



UNIVERSITY OF KENTUCKY Purchasing Division

INVITATION FOR BIDS

CCK-2583-22

ADDENDUM # 1

10/20/2021

ATTENTION: This is not an order. Read all instructions, terms and conditions carefully.

IMPORTANT: BID AND ADDENDUM MUST BE RECEIVED BY 11/09/2021 @ 3:00 P.M. LEXINGTON, KY TIME

Bidder must acknowledge receipt of this and any addendum as stated in the Invitation for Bids.

1. The Bid Due Date has changed. Bids will now be due **November 9, 2021 @ 3:00 PM.**
2. Please refer to and incorporate within the offer, the attached documents for Addendum #1.
 - Written Questions and Answers
 - Updated Form of Proposal
 - University of Kentucky Supplier Diversity Initiative
 - Pre-Bid Sign-In Sheet

OFFICIAL APPROVAL
UNIVERSITY OF KENTUCKY

Procurement Manager / (859) 323-5405

SIGNATURE

Typed or Printed Name



UNIVERSITY OF KENTUCKY Purchasing Division

Written Questions and Answers

CCK-2583-22

PAV H Elevator 8C Modernization

No.	Question	Answer
1.	<p>Part 1 – General Section 1.7, Item F: Is there a designated storage area in the building? Can adjacent mechanical space next to the elevator machine room be used for storage of elevator equipment?</p>	<p>We don't have any designate storage for equipment all equipment need to be delivery on site day prior to installation. Contractor can store some material and tools in the mechanical room by the elevator machine room. When project start UKMCPD will coordinate with Hospital manager to provide some storage space for equipment.</p> <p>If bidder would like to review the existing mechanical room bidder shall request an additional site survey by contacting UK purchasing.</p>
2.	<p>Part 1 – General Section 1.8, Item A: Does this project require air filtration system to be installed? If so, please advise minimum requirements of the air filtration system.</p>	<p>Refer to "Special Conditions" Interior enclosure and dust encapsulation, infection control, HEPA requirements,</p>

<p>3.</p>	<p>Part 1 – General Section 1.8, Item C: Are full height barricades required at each hoistway door opening, or only at access egress locations? Can the fire hose cabinets (located to the left of each hoistway door) at each landing be enclosed within each barricade allowing more space for public stairway access at each floor? Public access stairway is located to the right of each hoistway door. Please advise.</p>		<p>Full height barricade is required at the landing or landings where work is being performed.</p> <p>Stairwell use will be reviewed prior to mobilization.</p>
<p>4.</p>	<p>Part 1 – General Section 1.10, Item D12: What is the location nearest location for internet access to allow for offsite web access for monitoring system.</p>		<p>Internet access to each machine room for off-site web access will be provided by the Owner, if required. This will be removed from the Related Work.</p> <p>Networking per Drawing E0.1 note 6 is still required.</p>
<p>5.</p>	<p>Part 1 – General Section 1.10, Item E: It is our understanding, elevator contractor is to provide wiring and conduit for pre and post emergency power testing to the emergency generator located in the basement level. Owner will provide the pre and post contact on the emergency power generator. Elevator contractor is to only terminate the wiring at the generator.</p>		<p>Elevator contractor is to provide wiring and conduit for pre and post emergency power testing to the emergency generator located in the basement level. Owner will provide the pre and post contact on the emergency power generator. Elevator contractor is to only terminate the wiring at the Elevator</p>
<p>6.</p>	<p>Part 1 – General Section 1.12, Item A1: Elevator contractor is using crosshead data tag for total car weight. If it is found after weighing the elevator that additional weight is required to balance the cab, is a change in contract acceptable to proceed? Will the owner be carrying a contingency for this additional weight if needed?</p>		<p>Yes. If there is a discrepancy between the data tag and the actual weight, the Owner may consider alternate cab interiors to stay within code compliance as well as adding additional weight to balance the cab.</p>

7.	<p>Part 1 – General</p> <p>Section 1.12, Item B, 1C: Does the owner have the original designed reactions of the elevator system to the building structure with regards to traction machine motor and blocking beams? Please provide. Specifications requires elevator contractor to provide written confirmation that new design system does not exceed existing reactions of 5%.</p>		<p>The owner will review the available documentation from the original installation. Consider which components are being retained and documenting the weight of the components being replaced.</p>
8.	<p>Part 1 – General</p> <p>Section 1.15 Interim Maintenance, Item A: Please advise if interim maintenance will begin upon award or mobilization?</p>		<p>Interim maintenance will be awarded upon mobilization.</p>
9.	<p>Part 2 – Products</p> <p>Section 2.1, Item A4, Elevator Car Enclosures: Request that LWI Elevator Panel Co. is added to the approved cab supplier list. See attached link to website: http://www.lwiweb.com/</p>		<p>This is acceptable. Materials and installation are subject to Owner approval.</p>
10.	<p>Part 2 – Products</p> <p>Section 2.2, Items A-E: Please confirm the existing vendors listed in the specifications can meet the performance requirements in items A-E.</p>		<p>Confirmed.</p>
11.	<p>Part 2 – Products</p> <p>Section 2.8, Item B4: Per site visit, please confirm stainless steel square door cladding is acceptable in lieu of trying to provide radius door cladding on existing bull nose door frame. In addition, please provide total height of new cladding from floor level.</p>		<p>Provide stainless steel cladding on the frames up to 42". Maintain the radius in the existing condition on the bull nose door frame. Square frame will not be accepted.</p>
12.	<p>Part 2 – Products</p> <p>Section 2.11, Item B3, B: Specification calls for new front swing return panel to be installed. In lieu of specified swing return panel, is an applied car operating panel to the existing stainless steel swing return panel acceptable?</p>		<p>No.</p>
13.	<p>Part 2 – Products</p> <p>Section 2.13, Items A-D: Given the items listed in items A-D, is a combination lantern to be provided? Please advise.</p>		<p>Yes, provide hall position indicator 'integral with hall lanterns at all floors per 2.13.B.2.</p>

14.	<p>Part 2 – Products</p> <p>Section 2.14-2.16: Is the elevator management system to be a stand-alone system designed for this simplex unit only? If not, is the system to be tied to a campus-wide elevator management system? If so, please advise the manufacture and model type of the existing elevator management system.</p>	<p>2.14 Elevator Management System is not required. 2.15 Client Interface Tool is required. 2.16. Remote Maintenance (IOT) is not required.</p> <p>Refer to E0.1.note 6. Integration into campus wide building automation system (Tridium) is required.</p>
15.	<p>Part 2 – Products</p> <p>Per email dated 10/15/2021, Subject Name 100665: Email reads as: “They do not have to box the conduit in since the room won’t be fire rated. If I remember correctly they had an air conditioning duct located really close to the controller. That will need to be relocated.” Question: Existing air conditioning duct goes into existing elevator controller. Per site visit, we were informed to provide AC unit with the new elevator controller. Existing AC duct was to be removed and vent cover to be placed on existing AC unit to continue cooling the mechanical space/machine room. Note: existing machine room is not enclosed from the mechanical space. Please confirm or clarify.</p>	<p>MCCPD will take care of existing Air Conditioning Duct Existing machine room is not enclosed from mechanical space Elevator company doesn’t need to include this work in the final proposal</p>
16.	<p>Given the volume of active modernization bids and this project being a “turn-key” project, we respectfully request a one (1) week bid extension from the original due date of Friday October 29th at 3:00 pm</p>	<p>The bid due has change to November 9, 2021 @ 3:00 PM.</p>

004100B01

UNIVERSITY OF KENTUCKY
CAPITAL CONSTRUCTION PROCUREMENT SECTION
FORM OF PROPOSAL

Project Title: PAV H Elevator 8C Modernization

Purchasing Officer: Matt Spalding

NOTE: The following Form of Proposal shall be followed exactly in submitting a proposal for this work. If this copy is lost, an additional copy will be furnished upon written request to the authority issuing Contract Documents.

This Proposal is submitted by: _____
(NAME AND ADDRESS OF BIDDER)

Date: _____

Telephone: _____

TO: BID CLERK
UNIVERSITY OF KENTUCKY
CAPITAL CONSTRUCTION
PROCUREMENT
RM. 322 SERVICE BUILDING
LEXINGTON, KY. 40506-0005

INVITATION TO BID: CCK-2583-22
BID OPENING DATE: November 9, 2021
TIME: 3:00 P.M. E.D.T.

The Bidder, in compliance with your Invitation for Bids for the above referenced Project, having carefully examined the site of the Work, the Drawings and complete Contract Documents as defined in Article I of the General Conditions, as well as the Specifications affecting the work as prepared by the Consultant, hereby proposes to furnish all labor, materials, supplies and services required to construct the Project in accordance with the Contract Documents, within the time set forth therein, and at the price stated below without qualification.

The Bidder hereby acknowledges receipt of the following Addenda:

ADDENDUM NO. _____ DATED _____

ADDENDUM NO. _____ DATED _____

ADDENDUM NO. _____ DATED _____

(Here insert the number and date of any Addenda issued and received. If none has been issued and received, the word NONE should be inserted.)

Contractor Report of Prior Violations of
Chapters 136,139, 141, 337, 338, 341, and 342

Pursuant to KRS 45A.485, the Contractor shall, prior to the award of a Contract, reveal final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 by the Contractor that have occurred in the previous five (5) year period.

This statute also requires for the duration of the Contract established, the Contractor be in continuous compliance with the provisions of Chapters 136, 139, 141, 337, 338, 341, and 342 that apply to the Contractor's operations. The Contractor's failure to reveal a final determination of a violation of KRS Chapters 136, 139, 141, 337, 338, 341, and 342, or failure to comply with any of the above cited statutes for the duration of the Contract shall be grounds for the cancellation of the Contract, and the disqualification from eligibility for future contracts for a period of two (2) years.

The Contractor, by signing and submitting a Bid on this Invitation, agrees as required by KRS 45A.485 to submit final determinations of any violations of the provisions of KRS Chapters 136, 139, 141, 337, 338, 341, and 342 that have occurred in the previous five (5) years prior to the award of a Contract and agrees to remain in continuous compliance with the provisions of these statutes during the duration of any contract that may be established. Final determinations of any violations of these statutes, must be provided to the University by the successful Contractor prior to the award of a Contract.

LUMP SUM PROPOSAL

The Bidder agrees to furnish all labor, materials, supplies and services required to complete the Work, for the above referenced Project, for the Capital Construction Procurement Section, University of Kentucky, as described in the Specifications and Contract Documents and shown on the Drawings enumerated below and as modified by the Addenda listed above.

FOR THE LUMP SUM OF _____
(USE WORDS) (USE WORDS)
_____ DOLLARS AND _____ CENTS.
(USE WORDS) (USE WORDS)
(\$ _____)
(USE FIGURES)

004100B01

FORM OF PROPOSAL

AUTHENTICATION OF BID AND STATEMENT OF NON-COLLUSION AND NON-CONFLICT OF INTEREST

I hereby certify:

1. That I am the Bidder (if the Bidder is an individual), a partner in the Bidder (if the Bidder is a partnership), or an officer or employee of the bidding corporation having authority to sign on its behalf (if the Bidder is a corporation);
2. That the submitted Bid or Bids covering Capital Construction Procurement Section Invitation No. CCK-2583-22 have been arrived at by the Bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with, any other contractor, vendor of materials, supplies, equipment or services described in the Invitation to Bid, designed to limit independent bidding or competition; as prohibited by provision KRS 45A.325;
3. That the contents of the Bid or Bids have not been communicated by the Bidder or its employees or agents to any person not an employee or agent of the Bidder or its surety on any bond furnished with the Bid or Bids and will not be communicated to any such person prior to the official opening of the Bid or Bids;
4. That the Bidder is legally entitled to enter into the contracts with the University of Kentucky and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, and 45A.330 to 45A.340 and 45A.455;
5. This offer is good for 60 calendar days from the date this Bid is opened. In submitting the above, it is expressly agreed that upon proper acceptance by the Capital Construction Procurement Section of any or all items Bid above, a contract shall thereby be created with respect to the items accepted;
6. That I have fully informed myself regarding and affirm the accuracy of all statements made in this Form of Proposal including Bid Amount.
7. Unless otherwise exempted by KRS 45.590, the Bidder intends to comply in full with all requirements of the Kentucky Civil Rights Act and to submit data required by the Kentucky Equal Employment Act upon being designated the successful contractor.
8. That the bidding contractor and all subcontractors to be employed do not and will not maintain any facilities they provide for employees in a segregated manner and they are in full compliance with provisions of 41 CFR 60-1.8 that prohibits the maintaining of segregated facilities.
9. In accordance with KRS45A.110(2), the undersigned hereby swears under penalty of perjury that he/she has not knowingly violated any provision of the campaign finance laws of the Commonwealth of Kentucky and that the award of a contract to the bidder will not violate any provision of the campaign finance laws of the Commonwealth of Kentucky.

READ CAREFULLY - SIGN IN SPACE BELOW - FAILURE TO SIGN INVALIDATES BID

SIGNED BY _____ TITLE _____

PRINT NAME _____ FIRM _____

ADDRESS _____ AREA CODE & PHONE _____

_____ FAX _____

CITY _____ STATE _____ ZIP CODE _____

BIDDER'S EMAIL _____ DATE _____

BUSINESS CLASSIFICATION

Please complete this form which is necessary for the University of Kentucky vendor database. Mark only one classification. Refer to "Definitions" for assistance in determining correct classification.

- | | |
|--------------------------------------|--|
| (01)___ Small Business | (06)___ Woman-Owned Large Business |
| (02)___ Large Business | (07)___ Disadvantaged Woman-Owned Small Business |
| (03)___ Disadvantaged Small Business | (08)___ Disadvantaged Woman-Owned Large Business |
| (04)___ Disadvantaged Large Business | (09)___ Other |
| (05)___ Woman-Owned Small Business | |

DEFINITIONS

- (01) **SMALL BUSINESS:** A business concern that is organized for profit, is independently owned and operated, is not dominant in the field of operations in which it is bidding, and meets the size standards as prescribed in the Code of Federal Regulations, Title 13, Part 121. Consult your local or district Small Business Administration (SBA) office if further clarification is needed.
- (02) **LARGE BUSINESS:** A business concern that exceeds the small business size code standards established by SBA.
- (03) **DISADVANTAGED SMALL BUSINESS:** A business concern (a) that is at least 51 percent owned by one or more socially and economically disadvantaged individuals (as defined below), or a publicly owned business, having at least 51 percent of its stock owned by one or more socially and economically disadvantaged individuals; and (b) has its management and daily business operations controlled by one or more such individuals. Socially and economically disadvantaged individuals include: Asian, Black/African American, Hispanic or Latino, Native American, Native Hawaiian/Pacific Islander, Women, Disabled, Veteran and Disabled Veteran and other minorities or individuals found to be disadvantaged by the SBA.
- (04) **DISADVANTAGED LARGE BUSINESS:** A concern that meets the definition of socially and economically disadvantaged individuals as defined above, but which is not a small business by the SBA's size standards.
- (05) **WOMAN-OWNED SMALL BUSINESS:** A small business that is at least 51 percent owned by a woman or women who also control and operate it. "Control" in this context means exercising the power to make policy decisions. "Operate" means actively involved in the day to day management.
- (06) **WOMAN-OWNED LARGE BUSINESS:** A concern that meets the definition of woman owned and operated, but which is not a small business by the SBA's standards.
- (07) **DISADVANTAGED, WOMAN-OWNED SMALL BUSINESS:** A concern that meets the definition of both (03) and (05) above.
- (08) **DISADVANTAGED, WOMAN OWNED LARGE BUSINESS:** A concern that meets the definition of both (04) and (06) above.
- (09) **OTHER:** A concern that does not meet any of the above definitions.

004100B01

THE FOLLOWING ITEMS ARE HEREWITH ENCLOSED AS REQUIRED BY KRS 45A.185

1. Bid Bond or Certified Check in an amount not less than five percent (5%) of total Bid.
2. List of Proposed Subcontractors and Unit Prices. (if required)
3. Authentication of Bid and Statement of Non-Collusion and Non-Conflict of Interest.
4. List of Materials and Equipment.
5. **VENDOR NUMBER:** It is imperative that you furnish your Federal Employer Identification Number in the space provided below. Failure to do so may delay the processing of purchase orders issued to your firm.

(Nine Digit Number)

BIDDER'S QUALIFICATIONS

The Commonwealth of Kentucky Model Procurement Code (KRS 45A.080) requires contracts to be awarded, "to the responsive and responsible bidder whose bid offers the best value" to the University of Kentucky. In order to determine if the Bidder has the experience, qualifications, resources and necessary attributes to provide the quality workmanship, materials and management required by the plans and specifications, the Bidder may be required to complete and submit the information requested on the University of Kentucky Contractor Bidder Determination of Responsibility questionnaire. Failure to provide the information requested on the questionnaire or failure to provide any additional submittals or information that may be requested to make this determination may be grounds for a declaration of non-responsibility with respect to the Bidder. A copy of the Contractor Determination of Responsibility questionnaire is available upon request to all Bidders.

TIME LIMIT FOR EXECUTION OF CONTRACT DOCUMENTS

It is further agreed, that in the event this Proposal is accepted by the Owner and the undersigned shall fail to execute the Contract and furnish satisfactory Payment and Performance Bond within ten (10) consecutive calendar days from the date of notification of the award of the Contract, the Owner may at his option, determine that the undersigned has abandoned the Contract and thereupon, the Proposal shall become null and void and the Bid guarantee, check or Bid bond which accompanied it shall be forfeited and become the property of the Owner as liquidated damages for each failure and no protest pursuant to such action will be made. If the Undersigned shall execute the Contract, and furnish satisfactory Payment Bond and Performance Bond, it is understood that the Bid Guarantee or Bid Bond will be returned to the undersigned by the Owner.

UNIT PRICES

NOTE: Unit Prices shall include the furnishing of all labor, materials, supplies and services and shall include all items of cost, overhead and profit for the Contractor and any subcontractor involved, and shall be used uniformly without modifications for either additions or deductions. The Unit Prices as established shall be used to determine the equitable adjustment of the Contract Price in connection with changes, deletions or extra work performed under the Contract and the "Rules of Measurement" set forth in the General Conditions shall govern.

All Bidders will be required to complete and submit the following Unit Prices with the bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

DESCRIPTION OF WORK	UNIT PRICE
1. Modernization Regular Time:	
- Mechanic	_____
- Helper	_____
- Two person team	_____
2. Modernization OT Time:	
- Mechanic	_____
- Helper	_____
- Two person team	_____
-	
3. Maintenance Regular Time:	
- Mechanic	_____
- Helper	_____
- Two person team	_____
4. Maintenance OT Time:	
- Mechanic	_____
- Helper	_____
- Two person team	_____

PRIMARY LIST OF PROPOSED SUBCONTRACTORS

All subcontractors are subject to the approval of the Capital Construction Procurement Section and Capital Project Management Division, University of Kentucky, Lexington, KY.

If certain branches of the Work are to be done by the Prime Contractor, so state.

The apparent low bidders will be required to complete and submit to the University the following information by twelve o'clock (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

Please list all subcontractors to be used for this project

DIVISION OF WORK	NAME AND ADDRESS OF SUBCONTRACTOR
1.	_____
2.	_____
3.	_____
4.	_____
5.	_____
6.	_____
7.	_____
8.	_____
9.	_____
10.	_____
11.	_____
12.	_____
13.	_____

LIST OF MATERIALS AND EQUIPMENT

Each item listed under the different phases of construction must be clearly identified so that the Owner will definitely know what the Bidder proposes to furnish.

The use of a manufacturer's or dealer's name only, or stating "as per Plans and Specifications," will not be considered as sufficient identification.

Where more than one "Make" or "Brand" is listed for any one item, the Owner has the right to select the one to be used.

The apparent low bidders will be required to complete and submit to the University the following information by twelve o'clock (12) noon of the first working day following the bid opening. The information requested in this submittal is required to assist the University in determining contractor responsibility to complete the project being bid.

The apparent low bidder is requested to attend a post bid meeting which will be scheduled at a later date.

Please list all materials to be used on this project

ITEM	MATERIALS AND EQUIPMENT	BRAND OR MANUFACTURER
1.		_____
2.		_____
3.		_____
4.		_____
5.		_____
6.		_____
7.		_____
8.		_____
9.		_____
10.		_____
11.		_____
12.		_____
13.		_____
14.		_____

004100B01

IDENTIFICATION OF DIVERSE BUSINESS ENTERPRISE SUBCONTRACTORS AND MATERIAL SUPPLIERS

Diverse Business Enterprises (DBE) consist of minority, women, disabled, veteran and disabled veteran owned business firms that are at least fifty-one percent owned and operated by an individual(s) of the aforementioned categories. Also included in this category are disabled business enterprises and non-profit work centers for the blind and severely disabled.

MBE, WBE, Veterans, Disable Veterans and Disabled make up Diverse Business Enterprises, DBE.

Participation of DBE owned Contractors and businesses.

The University of Kentucky encourages and supports the participation Diverse Business Enterprises. Please list Subcontractors and Material Suppliers according to following Ethnic Vendor List or if they are a Woman Owned Business:

- Asian
- Black/African American
- Hispanic or Latino
- Native American Native Hawaiian/Pacific Islander
- White
- Other

1. DBE (Ethnic or Woman) Subcontractors

2. DBE (Ethnic or Woman) Material Suppliers

SUPERINTENDENT

004100B01

In accordance with Article 17 of the General Conditions a full-time superintendent will be required on this project. Below, please list the superintendent your firm will employ on this project. The successful Bidder will be required to furnish a resume of the superintendent's qualifications and or past projects.

List the Superintendent's Name



University of Kentucky Supplier Diversity Initiative Addendum

Thank you for your interest in partnering with the University of Kentucky to create great spaces and safe environments for our students, staff, and community. Diversity, equity, and inclusion (DEI) are important components of our strategic mission and vision. In 2020, UK created 17 working committees dedicated to moving DEI initiatives forward. Your efforts in working with diverse suppliers is key to reaching our goals. As you know, supplier diversity is a critical component of economic development. We want to work with companies like yours that share our values.

University of Kentucky Strategic Vision: As Kentucky's indispensable institution, we transform lives through diversity and inclusion, discovery, research and creativity, promotion of health and deep community engagement.

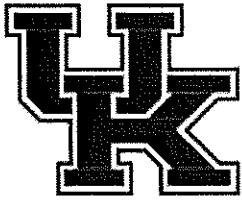
Mission: The University plays a critical leadership role by promoting diversity, inclusion, economic development, and human well-being. As the flagship institution in Kentucky, UK plays a critical leadership role for the Commonwealth by contributing to the economic development and quality of life within Kentucky's borders and beyond. The University nurtures a diverse community characterized by fairness and equal opportunity. We will diligently seek and work with companies that share our vision, mission, and values.

Goals: We are committed to increasing the purchasing of goods and services from minority, women, veteran, and disabled-owned businesses to a **minimum of ten percent** with an aspirational goal that equals and surpasses the diversity on our campus and in the communities we serve. In addition, UK supports non-profit work centers for the blind and disabled. All contractors are expected to support and actively work toward achieving these goals.

Bidders utilizing minority, women, veteran, and disabled-owned businesses are requested to identify these contractors and suppliers in required UK Bids and Proposals.

For assistance in identifying diverse businesses and contractors to work on this project, please contact Marilyn Clark, Supplier Diversity Manager, University of Kentucky at mcl256@uky.edu

Regards,
Marilyn Clark
Supplier Diversity Manager
University of Kentucky
322 Peterson Service Building
411 South Limestone
Lexington, KY 40506
859-218-5612
<https://purchasing.uky.edu/bid-and-proposal-opportunities>



UNIVERSITY OF KENTUCKY Purchasing Division

CCK-2583-22

PAV H Elevator 8C Modernization

Pre-Bid Meeting
10/13/2021

SIGN-IN SHEET

COMPANY

REPRESENTATIVE

1.	UK Purchasing	Matt Spalding
2.	Reid Haefner BCCIT	Reid Haefner
3.	Wench BATES	Rick LaFollette
4.	MCPPD	Tom Stutee
5.	OTIS ELEVATOR	MIKE WISHNEVSKI
6.	OTIS Elevator	Joe Dwever
7.	DC ELEVATOR	DALE HOWARD
8.	DC ELEVATOR COMPANY	COREY STEVENSON
9.		
10.		
11.		
12.		
13.		
14.		
15.		
16.		
17.		
18.		