A concern that meets the definition of both (03) and (05) above.
- (08) DISADVANTAGED, WOMAN OWNED LARGE BUSINESS: A concern that meets the definition of both (04) and (06) above.
- (09) OTHER: A concern that does not meet any of the above definitions.

### THE FOLLOWING ITEMS ARE HEREWITH ENCLOSED AS REQUIRED BY KRS 45A.185

- 1. Bid Bond or Certified Check in an amount not less than five percent (5%) of total Bid.
- 2. List of Proposed Subcontractors and Unit Prices. (if required)
- Authentication of Bid and Statement of Non-Collusion and Non-Conflict of Interest.
- 4. List of Materials and Equipment.
- VENDOR NUMBER: It is imperative that you furnish your Federal Employer Identification Number in the space provided below. Failure to do so may delay the processing of purchase orders issued to your firm.

(Nine Digit Number)

### **BIDDER'S QUALIFICATIONS**

The Commonwealth of Kentucky Model Procurement Code (KRS 45A.080) requires contracts to be awarded, "to the responsive and responsible bidder whose bid offers the best value" to the University of Kentucky. In order to determine if the Bidder has the experience, qualifications, resources and necessary attributes to provide the quality workmanship, materials and management required by the plans and specifications, the Bidder may be required to complete and submit the information requested on the University of Kentucky Contractor Bidder Determination of Responsibility questionnaire. Failure to provide the information requested on the questionnaire or failure to provide any additional submittals or information that may be requested to make this determination may be grounds for a declaration of non-responsibility with respect to the Bidder. A copy of the Contractor Determination of Responsibility questionnaire is available upon request to all Bidders.

### TIME LIMIT FOR EXECUTION OF CONTRACT DOCUMENTS

It is further agreed, that in the event this Proposal is accepted by the Owner and the undersigned shall fail to execute the Contract and furnish satisfactory Payment and Performance Bond within ten (10) consecutive calendar days from the date of notification of the award of the Contract, the Owner may at his option, determine that the undersigned has abandoned the Contract and thereupon, the Proposal shall become null and void and the Bid guarantee, check or Bid bond which accompanied it shall be forfeited and become the property of the Owner as liquidated damages for each failure and no protest pursuant to such action will be made. If the Undersigned shall execute the Contract, and furnish satisfactory Payment Bond and Performance Bond, it is understood that the Bid Guarantee or Bid Bond will be returned to the undersigned by the Owner.

### **UNIT PRICES**

NOTE: Unit Prices shall include the furnishing of all labor, materials, supplies and services and shall include all items of cost, overhead and profit for the Contractor and any subcontractor involved, and shall be used uniformly without modifications for either additions or deductions. The Unit Prices as established shall be used to determine the equitable adjustment of the Contract Price in connection with changes, deletions or extra work performed under the Contract and the "Rules of Measurement" set forth in the General Conditions shall govern.

All Bidders are required to complete and submit the following information with their bid.

# The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

ITEM	UNIT	COST PER UNIT
Installer	HR	\$
Foreman	HR	\$
Moisture-Resistant Sealer-Surfacer	SF	\$
Moisture-Resistant Flooring Adhesive for Resilient Floors	SF	\$
Moisture-Resistant Flooring Adhesive for Carpet	SF	\$

### PRIMARY LIST OF PROPOSED SUBCONTRACTORS

All subcontractors are subject to the approval of the Capital Construction Procurement Section and Capital Project Management Division, University of Kentucky, Lexington, KY.

If certain branches of the Work are to be done by the Prime Contractor, so state.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

<u>The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.</u>

Provide the address, phone number and contact information for the following subcontractor/suppliers:

DIVISION OF WORK	NAME AND ADDRESS OF SUBCONTRACTOR

### LIST OF MATERIALS AND EQUIPMENT

Each item listed under the different phases of construction must be clearly identified so that the Owner will definitely know what the Bidder proposes to furnish.

The use of a manufacturer's or dealer's name only, or stating "as per Plans and Specifications," will not be considered as sufficient identification.

Where more than one "Make" or "Brand" is listed for any one item, the Owner has the right to select the one to be used.

The apparent low bidder will be required to complete and submit to the University the following information by twelve (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

	T
ITEM DESCRIPTION	MANUFACTURER/SUPPLIER

### IDENTIFICATION OF MINORITY SUBCONTRACTORS AND MATERIAL SUPPLIERS

Participation of Minority and Women owned Contractors and businesses.

The University of Kentucky encourages and supports the participation of minority and women owned businesses.

1.	Minority and Women Subcontractors		
2.	Minority and Women Material Suppliers		
SUPE	RINTENDENT		
projec	ordance with Article 17 of the General Conditions a full-time superintendent will be required on this t. Below, please list the superintendent your firm will employ on this project. The successful Bidde required to furnish a resume of the superintendents' qualifications and or past projects.		
List the	e Superintendent's Name		
Revised	3/22/06		

### TRADE CONTRACT - 09C09C - Carpet & Wood Flooring

This section defines in summary, without limitations by the descriptions, significant items of the scope of work to be performed by the Subcontractor and any special provision related to the Subcontractor's execution of the Work and the Project. The details of the scope of work are further defined in Drawings, Specifications, and other provisions contained in the Project Documents.

# Unit <del>09C</del>09C – <u>Carpet & Wood</u> Flooring

This work shall include all items indicated in **Section A:** General Scope of Work, as such items apply to this work unless specifically noted otherwise herein.

This work primarily includes, but is not limited to the following specification sections as well as related work specified or shown elsewhere in the Contract Documents:

All Specification Sections as they related to the Flooring scope of work.

# \*\*\*Note: This Subcontractor is responsible for the requirements of the <u>complete</u> <u>Contract Documents</u> as they pertain to this Unit of Work.

- Scope of Work It is the intent for this project is that this Subcontractor performs all works scoped herein
  and as specified in the Project Manual and Contract Drawings. This Subcontractor shall furnish 100% of
  the labor, supervision, materials, tools, equipment, shop drawings, submittals, layout, unloading,
  scaffolding, ladders, hoisting, transportation, taxes, permits, engineering, support functions, insurance,
  bonds, warranties, guarantees, and any other items or services necessary for and reasonably incidental to
  safely execute and complete the work scoped herein, whether temporary or permanent, in full compliance
  with all drawings, specifications, addenda, general conditions, requirements, and other related documents
  as indicated herein.
- 2. <u>PlanGrid License</u> This Subcontractor has included the necessary license(s) to PlanGrid for their office and field staff for field reference and notifications. Please note that drawings posted on PlanGrid do not supersede the Contract Documents and should only be used for reference and notifications. All submittals, RFIs, and installation work should conform to the Contract Documents.
- 3. <u>Flooring Transitions</u> This subcontractor shall furnish and install all flooring transitions as shown in the Contract Documents.
- 4. <u>Carpet Tile Flooring</u> This subcontractor shall furnish and install all carpet tile flooring, trim, accessories, metal strips, transitions, base adhesives, caulk etc. as shown and described in the contract documents for a complete scope of work.
- 5. <u>Resilient Flooring</u> This subcontractor shall furnish and install all resilient flooring, trim, accessories, transitions, base, adhesives, caulk etc. per the contract documents for a complete scope of work.
- 6. Wood Strip and Plank Flooring This subcontractor shall furnish and install all wood strip and plank flooring per the contract documents for a complete scope of work and finished product.
- 7. Walk-off Carpet This subcontractor shall furnish and install CPT2 walk-off carpet including adding one layer of ½" plywood on top of existing sheathing to ensure a smooth transition between materials. (Note 2 on A711.)

- 8. <u>Membranes</u> This subcontractor shall furnish and install all membranes as it relates to this scope of work per the contract documents
- 9. <u>Testing</u> This Subcontractor shall be responsible for performing all testing for this Scope of Work per the Contract Documents, including but not limited to moisture and bond tests. Any tests that result in failure or non-compliance with the Contract Documents or manufacturer's specific instructions shall be re-tested at this Subcontractor's expense.
- 10. <u>Moisture Levels</u> This subcontractor shall ensure that the flooring substrate moisture levels are below the required limit according to the contract documents and manufacturer's instructions. Acceptable moisture levels must be confirmed and shown in writing by this subcontractor.
- 11. <u>Patterns and Orientation</u> This subcontractor must install all flooring finishes with patterns and orientations per the contract documents and the approved shop drawings.
- 12. <u>Substrate Verification</u> This subcontractor shall verify that all surface conditions are acceptable per the contract documents and manufacturer's recommendations prior to installation of flooring materials. This subcontractor is responsible for sweeping and cleaning of floors to remove any excess debris prior to applying adhesives or flooring material. This subcontractor shall notify Whiting-Turner immediately of any discrepancies. Failure to do so shall indicate acceptance of the surface conditions.
- 13. Quantities & Dimensions This subcontractor shall verify quantities and dimensions for this scope of work.
- 14. <u>Material Storage</u> This subcontractor shall store material in a manner that is in accordance with the contract documents, specifications, and manufacturer's instructions.
- 15. <u>Sequencing</u> This subcontractor acknowledges that portions of this scope of work are to be installed in conjunction with work by others and agrees to all coordination and sequencing required to ensure complete installation of work and to minimize interference with other scopes of work. This subcontractor shall notify Whiting-Turner if there is any sequencing issues that will affect this subcontractors ability to complete their scope of work per the contract.
- 16. Flooring Protection This Subcontractor shall provide Ram Board, or equal as agreed to by the Construction Manager, and carpet protection film as directed by the Contractor to protect all work installed under this Subcontract until material completion. All seems will be taped, and the selected protection board will be compatible with the flooring systems installed. This Subcontractor will remove all temporary flooring protection as directed by the Construction Manager, and has included a final floor cleaning, in accordance with the manufacturer's instructions once the flooring protection has been removed. See also section 3.08 in Tiling project manual spec section. Flooring protection and final acceptance of the product shall be coordinate and carried out by this subcontractor per the contract documents (see note 8 on A700)
- 17. <u>Shop Drawings –</u> This subcontractor shall submit shop drawings for all flooring transitions and areas in which flooring patterns are shown as required by the contract documents.
- 18. <u>Finished Floor Elevations</u> This subcontractor shall be responsible for coordinating finished floor elevations with all/any floor mounted components (receptacles, access panels, etc.) so that components are integrated and flush (example: see note H on A700)
- 19. <u>Base</u> This subcontractor shall furnish and install all rubber/resilient base per the contract documents.
- 20. <u>Minor Floor Prep</u> This subcontractor shall perform a minor flooring prep of each area where they install flooring. This includes, but is not limited to, sweeping, scraping, sanding, self-leveling etc.
- 21. <u>Floor leveling</u> This subcontractor shall provide an allowance of \$10,000 for unforeseen floor leveling that may be needed as a result of the existing floor joists being uneven. This allowance shall be used at the discretion of the construction manager and any unspent portion shall be returned at the conclusion of the

### project.

- 22. <u>Closeout</u> This subcontractor shall complete all closeout and attic stock requirements per the contract documents
- 23. Traffic Control This Subcontractor will provide a flagman with stop signs and appropriate training to assist and manage traffic flow, for deliveries to make a safe and secure exit out of the Construction site. This Subcontractor is fully aware that the construction site is within a high traffic campus corridor with continuing operations throughout the construction process. To that end, this Subcontractor will work hand in hand with the Construction Manager to ensure deliveries, manpower, and general construction traffic are conducted in such a manner as to provide a safe and undisturbed environment for the pedestrian and vehicular traffic, which includes but is not limited to: cleanup of all vehicle debris, mud, materials, adjusting haul routes and hours, adjusting exit routes, parking in designated areas, deferring to campus traffic, posting flagmen, etc.
- 24. <u>Alternates</u> This subcontractor shall review all four alternates as listed in specification section 012300 and as shown in the contract documents. This subcontractor shall provide alternate pricing for each alternate as applicable for this scope of work. Items listed below shall part of this subcontractor's scope for the add alternates. This list is NOT a comprehensive list and is only intended as additional clarification.

### Scope Alternate No. 1 – Ceramic Tile

### \*Optional

This subcontractor shall provide an add alternate to include the 09E – Ceramic Tile scope of work as part of this subcontract. See bid documents for 09E for all specific items related to this scope alternate.

### Add Alternate No. 3 - Wood Flooring

#### \*Required

Provide hardwood flooring in lieu of engineered wood.

END OF SPECIFIC SCOPE